

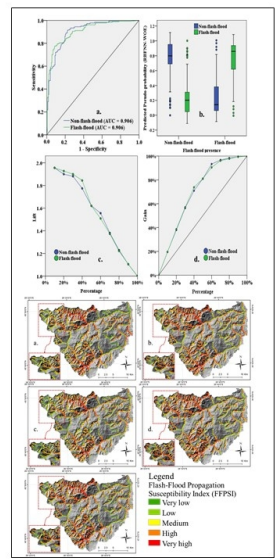
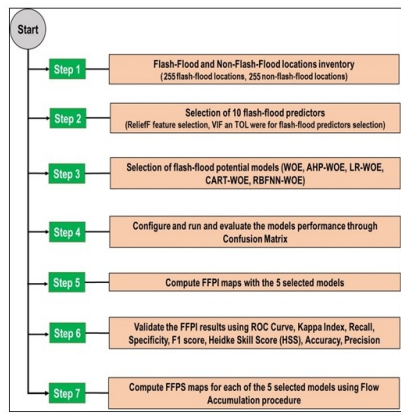


Flash-flood propagation susceptibility estimation using weights of evidence and their novel ensembles with multicriteria decision making and machine learning

Journal:	<i>Geocarto International</i>
Manuscript ID	TGEI-2021-0559.R1
Manuscript Type:	Research Article
Keywords:	flash-floods propagation susceptibility, bivariate statistics, multicriteria decision-making, machine learning, Romania

SCHOLARONE™
Manuscripts

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



338x190mm (96 x 96 DPI)

- Flash-Flood Propagation Susceptibility Index (FFPSI) was proposed and calculated;
- A number of 255 flash-flood locations were used for modelling;
- A number of 10 flash-flood predictors were used to estimate the susceptibility;
- One stand-alone and four ensembles were used to derive the Flash-Flood Potential;
- Flow Accumulation was used to derive the final FFPSI maps.

For Peer Review Only

Ms. Ref. No.: TGEI-2021-0559 (218569821)

Title: “Flash-flood propagation susceptibility estimation using weights of evidence and their novel ensembles with multicriteria decision making and machine learning”

Journal: Geocarto International

Dear Dr Rundquist
Regional Editor
Geocarto International,

Thank you very much for giving us a chance to revise the manuscript. We would like to express our gratitude towards the Editor and Reviewers for their valuable comments and suggestions that helped to improve the original submitted manuscript.

In this revised version, we carefully considered all the comments from Editor and the three reviewers, point by point. The following paragraphs include a point – to – point response to reviewers’ comments and suggestions. We hope that the revised manuscript will satisfy the Reviewers and Editor. We kindly request you to consider this manuscript for publication in your esteemed journal.

Best regards,

The authors

List of changes in the revised paper:

This document explains the changes made in the revised manuscript while dealing with the comments raised by the reviewers. Reviewers’ comments are marked in **black**; authors’ response is shown in **blue**; in **green**, we provide the revised text, while the changes in the revised manuscript are marked in **red**.

Response to Reviewers Reviewer #1

General reviewer comment: “The current research uses some methods for flood susceptibility mapping. There are many papers that used more robust machine learning models in previous studies. My main criticism is about novelty of paper.”

Authors: We would like to express our sincere gratitude to the reviewer for providing rewarding and constructive feedback. We have carefully read and addressed all comments, point by point, below. We also mentioned which are the main elements of novelty. We copy the text below:

“The main element of novelty that characterizes this study is represented by the use and computation for the first time in the literature of Flash-Flood Propagation Susceptibility Index (FFPSI), which is of a real help to create a complete overview regarding the flash-flood susceptibility at the level of a river catchment. Another element of novelty is represented by the use for the first time in the literature of the following ensemble models in order to determine the flash-flood susceptibility: AHP-WOE and RBFNN-WOE.” – line 694

Specific reviewer comments:

Reviewer comment 1: “What is the difference of your study with previous studies such as: "Towards a flood vulnerability assessment of watershed using integration of decision-making trial and evaluation laboratory, analytical network process, and fuzzy theories."; "Ensemble models of GLM, FDA, MARS, and RF for flood and erosion susceptibility mapping: a priority assessment of sub-basins."; "Incorporating multi-criteria decision-making and fuzzy-value functions for flood susceptibility assessment."; "Integrated machine learning methods with resampling algorithms for flood susceptibility prediction.””

Author response: We thank the reviewer’s comment. We tried to explain which are the main differences between our study and the mentioned studies in the Discussion section. We copy the text below:

“It should be noted that the previous studies regarding the estimation of flash-flood susceptibility by machine learning techniques, carried out so far, did not include the study of the susceptibility of the valleys to the propagation of flash-flood waves (Anquetin et al., 2010; Janizadeh et al., 2019). Moreover, many researchers were focused, in their previous works, only on the evaluation

of normal flood susceptibility (Azareh et al., 2019; Dodangeh et al., 2020; Hosseini et al., 2021; Mosavi et al., 2020), without taking into account the flash-flood phenomenon particularities. Besides of the previous research works which take into account only the local flood susceptibility given by the punctual conditions and rainfall, this article proposes a new and complete approach regarding the study of slopes susceptibility to runoff and, also, regarding the susceptibility of valleys to the propagation of the flash-floods. Therefore, through FFPSI, for each valley across the study area are highlighted the characteristics of the upslope catchment that could determine a high exposure to flash-flood. This new approach was conducted with the help of bivariate statistics and machine learning and also using the Flow Accumulation procedure. In fact, the propagation of the flash-flood wave is the element that generates the most significant material damage and loss of human life (Mujumdar, 2001).” – line 626

Reviewer comment 2: “The return period of flood locations (y-variable) is not clear.”.

Author response: We thank the reviewer for the comment. We add an explanation within the text.

Please find the text below:

“It should be noted that the majority of identified flash-floods were determined by the river discharge values with a return period of 10 years. Though, it is important to mention that the return period couldn’t be established for each flash-flood event because the phenomena occurred on river sectors without hydrometric measurements.” – line 150

Reviewer comment 3: “L 207: How did you collect the flood locations?”

Author response: We thank the reviewer for the comment. We copy below the explanation:

“Thus, in the present case, in order to evaluate the susceptibility of the surfaces to the genesis of the flash-floods, data regarding the places where these phenomena occurred in the past were collected. In this regard, the damage reports provided by the General Inspectorate for Emergency Situation (GIES) of Romania and the information from mass-media were used. Totally, a number of 255 de flash-flood locations were collected.” – line 145

Response to Reviewers Reviewer #2

Reviewer comment 1: “The authors discussed the research gap (novelty) in the Discussion and Conclusion sections. However, a research gap statement should be written in the Introduction section to succinctly inform your audience what holes in the literature your research is trying to fill.”

Author response: We thank reviewer for taking his time to review our manuscript and for the valuable suggestions. We added a statement in the Introduction in order to inform the audience about the novelty of our study. We copy the text below:

“In this context, the present study wants to propose a methodology for estimating the susceptibility to flash-flood propagation, this topic not being addressed so far in the literature.” – line 112

Reviewer comment 2: “Spatial modelling using AHP elicits the experts' opinions in the area because the experts' rankings are used to calculate the factors' weights. So, the data used in the AHP computations were assumed to be obtained through a questionnaire survey filled in by several well-versed experts in the field. However, the author(s) didn't mention how many experts were interviewed, their expertise, and their years of experience. Moreover, the authors should give justification for using a specific number of experts. See the study below as an example:

Dano, U. L. (2021). An AHP-based assessment of flood triggering factors to enhance resiliency in Dammam, Saudi Arabia. *GeoJournal*, 1-16.

Dano, U. L. (2020). Flash Flood Impact Assessment in Jeddah City: An Analytic Hierarchy Process Approach. *Hydrology*, 7(1), 10.”

Author response: We thank the reviewer for the comment. We added new explanations within the text and also we cited the mentioned research papers. We copy the text below:

“It should be mentioned that for the present study, the data necessary for the application of AHP method was obtained through an expert-based questionnaire survey administered to a number of 19 experts from the National Institute of Hydrology and Water Management of Romania, with a high expertise in flash-flood risk assessment. The number of interviewed experts is very close to the number that was also used in previous works from the literature (Dano, 2021, 2020).”- line 316

Reviewer comment 3: “A separate table containing spatial and non-spatial data and their sources with dates and scales where applicable should be provided.”

Author response: We thank the reviewer for the valuable suggestion. We added a new table in order to meet your requirement.

Table 1 Data used, source, resolution, scale and type

Data	Source	Resolution	Scale	Type
Digital Elevation Model (DEM)	Shuttle Radar Topography Mission (SRTM)	30 m	-	Spatial
Flash-Flood points	General Inspectorate for Emergency Situation (GIES) of Romania; mass-media	-	-	Spatial
Non-Flash-Flood points	Aerial imagery; field survey	-	-	Spatial
Rainfall (mm/year)	Worldclim v2	-	-	Spatial
Land use/cover	Corine Land Cover, 2018	1 km	-	Spatial
Hydrological Soil Groups	Digital Soil Map of Romania	-	1:200000	Spatial
Lithology	Digital Geological Map of Romania	-	1:200000	Spatial

Reviewer comment 4: “The author(s) didn’t compare their findings with prior studies in the field. The Discussion section should highlight important discoveries and how they support/corroborate or differ from previous studies and likely explanations by citing recent literature.”

Author response: We thank the reviewer for his valuable suggestion. We added new paragraph in which we compare our study and discoveries with the previous research works and also we explain how our results differ from the previous studies. We copy the text below:

“It should be noted that the previous studies regarding the estimation of flash-flood susceptibility by machine learning techniques, carried out so far, did not include the study of the susceptibility of the valleys to the propagation of flash-flood waves (Anquetin et al., 2010; Janizadeh et al., 2019). Moreover, many researchers were focused, in their previous works, only on the evaluation of normal flood susceptibility (Azareh et al., 2019; Dodangeh et al., 2020; Hosseini et al., 2021; Mosavi et al., 2020), without taking into account the flash-flood phenomenon particularities. Besides of the previous research works which take into account only the local flood susceptibility

1
2
3 given by the punctual conditions and rainfall, this article proposes a new and complete approach
4 regarding the study of slopes susceptibility to runoff and, also, regarding the susceptibility of
5 valleys to the propagation of the flash-floods. Therefore, through FFPSI, for each valley across the
6 study area are highlighted the characteristics of the upslope catchment that could determine a high
7 exposure to flash-flood. This new approach was conducted with the help of bivariate statistics and
8 machine learning and also using the Flow Accumulation procedure. In fact, the propagation of the
9 flash-flood wave is the element that generates the most significant material damage and loss of
10 human life (Mujumdar, 2001).” – line 626
11
12
13
14
15
16

17 **Reviewer comment 5:** “Figure 1 source should be provided.”

18
19
20 **Author response:** We added the source of Figure 1.
21
22
23
24

25 **Response to Reviewers Reviewer #3**

26
27
28 **General reviewer comment:** “The paper " Flash-flood propagation susceptibility estimation using
29 weights of evidence and their novel ensembles with multicriteria decision making and machine
30 learning” covers an interesting and actual topic. However, the paper can't be accepted for
31 publication before some changes. Below are listed specific comments:”
32
33
34

35 **Authors:** We would like to express our sincere gratitude to the reviewer for providing rewarding
36 and constructive feedback. We have carefully read and addressed all comments, point by point,
37 below.
38
39
40

41 **Specific reviewer comments:**

42
43
44 **Reviewer comment 1:** “According to international procedures, abbreviations should be introduced
45 the first time they are mentioned in brackets.”
46
47

48 **Author response:** We thank the reviewer for valuable suggestions. We introduced the
49 abbreviations their first time mention in text.
50
51

52 **Reviewer comment 2:** “line 108-109 “Another sample of 255 points were placed in areas where
53 the flash-floods did 109 not occur in the past.” / How can we make sure that these 255 points are
54
55
56
57

1
2
3 non-flash-flood locations or in other words on which basis the authors considered them as non-
4 flash-flood locations maybe through field trips or by processing satellite images, please precise?”

5
6
7 **Author response:** We thank the reviewer for the comment. We added new explanations within
8 the text. We copy the text below:
9

10
11 “It is worth to note that, along the information from the governmental authorities that didn’t
12 mention the occurrence of flash-flood events, the non-flash-flood locations were also placed based
13 on the analysis satellite images and field surveys.” – line 155
14
15

16
17 **Reviewer comment 3:** “line 631-633 “The main element of novelty that characterizes this study
18 is represented by the use and computation for the first time in the literature of Flash-Flood
19 Propagation Susceptibility Index (FFPSI)” / we can find in the literature many studies which
20 introduced various flood Susceptibility Indices”
21
22

23
24 **Author response:** We thank the reviewer for the comment. Indeed, there are many studies which
25 introduced various flood Susceptibility Indices. Though, this article proposes an approach that
26 include both the susceptibility to surface runoff at the slope level, and then, with the help of Flow
27 Accumulation procedure, the susceptibility of valleys to the flash-flood propagation was also
28 evaluated. We added new explanations in the text:
29
30

31
32 “Besides of the previous research works which take into account only the local flood susceptibility
33 given by the punctual conditions and rainfall, this article proposes a new and complete approach
34 regarding the study of slopes susceptibility to runoff and, also, regarding the susceptibility of
35 valleys to the propagation of the flash-floods. Therefore, through FFPSI, for each valley across the
36 study area are highlighted the characteristics of the upslope catchment that could determine a high
37 exposure to flash-flood. This new approach was conducted with the help of bivariate statistics and
38 machine learning and also using the Flow Accumulation procedure. In fact, the propagation of the
39 flash-flood wave is the element that generates the most significant material damage and loss of
40 human life (Mujumdar, 2001).” – line 632
41
42
43
44
45
46
47
48
49

50
51 **Reviewer comment 4:** “The FFPSI introduced in this study using the flow accumulation map
52 classified the degree of susceptibility at river level not for the entire basin. What is the interest of
53 this index? It seems like a kind of clipping of the maps shown in fig 7 by the Basin Rivers because
54 we know that the flow accumulation map shows a very high contrast between the watercourses
55
56
57

1
2
3 and the other lands. In fact, upon visual inspection, it is obvious that the values of both indices
4 (FFPI and FFPSI) are the same or at least proportional.”

5
6
7 **Author response:** We thank the reviewer for the comment. In Fig. 7 there is represented the
8 surface runoff potential at the slope level which in many parts of the study area has a high value.
9 Nevertheless, the FFPI represented in Fig. 7 didn't show the same high values on the valleys that
10 are near the slopes with a high susceptibility. This is the reason for which the Flow Accumulation
11 was applied because the valleys will have the FFPI values that were weighted on the upslope
12 catchment area and the new FFPSI (Fig. 12) will better show the potential power of a flash-flood
13 event at the river valley level. The Flow Accumulation procedure was applied through an workflow
14 developed in ArcGIS software in which the input data was represented by the Flow Accumulation
15 derived from Digital Elevation Model and the raster of FFPI. We would like to ensure the reviewer
16 that we didn't simply clip the FFPI Raster along the river valleys.
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Flash-flood propagation susceptibility estimation using weights of evidence and their novel ensembles with multicriteria decision making and machine learning

Abstract: The present study aims to enrich the specialized literature by proposing and calculating a new flash-flood propagation susceptibility index (FFPSI). Thus, firstly the Flash-Flood Potential Index (FFPI) using the ensembles of the next models was calculated: Weights of Evidence (WOE), Analytical Hierarchy Process (AHP), Logistic Regression (LR), Classification and Regression Trees (CART), and Radial Basis Function Neural Network-Weights of Evidence (RBFN-WOE). A number of 255 flash-flood locations, split into training (70%) and validating (30%) samples, along with 10 predictors were used as input in the five models. The Receiver Operating Characteristics (ROC) Curve and several statistical metrics were used to evaluate the Flash-Flood Potential Index results. LR-WOE and AHP-WOE were the most performant models. Nevertheless, all the applied models performed very well ($AUC > 0.85$). Further, the FFPSI was determined by integrating the FFPI results into a Flow Accumulation procedure. Over 55% of the valleys identified are characterized by high and very high values of FFPSI.

Keywords: flash-floods propagation susceptibility; bivariate statistics; multicriteria decision-making; machine learning; Romania

1. Introduction

The current context marked by the imminent transition from the moderate meteorological phenomena to the meteorological phenomena characterized by extreme severity, brings into the discussion the necessity of some urgent adaptation measures to combat the extreme

1
2
3
4 24 weather negative effects. This transformation of meteorological phenomena at the planetary
5
6
7 25 scale, mainly due to the global climate change (Markolf et al., 2019), entails an exponential
8
9
10 26 increase in the frequency of risk hydrological phenomena such as flash-floods and floods
11
12
13 27 generated by them (Antronico et al., 2019). Currently, according to Hofman and Schüttrumpf
14
15 28 (2019) flash-floods are considered among the most devastating natural hazards. Globally, the
16
17
18 29 total number of victims annually caused by these phenomena between 1996 and 2015 is
19
20
21 30 estimated at 150061 (Costache et al., 2020c). This is due to the very high speed of appearance
22
23
24 31 and manifestation, which varies from a few tens of minutes to a maximum of 6 hours (Lee
25
26
27 32 and Kim, 2019), as well as the violence with which the mechanical action of water affects
28
29
30 33 the socio-economic and environmental elements during such a phenomenon. Flash-floods are
31
32
33 34 generally characteristic for river basins with a medium to high relief slope and a small
34
35
36 35 surface. These 2 elements determine a very short time of water concentration from the slopes
37
38
39 36 towards the river channels (Costache, 2014a; Práválie and Costache, 2013). It is obvious that
40
41
42 37 where the flash-flood wave along a river valley meets an area with a lower slope will
43
44
45 38 eventually generates a devastating flood. One of the most effective non-structural measures
46
47
48 39 taken to mitigate the flash-flood effects is represented by the exact identification of the
49
50
51 40 surfaces on which the surface runoff on the slopes is manifested. Additionally, it is mandatory
52
53
54 41 to detect the river valleys along which a high potential for flash-flood propagation exists.
55
56
57 42 The accelerated development of computerized techniques has created favourable premises
58
59
60 43 for the application of modern methodologies that can allow the rapid and high accurate

1
2
3
4 44 assessment of the above-mentioned surfaces. In this regard, GIS techniques are widely used
5
6
7 45 to map the areas susceptible to flash-floods (Costache, 2014b; Zaharia et al., 2017, 2015).
8
9
10 46 An increasing number of researchers are trying to integrate these GIS techniques with
11
12 47 advanced computational algorithms that are specific to bivariate statistics, artificial
13
14
15 48 intelligence and multi-criteria decision-making (Al-Abadi, 2018; Ali et al., 2020; Arabameri
16
17
18 49 et al., 2020; Costache, 2019). Among the most used bivariate statistical techniques found in
19
20
21 50 studies focused on assessing the susceptibility to natural hazards are: Frequency Ratio (Cao
22
23
24 51 et al., 2016; Costache and Zaharia, 2017), Weights of Evidence (Chen et al., 2018), Certainty
25
26
27 52 Factor (Z. Chen et al., 2019), Evidential Belief Function (Omar F Althuwaynee et al., 2014),
28
29
30 53 Statistical Index (Chen et al., 2015), and Index of Entropy (Al-Abadi and Shahid, 2015). It
31
32
33 54 should also be mentioned that the application of bivariate statistics in the field of
34
35
36 55 susceptibility to natural hazards requires as input data the points or areas where the analyzed
37
38
39 56 phenomena were recorded in the past (Arabameri et al., 2019). In fact, these input data are
40
41
42 57 mandatory to be used also in the case of machine learning or artificial intelligence algorithms.
43
44
45 58 The most well-known machine learning models applied in the study of natural hazards are:
46
47
48 59 Multilayer Perceptron (Ngo et al., 2018), Support Vector Machine (Choubin et al., 2019),
49
50
51 60 Decision Trees (Omar F. Althuwaynee et al., 2014), k-Nearest Neighbor (Avand et al., 2019),
52
53
54 61 Logistic Regression (Bui et al., 2011), Naïve Bayes (Hosseini et al., 2020), Bagging (W.
55
56
57 62 Chen et al., 2019), Dagging (Yariyan et al., 2020), Decorate (Zhang et al., 2012), Adaptive
58
59
60 63 Neuro-Fuzzy Inference System (Ahmadlou et al., 2019). It is also a common practice to
64
65
66 64 generate ensembles between machine learning and bivariate statistics (Costache and Bui,

1
2
3
4 65 2019), or between several machine learning models taken together (Pham et al., 2018). Also,
5
6
7 66 noteworthy is the application of optimization algorithms such as: Particle Swarm
8
9
10 67 Optimization (Bui et al., 2017), Harris Hawk Optimization (Bui et al., 2019a) and
11
12 68 Biogeography based-Optimization (Wang et al., 2019). Widely used in determining the
13
14
15 69 susceptibility to natural hazards are also the specific multicriteria decision-making methods
16
17
18 70 as well: Analytical Hierarchy Process (Dahri and Abida, 2017, Sajedi-Hosseini et al., 2018),
19
20
21 71 DEMATEL (Kanani-Sadat et al., 2019) and VIKOR (Ameri et al., 2018).

22
23 72 **In this context, the present study wants to propose a methodology for estimating the**
24
25
26 73 **susceptibility to flash-flood propagation, this topic not being addressed so far in the literature.**

27
28
29 74 The flash-flood propagation susceptibility will be computed by completing two major stages.
30
31
32 75 The first stage will consist in determining the flash-flood susceptibility by applying the
33
34
35 76 bivariate **Weights of Evidence (WOE)** method, as well as their novel ensembles with
36
37
38 77 **Analytical Hierarchy Process (AHP), Logistic Regression (LR), Classification and**
39
40
41 78 **Regression Trees (CART) and Radial Basis Function Neural Network (RBFNN)**. The
42
43
44 79 evaluation of the accuracy of flash-flood susceptibility results, provided by the 5 models, will
45
46
47 80 be done through ROC Curve method and several statistical metrics. The second stage will
48
49
50 81 consist in the actual calculation of the flash-flood propagation susceptibility by using the
51
52
53 82 results of the first stage and the Flow Accumulation method.

53 83 **2. Study area**

54
55
56 84 The present study is focused on the Zăbala river basin, located in the mountainous area of the
57
58
59 85 central-south-eastern part of Romania. The study area represents a small to medium-sized
60

1
2
3
4 86 basin with a total surface of 600 km². The altitude of the study area varies from 312 m to
5
6
7 87 1786 m (Fig. 1). This high amplitude of elevation across a relatively small area, creates the
8
9
10 88 premises for the genesis and propagation of flash-floods from the upper to the lower part of
11
12 89 the river basin. In fact, the river basin is characterized by a relatively high average slope of
13
14
15 90 12.7°, this being another indicator of the high potential for the flash-flood genesis. According
16
17
18 91 to the existing information, the afforestation degree of the river basin is around 60%. The
19
20 92 genesis of flash-floods is also favoured by the hard rocks in the substrate of the study area,
21
22
23 93 as well as by the presence of pasture vegetation on relatively compact surfaces. Important
24
25
26 94 damages to the socio-human elements were generated by flash-floods during the years: 2010,
27
28
29 95 2016, 2017 and 2019.

30 96

32 97 **3.2. Data**

35 98 **3.1. Flash-flood inventory**

37
38 99 Any natural phenomenon has a higher occurrence probability over the areas where it has
39
40
41 100 already occurred and where the environmental elements favour its genesis (Dottori et al.,
42
43
44 101 2018).
45
46 102 Therefore, in the natural hazards susceptibility studies, it is very important to identify the
47
48
49 103 locations that have already been affected by that phenomenon, and then to establish the
50
51
52 104 spatial relationship between the presence/absence of the hazard and the characteristics of
53
54
55 105 geographical factors (Yariyan et al., 2020). Thus, in the present case, in order to evaluate the
56
57 106 susceptibility of the surfaces to the genesis of the flash-floods, data regarding the places
58
59
60 107 where these phenomena occurred in the past were collected. In this regard, the damage reports

1
2
3
4 108 provided by the General Inspectorate for Emergency Situation (GIES) of Romania and the
5
6
7 109 information from mass-media were used. Totally, a number of 255 de flash-flood locations
8
9
10 110 were collected. It should be noted that the majority of identified flash-floods were determined
11
12 111 by the river discharge values with a return period of 10 years. Though, it is important to
13
14
15 112 mention that the return period couldn't be established for each flash-flood event because the
16
17 113 phenomena occurred on river sectors without hydrometric measurements. Another sample of
18
19
20 114 255 points were placed in areas where the flash-floods did not occur in the past. These points
21
22
23 115 were considered as non-flash-flood locations. It is worth to note that, along the information
24
25
26 116 from the governmental authorities that didn't mention the occurrence of flash-flood events,
27
28 117 the non-flash-flood locations were also placed based on the analysis satellite images and field
29
30
31 118 surveys. Both of the samples were split in training (70%) and validating (30%) datasets. The
32
33
34 119 training datasets will be used exclusively for running the models, while the validating dataset
35
36
37 120 will be used to validate the flash-flood susceptibility results.

39 121 **3.2. Flash-Flood Predictors**

41
42 122 According to the above section, the characteristics of geographical factors are those that
43
44
45 123 influence the genesis and manifestation of flash-floods. Thus, in order to identify as
46
47
48 124 accurately as possible, the surfaces favourable to the flash-floods genesis, a number of 10
49
50
51 125 conditioning factors were taken into account. Six morphometrical predictors were derived
52
53 126 from the Digital Elevation Model (DEM). The DEM at a spatial resolution of 30 meters, was
54
55
56 127 extracted from SRTM, 30 databases. Another 3 flash-flood predictors were extracted or
57
58
59 128 derived from vector databases as follows: land use/cover was extracted from Corine Land
60

1
2
3
4 129 Cover, 2018 database; hydrological soil groups din Digital Soil Map of Romania, 1:200,000;
5
6
7 130 lithology din Digital Geological Map of Romania, 1:200,000. Another predictor, represented
8
9
10 131 by Modified Fournier Index (MFI), was achieved by the processing of Worldclim v2 database
11
12 132 in raster format. Below, each factor was described from the perspective of their influence on
13
14
15 133 flash-flood phenomena.

16
17
18 134 Slope is the essential factor that creates favourable conditions for both flash-flood genesis
19
20 135 and propagation (Antonetti et al., 2019). Thus, areas with steep slopes will favour the
21
22
23 136 occurrence of rapid surface runoff and the formation of flash-floods (Fontanine and Costache,
24
25
26 137 2013; Hapciuc et al., 2016). In the case of the study area, the slope of the relief was derived
27
28
29 138 from the DEM at a cell size of 30 meters. As can be seen in Fig. 2a, the slope of the relief
30
31 139 has values between 0° and 48° . This interval was divided into 5 classes, taking into account
32
33
34 140 the literature (Costache, 2014c).

35
36
37 141 Land use / cover is another important geographic element with a major contribution in the
38
39 142 genesis of flash-floods (Zhao et al., 2019). The lands where the pastures predominate or
40
41
42 143 which are totally devoid of vegetation will determine the appearance of runoff on the slopes,
43
44
45 144 while the forested regions protect the surface of the land against torrential phenomena
46
47
48 145 (Hosseini et al., 2020). In total, a number of 5 use classes were identified, over 60% of the
49
50 146 total river basin being covered by forest (Fig. 2b).

51
52
53 147 Lithology is an essential parameter in defining the degree of impermeability of a surface.
54
55
56 148 This degree of impermeability contributes decisively to the potential for rapid runoff
57
58
59
60

1
2
3
4 149 manifestation on the slopes (Talukdar et al., 2020). The conglomerates, breccias, sandy flysch
5
6
7 150 and marls shale are predominant in the study area (Fig. 2c).
8

9
10 151 Hydrological Soil Groups, influence in an indirect manner the flash-floods genesis. Thus,
11
12 152 runoff will be favoured above soils with a high clay content, such as those in hydrological
13
14
15 153 group D, while more active infiltration in soils with a high sand content will cause a decrease
16
17
18 154 in flash-flood potential (Gessesse et al., 2015). Within the study area the largest surfaces are
19
20
21 155 occupied by the hydrological soil group B (Fig. 2d).
22

23 156 Plan curvature is described by the line generated at the intersection of terrain surface and a
24
25
26 157 horizontal plane. This morphometric indicator highlights the difference between the
27
28
29 158 convergent and divergent runoff manifested at the ground surface. The following three
30
31
32 159 classes were defined for plan curvature (Fig. 2e): $-2.36 - -0.1$; $-0.09 - 0.1$; $0.1 - 2.19$.
33

34 160 Profile curvature is another morphometric factor obtained from DEM. In terms of flash-flood
35
36
37 161 susceptibility, the importance of this factor is given by the fact that its negative values
38
39
40 162 indicate the areas where surface runoff is accelerated, while its positive values show the areas
41
42
43 163 where surface runoff is diminished (Ali et al., 2020). According to the scientific literature,
44
45
46 164 the profile curvature values were grouped into the following 3 classes: $-3.08 - -0.05$; $-0.04 -$
47
48 165 0.05 ; $0.05 - 3.65$ (Fig. 2f).
49

50 166 Convergence Index (CI) is a morphometric factor derived from DEM at the same spatial
51
52
53 167 resolution as the slope of the relief. The values of this index show the degree of concentration
54
55
56 168 of all fluvial and torrential organisms in a given region. A high hydrographic convergence is
57
58
59
60

1
2
3
4 169 highlighted by negative CI values, close to -100, while the interfluvial surfaces have
5
6
7 170 associated positive values. In the study area, the CI values are between -78 and 95 (Fig. 3a).
8
9
10 171 The range of value was divided into 5 classes according to the literature (Právělie and
11
12 172 Costache, 2014).

13
14
15 173 The Modified Fournier Index (MFI) highlights the spatial distribution of the rainfall intensity
16
17 174 (Costache et al., 2020a). For this reason, the consideration of this indicator for estimating the
18
19 175 flash-flood potential has a higher degree of representativeness than the consideration of
20
21 176 multiannual average precipitation values. MFI is determined through the following
22
23 177 mathematical relation:

$$24 \quad MFI = \sum_{i=1}^{12} \frac{P_i^2}{P} \quad (1)$$

25
26 179 where: MFI – Modified Fournier Index, P_i - being the monthly precipitation at month i , P_t -
27
28 180 the annual precipitation. For the Zăbala river basin, MFI was determined by processing the
29
30 181 precipitation data from Worldclim v2 database. In the case of the study area, the following 4
31
32 182 MFI classes were delineated: <60, 60 – 90, 90 – 120, >120 (Fig. 3b).

33
34 183 Aspect predictor derived from DEM, is a real help for the evaluation of susceptibility to flash-
35
36 184 floods because the differentiation of the surfaces on the 9 orientation groups can indicate in
37
38 185 a clear way which is the potential of humidity that exists at the level of each group (Chapi et
39
40 186 al., 2017). In the case of the present research area, the largest areas are covered by the North-
41
42 187 East exposed surfaces (Fig. 3c).

43
44
45 188 Topographic Wetness Index (TWI) was obtained in SAGA GIS 2.0.2 software by DEM
46
47 189 processing. TWI values are calculated by dividing the upslope catchment area to the slope
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4 190 angle (Hong et al., 2018). The values of this indicator, within the study area, range from -
5
6
7 191 7.35 to 24.66. Following the recommendation from the previous scientific works (Lei et al.,
8
9
10 192 2020), the entire range of TWI values was divided into five classes using the Natural Breaks
11
12 193 method (Fig. 3d).

14 194 **A succinct presentation of the data used in the present research is included also in Table 1.**

18 197 **4. Methods**

20 198 The workflow applied in the present research is synthetically presented in the Figure 4. The
21
22
23 199 methods, the software used and their training procedure are described in the following rows.

26 200 27 201 **4.1. Multicollinearity assessment and feature selection**

28
29 202 Variance Inflation (VIF) and Tolerance (TOL) are 2 of the most popular indices used to
30
31 203 evaluate the multicollinearity among the variables that are used as input in a mathematical
32
33
34 204 model (Miles, 2014). In fact, the assessment of multicollinearity among flash-flood
35
36
37 205 predictors is mandatory to reduce redundant information and bias within models (Wheeler
38
39
40 206 and Tiefelsdorf, 2005). Thus, in this paper VIF and TOL will be estimated through the SPSS
41
42
43 207 21 software. It should be noted that TOL values less than 0.2 and VIF higher than 4, may
44
45 208 indicate the presence of multicollinearity (Dou et al., 2018).

46
47
48 209 The ReliefF method will ensure the initial evaluation of the predictive ability of variable used
49
50
51 210 to estimate the flash-flood potential. Thus, feature selection process can: i) help to reduce the
52
53
54 211 time of models training; ii) made the models less complex and also easier to analyse, iii) help
55
56
57 212 to select the best variables in order to increase the models accuracy; iv) can decrease the
58
59 213 overfitting. ReliefF Attribute is able to deal with multiclass problems (Urbanowicz et al.,
60

2018), and therefore, was also selected to be used in the present research. Also, it is worth to admit that the ReliefF algorithm is able to operate with continuous and discrete data. This method consider the given attribute value associated to the closest instance of different or the same class (Urbanowicz et al., 2018). In the present study, the ReliefF will be run using Weka 3.9 software.

4.2. Weights of Evidence (WoE)

WoE is a bivariate statistical method which is based on Bayes theory. This algorithm is very popular in research works focused on natural risk susceptibility evaluation (Khosravi et al., 2016). In the present research *WoE* was applied as stand-alone model for flash-flood susceptibility assessment and at the same time the *WoE* coefficients were also used as input in the following models in order to create a number of four ensemble: Analytical Hierarchy Process, Logistic Regression, Classification and Regression Trees, and Radial Basis Function Neural Network. The estimation of *WoE* coefficients was based on the spatial overlapping of flash-flood pixels with factor classes/categories. The mathematical relations used in this regard are written below (Costache and Bui, 2019):

$$W^+ = \ln \frac{P(B|S)}{P(B|\bar{S})} \quad (2)$$

$$W^- = \ln \frac{P(\bar{B}|S)}{P(\bar{B}|\bar{S})} \quad (3)$$

where: W^+ - positive weight, W^- - negative weight, P – the probability, B – the presence of flash-flood predictor, \bar{B} - the absence of flash-flood predictor, S – the presence of flash-flood phenomena, \bar{S} - the absence of flash-flood phenomena.

In order to be implemented in GIS environment, the above relations can be transformed into (Mohammady et al., 2019):

236

$$W^+ = \ln \left(\frac{Npix_1}{Npix_1 + Npix_2} \right) / \left[\frac{Npix_3}{Npix_3 + Npix_4} \right] \quad (4),$$

$$W^- = \ln \left(\frac{Npix_2}{Npix_1 + Npix_2} \right) / \left[\frac{Npix_4}{Npix_3 + Npix_4} \right] \quad (5),$$

237

238 where: $Npix_1$ - number of flash-flood pixels within a predictor class; $Npix_2$ number of
 239 flash-flood pixels outside of the predictor class; $Npix_3$ - number of pixels without flash-flood
 240 phenomena in the predictor class; $Npix_4$ - number of flash-flood pixels without flash-flood
 241 phenomena outside of the predictor class; W^+ - positive weight, W^- - negative weight.

242 The final value of a *WoE* coefficient was achieved using the following formula (Costache
 243 and Bui, 2019):

$$244 \quad Wf = Wplus + Wmin\ total - Wmin \quad (6),$$

245 where: $Wplus$ - is the positive weight of a class factor, $Wmin$ - is the negative weight of a
 246 class factor, $Wmintotal$ - is the total of all negative weights in a multiclass map.

247 **4.3. Analytical Hierarchy Process (AHP)**

248 AHP is a multicriteria decision-making model which is frequently involved in the research
 249 works whose main purpose is the identification of regions susceptible to natural risks (Akinci
 250 et al., 2013; Ghosh and Kar, 2018; Pourghasemi et al., 2016). An important aspect which
 251 should be exposed is that the AHP represents a semi-quantitative method in which a very
 252 important weight is allocated to the expert judgment. Thus, by applying this model a problem
 253 could be solved by an active involvement of the experts in the research workflow. Proposed
 254 by Saaty (1980), AHP algorithm applied in the present study will consists of six major steps

1
2
3
4 255 through which the problem can be break down into several components. The steps are briefly
5
6
7 256 described below:

8
9 257 i) Establish the objectives and split the problem into many components;

10
11
12 258 ii) Defining the criteria and the alternatives;

13
14
15 259 iii) Generating the AHP pair-wise comparison matrix using the expert judgement. More
16
17
18 260 details regarding the construction of pair-wise comparison matrix can be found in (Costache,
19
20 261 R. and Tien Bui, D., 2020);

21
22
23 262 iv) Using the eigenvalue method to calculate the relative importance of each flash-flood
24
25
26 263 predictor;

27
28
29 264 v) Assessing the quality of pair-wise comparison using the Consistency Ratio (CR). CR is
30
31 265 estimated as follows:

$$CI = \frac{\lambda_{max} - n}{n - 1} \quad (7)$$

32
33
34
35
36 266 where CI represents the value of consistency index; λ is the eigenvalue with the highest value
37
38
39 267 within the entire matrix, which can be computed using the eq. 8; n is number of flash-flood
40
41
42 268 predictors.

$$CR = \frac{CI}{RI} \quad (8)$$

43
44
45
46
47 269 where RI represents the value of random consistency index which can be found in literature
48
49
50 270 (Agarwal et al., 2013).

51
52
53 271 A consistent pair-wise comparison is highlighted by a CR under 0.1 (Sun, 2010).

54
55
56 272 vi) Calculate the flash-flood potential index (FFPI) by integrating the AHP weights with WoE
57
58
59 273 coefficients in GIS environment as follows:

$$FFPI_{AHP-woe} = \sum_{j=1}^n AHP_j Wf_{ij} \quad (9)$$

274 where AHP_j is the importance of a flash-flood predictor j , Wf_{ij} is the weights of evidence
 275 coefficient associated to a class i of predictor j , and n is the number of predictors.

276 It should be mentioned that for the present study, the data necessary for the application of
 277 AHP method was obtained through an expert-based questionnaire survey administered to a
 278 number of 19 experts from the National Institute of Hydrology and Water Management of
 279 Romania, with a high expertise in flash-flood risk assessment. The number of interviewed
 280 experts is very close to the number that was also used in previous works from the literature
 281 (Dano, 2021, 2020).

282 4.4. Logistic Regression

283 Logistic Regression (LR) model aims to identify the best relation between a set of predictors
 284 and a binary variable (Kavzoglu et al., 2014; Pradhan, 2010). Therefore, it can be admitted
 285 that the logistic regression method is especially used to predict the absence and the presence
 286 of a specific process, based on the characteristics of the spatial relationship between certain
 287 predictors and dependent variable. Logistic Regression model is able to work with both
 288 continuous and discrete variables or with a combination of both. In the present research, the
 289 dependent variable is represented by the flash-flood and non-flash-flood locations, while the
 290 explanatory/independent variables are represented by the flash-flood predictors. It is worth
 291 to note that the flash-flood points were encoded with 1, while non-flash-flood points were
 292 encoded with 0.

1
2
3
4 293 By adapting to the present research the next equation represent the mathematical expression
5
6
7 294 of the logistic regression linear model (Bui et al., 2011):
8

9 295
$$p = \frac{1}{1 + e^{-Z}}$$
 (10)

12 296 where p is the probability of a flash-flood event, Z is a value from $-\infty$ to $+\infty$, calculated with
13
14
15 297 the next relation:

17 298
$$Z = \beta_0 + \beta_1x_1 + \beta_2x_2 + \dots + \beta_nx_n$$
 (11)

19
20 299 where b_0 is the model intercept value, the β_i ($i=0, 1, 2, \dots, n$) are the values of the logistic
21
22
23 300 regression slope coefficients, and the x_i ($i=0, 1, 2, \dots, n$) represent the flash-flood predictors
24
25
26 301 having assigned the WOE coefficients.

27
28 302 Within Logistic Regression model, the multicollinearity, which will be assessed according to
29
30
31 303 4.1. section, can induce some inaccuracies which could affect also the model hypothesis
32
33
34 304 (Midi et al., 2010). The application of Logistic Regression model was possible through SPSS
35
36
37 305 software in which the data were imported in tabular format. The accuracy of the classification
38
39
40 306 done in LR depends the selection of optimal cut-off classification. In this regard, a trial
41
42
43 307 process was carried out with the next cut-off classification values indicated in the literature
44
45
46 308 (Soureshjani and Kimiagari, 2013): 0.01, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9 and 0.99.
47
48
49 309 Finally, the classification associated with the cut-off value that provides the highest accuracy,
50
51
52 310 will be selected. Following the training procedure, the LR coefficients (β_i) will be computed.
53
54
55 311 In fact, these coefficients will be equal to the weight of each flash-flood predictor. Thus, the
56
57
58 312 eq. 10 will be implemented in GIS environment to determine the flash-flood potential.

59 313 **4.5. Classification and Regression Tree**

1
2
3
4 314 Classification and Regression Tree (CART) is a popular machine learning model used in
5
6
7 315 research works focused on natural hazards susceptibility computation (Costache and Bui,
8
9
10 316 2019; Hong et al., 2015; Yeon et al., 2010; Youssef et al., 2016). CART algorithm could be
11
12 317 run using the following type of variables: categorical, binary and number. This characteristic
13
14
15 318 represents an advantage of this model. Within the CART model, the selection of predictors
16
17
18 319 is carried out so that the data error to be diminished. The entropy in CART model, represents
19
20
21 320 the measure to which a predictor is preferred against to another. It should be noted that if a
22
23
24 321 predictor has a missing value, it will not be involved in the construction of the tree optimal
25
26
27 322 ramification. In this case the missing values are substituted by surrogates (Breiman et al.,
28
29
30 323 1984). A terminal node within the CART structure is equal to the average response in that
31
32
33 324 specific node (Breiman et al., 1984). The best sampling rule in CART model training
34
35
36 325 procedure consists of the direct association between the target attribute in two child nodes
37
38
39 326 and is described by the next relation (Costache et al., 2020b):

$$39 \quad 327 \quad I(Split) = [0.25(q(1 - q))^u \sum_k |PL(k) - PR(k)|]^2 \quad (12)$$

41
42 328 where: k is the index of the target classes, $PL(k)$ and $PR(k)$ represent the probability
43
44
45 329 distributions of the target in the left and right child nodes, respectively, and the power term
46
47
48 330 u embeds a user-trollable penalty on splits that create child nodes with unequal sizes (Wu et
49
50
51 331 al., 2008).

52
53 332 In the present study, CART-WOE ensemble was applied by using SPSS software. The
54
55
56 333 optimization of CART-WOE model was made by adjusting their parameters in order to
57
58
59
60

334 achieved the highest accuracy. Finally, the pruned decision tree will keep only the most
 335 important information.

336 **4.6. Radial Basis Function Neural Network (RBFNN)**

337 RBFNN is a type of neural network that consists of the following three layers: i) input layer;
 338 ii) hidden layer; iii) output layer (Zare et al., 2013). From the present research perspective,
 339 the input layer will contain as input data the flash-flood predictors with WOE coefficients
 340 assigned, the hidden layer will help to process and translate the information from the input
 341 to the output layer and backward, while the output layer will consist of two neurons
 342 represented by the flash-flood and non-flash-flood points. According to the literature (Pham
 343 et al., 2020), through the hidden layer, the RBF non-linear activation function will be applied
 344 to train the neural network. More specifically, the RBFNN consists of the computation of
 345 Euclidean Distance from the evaluated points towards the neurons centre. Further, the RBF
 346 will be applied to the distance in order to quantify the influence of the neurons. Usually, in
 347 this regard, the Gaussian function is used to express the mathematical form of RBF(Pham et
 348 al., 2020):

$$349 \quad \phi_j = \exp\left(-\frac{(X - c_j)^2}{2\sigma_j^2}\right) \quad (13)$$

350 where ϕ_j is the RBF of the j^{th} RBF neuron, $X = (x_1, x_2, \dots, x_n)^T$ is the input vector with d input
 351 variables, $c_j = (c_{1j}, c_{2j}, \dots, c_{dj})^T$ is the center vector, and σ_j^2 is the spread.

352 In terms of RBFNN output, for each class will be calculated the probability and the class with
 353 the highest probability will receive the input data. The RBFNN output can be derived with
 354 the following a weighted sum (Qasem and Shamsuddin, 2011):

$$Y = \sum_{j=1}^p w_j \phi_j \quad (14)$$

Where Y represents the RBFNN output, p represents the sum of neurons, w_j is the weight assigned from the j^{th} RBF neuron to the output layer.

In the present research, the RBFNN-WOE ensemble was applied using SPSS software. One of the crucial steps of the training procedure was the establishment of the optimal hidden neurons number. Thus, the optimal number of neurons in the hidden layer was established according to the highest accuracy achieved by the model and which was measured with the help of confusion matrix.

4.7. Flash-Flood Potential results validation methods

4.7.1. ROC Curve

The receiver operating characteristic (ROC) curve is the most popular method involved in the validation of the results of studies related to the susceptibility to floods and flash-floods (Arabameri et al., 2020; Bui et al., 2019b; Ngo et al., 2018). The graphic of ROC Curve is associated to the representation of the sensitivity on Y axis against the 1-Specificity on X axis (Aguilar et al., 2013). From the present research point of view, this method indicates the capacity of a model to correctly estimate the occurrence of flash-flood hazard. Within ROC Curve model, the most valuable quantitative information is provided by the Area Under Curve (AUC) which range from 0 to 1. The values near to 1 highlight a high performance of the applied models (Vakhshoori and Zare, 2018). The following relation is used to calculate the AUC:

$$AUC = \frac{(\sum TP + \sum TN)}{(P + N)} \quad (15)$$

where P is equal to the sum of flash-flood locations, N is equal to the sum of non-flash-flood locations, TP (true positive), TN (true negative) are the sums of flash-flood and non-flash-flood correctly classified locations.

379

380 4.7.2. Statistical metrics

381 Along with ROC Curve method, the following 7 statistical indices were involved in the
 382 results validation procedure: Kappa Index, Sensitivity, Specificity, F1 score, Accuracy,
 383 Precision. The significance of the statistical indices is represented by the agreement between
 384 the observed flash-flood and non-flash-flood locations and the predicted flash-flood
 385 susceptibility values (Costache, 2019). The aforementioned metrics can be computed with
 386 the next equations (Canbek et al., 2017; Costache et al., 2020c):

$$387 \text{ Sensitivity} = \frac{TP}{TP + FN} \quad (16)$$

$$388 \text{ Specificity} = \frac{TN}{FP + TN} \quad (17)$$

$$389 \text{ Precision} = \frac{TP}{TP + FP} \quad (18)$$

$$390 \text{ Accuracy} = \frac{TP + TN}{TP + FP + TN + FN}$$

391 (19)

$$392 \text{ F1 score} = 2 \times \frac{\text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}} \quad (20)$$

$$393 k = \frac{p_o - p_e}{1 - p_e} \quad (21)$$

394 where P is the number of flash-flood pixels, N is the number of non-flash-flood pixels, FP
 395 (false positive) and FN (false negative) are the sums of flash-flood and non-flash-flood
 396 erroneously classified locations, k is kappa coefficient, p_o is the observed flash-flood
 397 locations, and p_e is the estimated flash-flood susceptibility pixels.”

398 4.8. Flash-Flood Propagation Susceptibility Index

1
2
3
4 399 The Flash-Flood Propagation Susceptibility Index (FFPSI) is a novel concept and indicator
5
6
7 400 proposed and defined for the first time in the literature in the present paper. FFPSI can be
8
9
10 401 defined as the potential of the river valleys across a specific area to propagate the flash-floods
11
12 402 from the upper part of a catchment toward its lower zone. In order to estimate the FFPSI
13
14
15 403 within the present research territory, the Flash-Flood Potential Index values, calculated
16
17
18 404 through the above-described models, were integrated in a *Flow Accumulation* procedure. The
19
20 405 *Flow Accumulation* generates, in GIS environment, a raster in which each cell value is equal
21
22
23 406 to the weighted sum of all cells in the raster that drain to that cell (O’Callaghan and Mark,
24
25
26 407 1984). Therefore, in order to calculate the FFPS the *Flow Accumulation* will be used to
27
28
29 408 weight the *FFPI* values on the hydrographic network within the study area. ArcGIS 10.3
30
31
32 409 software was used to implement the workflow intended to compute the FFPS value. Thus, in
33
34
35 410 a first stage, the *Flow Direction* across the study area was computed. Then, the Simple Flow
36
37
38 411 Accumulation (SFA) and the FFPI Weighted Flow Accumulation (FFPI_{WFA}) rasters were
39
40
41 412 derived. Finally, the FFPS values were achieved by dividing the FFPI_{WFA} to SFA as
42
43
44 413 suggested in the next equation:

$$45 \quad 414 \quad FFPSI = \frac{FFPI_{WFA}}{SFA} \quad (22).$$

47 415 **5. Results**

49 416 **5.1. Multicollinearity assessment and feature selection**

51 417 In the present study, TOL values, one of the multicollinearity indicators, range from 0.411
52
53
54 418 for lithology to 0.976 for Aspect. The minimum VIF value was 1.025, and corresponds to
55
56
57 419 the Aspect factor, while the maximum one was 2.432 and corresponds to the Lithology (Table
58
59
60

1
2
3
4 420 2). Given the fact that TOL has values higher than 0.2 and VIF has values below 4, we can
5
6
7 421 admit that among the 10 flash-flood predictors there is no serious multicollinearity.
8
9
10 422 In terms of ReliefF attribute, used to evaluate the predictive ability of flash-flood
11
12 423 conditioning factors, the highest values was achieved by Slope (0.215), followed by Plan
13
14 424 curvature (0.039), MFI (0.036), Convergence Index (0.026), TWI (0.019), Profile curvature
15
16 425 (0.016), Land use (0.015), HSG (0.014), Aspect (0.006) and Lithology (0.003). Given the
17
18 426 fact that all the ReliefF scores were higher than 0, we can consider that all the flash-flood
19
20 427 predictors contribute in a specific measure to the genesis of this phenomenon. Therefore, all
21
22 428 the predictors will be used in the analysis.
23
24
25
26
27
28
29
30

31 430 **5.2. Results of Weights of Evidence (WOE)**

31 431 The application of Weights of Evidence method revealed that WOE coefficients range from
32
33 432 -4.01 for slopes lower than 3° to 3.09 for hydrological soil group B. The lowest value
34
35 433 achieved by slopes lower than 3° is explained by the impossibility of flash-flood genesis on
36
37 434 surfaces which are almost flats. The areas covered by flysch, marls shale, sandstones, clays
38
39 435 and schists are also characterized by very low WOE values (-2.5) (Table 3). Instead, the
40
41 436 hydrological soil group C (2.09), negative profile curvature (0.56), pastures (0.36) and north-
42
43 437 eastern slopes (0.3) have high WOE coefficients.
44
45
46
47
48
49

50 438 Using the WOE coefficients inserted in eq. 6, the $FFPI_{WOE}$ was calculated (Fig. 7a). The
51
52 439 $FFPI_{WOE}$ values were standardized between 0 and 1 and after that were classified into 5
53
54 440 classes using *Natural Breaks* method. According to GIS modelling, the very low values,
55
56 441 between 0 and 0.38, cover 6.54% of Zăbala river catchment (Fig. 10). Another 20.31% of the
57
58
59
60

1
2
3
4 442 research area represents low $FFPI_{WOE}$ values and are limited between 0.39 and 0.51. It can
5
6
7 443 be observed that the very and low flash-flood potential are mainly presented in the eastern
8
9
10 444 region, at lower altitudes. The medium values, ranging from 0.52 and 0.62, are spread on
11
12 445 28.14%, while high and very high values are encountered on approximately 45.01% of the
13
14
15 446 entire territory.

17 447 **5.3. Results of Analytical Hierarchy Process - Weights of Evidence (AHP-WOE)**

18
19 448 The first step in the computation of $FFPI_{AHP-WOE}$ was the construction of the pair-wise
20
21
22 449 comparison matrix with the help of Microsoft Excel 2016 software. Thus, through the
23
24
25 450 assignment of a relative dominant value, each of the 10 flash-flood predictors was rated
26
27
28 451 against every other (Table 4). Following the steps written at 4.3, the normalized weight of
29
30
31 452 each flash-flood predictor was determined and the quality of comparisons was evaluated. The
32
33 453 highest weight was assigned to the slope (0.26), followed by land use (0.179), lithology
34
35 454 (0.111), profile curvature (0.11), plan curvature (0.085), MFI (0.07), convergence index
36
37 455 (0.05), TWI (0.05), hydrological soil group (0.045) and aspect (0.04). The good quality of
38
39
40 456 the comparisons is attested by the Consistency Ratio (CR) value equal to 0.03. Finally, by
41
42
43 457 implementing the equation 9 in ArcGIS software the $FFPI_{AHP-WOE}$ values were derived (Fig.
44
45
46 458 7b). The values were standardized between 0 and 1, and were classified into five classes using
47
48
49 459 *Natural Breaks* method. The very low values of flash-flood potential index, ranging from 0
50
51
52 460 to 0.27 appear on around 2.38% of the study area and are mainly present in the extreme
53
54
55 461 eastern part of the study area. The low $FFPI_{AHP-WOE}$ values, between 0.28 and 0.44, occupy
56
57 462 28.49% of Zábala river catchment and are distributed especially in the median part of the
58
59
60 463 research area. Medium values of the same index account 26.81% of the total territory, and

1
2
3
4 464 are mainly spread in the eastern half of the research perimeter. The high slopes and altitudes
5
6
7 465 from the southern and northern parts of Zăbala river catchment are covered by high and very
8
9
10 466 high flash-flood potential which span on 42.32% of the research area.

11 467 **5.4. Results of Logistic Regression - Weights of Evidence (LR-WOE)**

12
13 468 Following the trial process, the highest accuracy of the model was obtained by the application
14
15
16 469 of a cut-off value of 0.5. Thus, according to Fig. 5 and Table 5, it can be observed that the
17
18
19 470 best accuracy of 86.87% was associated to a Sensitivity of 83.8% and a Specificity of
20
21
22 471 89.94%. The good performance of the classification performed through LR-WOE model is
23
24
25 472 also indicated by the classification plot (Fig. 6) in which the observed and predicted
26
27
28 473 probabilities are distributed according to their frequencies. More specifically, this plot
29
30
31 474 displays the frequency in which the model would predict a flash-flood outcome, encoded
32
33
34 475 with '1', using the computed predicted probability in the case in which the outcome was
35
36
37 476 'non-flash-flood'. Therefore, the distribution of observation cases, predominantly in the
38
39
40 477 extreme left and right of the plot, indicate the very good performance of the model. This
41
42
43 478 situation is associated with the absence of the cases in the middle region of the plot.

44 479 One of the most important output of the LR-WOE training process is represented by the
45
46
47 480 logistic regression coefficients values (β). Thus, it can be noted that the land use achieved the
48
49
50 481 highest coefficient (1.603), followed by slope (1.274), aspect (1.253), MFI (0.835), TWI
51
52
53 482 (0.431), plan curvature (0.377), convergence index (0.102), lithology (0.099), hydrological
54
55
56 483 soil groups (0.098) and profile curvature (-0.215) (Table 6). Further, by using these
57
58
59 484 coefficients in equation 11 implemented in Map Algebra of ArcGIS 10.3 software, the flash-

1
2
3
4 485 flood potential was calculated. The $FFPI_{LR-WOE}$ values were standardized between 0 and 1
5
6
7 486 and their values were reclassified into 5 classes using *Natural Breaks* method (Fig. 7c).
8
9
10 487 The very low values, between 0 and 0.47, are spread on 7.95% of the study area and are
11
12 488 mainly present in the eastern half of Zăbala catchment. The low $FFPI_{LR-WOE}$, between 0.48 –
13
14
15 489 0.57, can be found especially in the western half of the research zone and occupy 25.45% of
16
17
18 490 the territory. The medium $FFPI_{LR-WOE}$, ranging from 0.58 to 0.69, cover 25.2% of the research
19
20
21 491 zone and are randomly distributed over the Zăbala river catchment. The high and very high
22
23 492 flash-flood potential, with $FFPI_{LR-WOE}$ higher than 0.7, span on around 41.4% of the entire
24
25
26 493 study area and can be found mainly in the northern and southern halves.

28 494 **5.4. Results of Classification and Regression Trees - Weights of Evidence (CART-WOE)**

30
31 495 The training process of CART-WOE was done by optimizing the number of parent and
32
33
34 496 terminal nodes of the best pruned tree. The optimization was done according to the accuracy
35
36
37 497 value presented in Table 5. Thus, the highest accuracy (86.31%) was achieved with a tree
38
39
40 498 characterized by a number 4 terminal nodes (Fig. 8a).

41
42 499 According to the training process, the highest importance was assigned to Slope (0.559),
43
44
45 500 followed by Convergence Index (0.081), MFI (0.059), TWI (0.037), plan curvature (0.034),
46
47
48 501 hydrological soil groups (0.029), lithology (0.015), aspect (0.003), profile curvature (0.002)
49
50
51 502 and land use (0.001). The computation of $FFPI_{CART-WOE}$, assumed the use of flash-flood
52
53
54 503 predictors relative weights, in GIS map algebra.

55
56 504 The normalized values of $FFPI_{CART-WOE}$ were reclassified into 5 groups using the *Natural*
57
58
59 505 *Breaks* method (Fig. 7d). The very low class of flash-flood potential, between 0 and 0.1,
60

1
2
3
4 506 covers a small area equal to 2.36% of the Zăbala river catchments, and is spread only in the
5
6
7 507 eastern part. The low values span on 7.39% and range between 0.11 and 0.4. The medium
8
9
10 508 class of $FFPI_{CART-WOE}$ accounts approximately 47.3% of the study area and is randomly and
11
12 509 covers large areas in the eastern half of Zăbala river catchment. The high and very high values
13
14
15 510 have 42.4% of the perimeter of Zăbala river catchment and are characterized by $FFPI_{CART-}$
16
17
18 511 WOE values higher than 0.5. These critical areas are mainly located in the western side of the
19
20
21 512 research territory.

23 513 **5.5. Results of Radial Basis Function Neural Network - Weights of Evidence (RBFNN-** 24 25 26 514 **WOE)**

27
28 515 The optimal RBFNN-WOE architecture was established in concordance with the highest
29
30
31 516 performances achieved during the model training. A first indicator of the performance is the
32
33
34 517 confusion matrix (Table 5), that revealed a highest accuracy of 84.91% associated to an
35
36
37 518 architecture with a number of 14 hidden neurons within the hidden layer (Fig. 8b). The very
38
39
40 519 good performance of RBFNN-WOE classification is revealed also by the AUC (0.906) ROC
41
42
43 520 Curve constructed for both flash-flood and non-flash-flood sample (Fig. 9a). At the same
44
45
46 521 time the pseudoprobability plot (Fig. 9b), in which the values above 0.5 of y-axis highlight
47
48
49 522 represent the correct classification, attest that the flash-flood and non-flash-flood locations
50
51
52 523 are correctly classified. Moreover, the high performance of RBFNN-WOE ensemble
53
54
55 524 classification is indicated also by Lift (Fig. 9c) and Gain (Fig. 9d) charts.

56 525 After the training procedure, the importance of flash-flood predictors was derived. Thus, the
57
58
59 526 highest importance was achieved by slope (0.251), followed by land use (0.122), MFI
60

1
2
3
4 527 (0.102), TWI (0.091), lithology (0.078), plan curvature (0.078), convergence index (0.074),
5
6
7 528 aspect (0.073), profile curvature (0.069) and hydrological soil groups (0.062). Using these
8
9
10 529 values, the $FFPI_{RBFNN-WOE}$ was calculated. Further the standardized range of $FFPI_{RBFNN-WOE}$
11
12 530 was grouped into 5 classes using *Natural Break* method. The first class, between 0 and 0.3,
13
14
15 531 cover 2.59% of the study area and belongs to the surfaces characterized by a very low flash-
16
17
18 532 flood potential (Fig. 7e). Approximately 17.74% of Zăbala river catchment has a low
19
20
21 533 $FFPI_{RBFNN-WOE}$ with values between 0.31 and 0.45 which are located mainly in the eastern
22
23
24 534 half of the research zone. Around 37.38% of the river basin has a medium flash-flood
25
26
27 535 potential which can be found especially in the western part of the research area. Together,
28
29 536 the high and very high values of $FFPI_{RBFNN-WOE}$ account 41.29% of the entire territory.

30 537

31 538 **5.6. Validation of FFPI results**

32
33
34 539 The first step in FFPI the results validation is the application of ROC Curve with their 2 plots
35
36
37 540 represented by Success Rate, constructed with the training sample, and Prediction Rate,
38
39
40 541 constructed with the validating sample. Thus, the Fig. 11a indicates that, in terms of Success
41
42
43 542 Rate, the highest performance was achieved by $FFPI_{LR-WOE}$ with an AUC of 0.923, being
44
45
46 543 followed by $FFPI_{RBFNN-WOE}$ (AUC = 0.911), $FFPI_{AHP-WOE}$ (AUC = 0.903), $FFPI_{CART-WOE}$
47
48
49 544 (AUC = 0.901) and $FFPI_{WOE}$ (AUC = 0.865). Instead, the highest performance in terms of
50
51
52 545 Prediction Rate was achieved by $FFPI_{AHP-WOE}$ (AUC = 0.894), followed by $FFPI_{CART-WOE}$
53
54
55 546 (AUC = 0.891), $FFPI_{RBFNN-WOE}$ (AUC = 0.88), $FFPI_{LR-WOE}$ (AUC = 0.875) and $FFPI_{WOE}$
56
57
58 547 (AUC = 0.854).

1
2
3
4 548 The second stage of results validation consisted in the computation of several statistical
5
6
7 549 metrics. As can be observed in Table 7, the use of training sample, highlights as most accurate
8
9
10 550 results the $FFPI_{LR-WOE}$ (Accuracy = 0.877), followed by $FFPI_{RBFNN-WOE}$ (Accuracy = 0.866),
11
12 551 $FFPI_{AHP-WOE}$ (Accuracy = 0.86), $FFPI_{CART-WOE}$ (Accuracy = 0.846) and $FFPI_{WOE}$ (Accuracy
13
14 = 0.793). It can be observed that the hierarchy of the values of the other statistical metrics
15 552
16
17 553 followed the same pattern as accuracy indicator in terms of training dataset. Instead, in terms
18
19
20 554 of validating dataset, the most accurate results is $FFPI_{AHP-WOE}$ (Accuracy = 0.882), followed
21
22
23 555 by $FFPI_{LR-WOE}$ (Accuracy = 0.868), $FFPI_{RBFNN-WOE}$ (Accuracy = 0.862), $FFPI_{CART-WOE}$
24
25
26 556 (Accuracy = 0.855) and $FFPI_{WOE}$ (Accuracy = 0.803).
27

557

558 **5.7 Flash-Flood Propagation Susceptibility Index (FFPSI)**

559 The novel FFPSI was calculated for each model according to the methodology described at
560 sub-section 4.8. It should be noted that FFPSI values were classified using Natural Break
561 algorithm. Thus, in terms of WOE method (Fig. 12a), the spatial modelling of FFPSI
562 revealed that a percentage of 5.59% of identified valleys have a very low flash-flood
563 propagation susceptibility. These valleys are located especially in the eastern part of Zăbala
564 river catchment. Another percentage of 15.68% is represented by the valleys with a low flash-
565 flood propagation susceptibility which are situated on the median part of study area. The
566 medium $FFPSI_{WOE}$ represents 25.19%, while the high and very high potential characterize
567 53.55% of the identified river valleys (Fig. 13).

568 Fig. 12b indicates that only 0.66% of the identified river valleys are characterized by a very
569 low flash-flood propagation susceptibility according to the AHP-WOE ensemble. The very
60

1
2
3
4 570 low flash-flood propagation susceptibility is encountered on around of 19.55% of the river
5
6
7 571 valleys, while medium FFPSI_{AHP-WOE} characterizes 22.31% (Fig. 12b). The high and very
8
9
10 572 high potential for flash-flood propagation is characteristic for 57.48% of the analyzed river
11
12 573 valleys. In terms of LR-WOE ensemble the classes of flash-flood propagation susceptibility
13
14
15 574 have the following spatial distribution: very low – 7.92%, low – 18.92%, medium – 22.66%,
16
17
18 575 high – 30.25% and very high – 20.25% (Fig. 12c).

19
20 576 Following the application of CART-WOE ensemble, the very low flash-flood propagation
21
22
23 577 susceptibility appears on 0.73% of the river valleys, the low susceptibility is present on
24
25
26 578 20.78%, medium susceptibility on 22.68%, while the high and very high susceptibility has
27
28
29 579 55.81% of the total analyzed valleys (Fig. 12d). In terms of RBFNN-WOE, the highest
30
31
32 580 percentage is represented by the valleys with a high flash-flood propagation susceptibility
33
34
35 581 (28.81%), followed by the very high propagation susceptibility (26.69%), medium
36
37
38 582 propagation susceptibility (20.62%), low propagation susceptibility (18.58%) and very low
39
40
41 583 flash-flood propagation susceptibility (5.3%) (Fig. 12d).

42 584 **6. Discussions**

43
44 585 This study is conducted in the undeniable context of the global climate change and its effects
45
46
47 586 on the inevitable multiplication of hydrological risk phenomena such as flash-floods (Fowler
48
49 587 and Wilby, 2010). It should be noted that the previous studies regarding the estimation of
50
51
52 588 flash-flood susceptibility by machine learning techniques, carried out so far, did not include
53
54
55 589 the study of the susceptibility of the valleys to the propagation of flash-flood waves (Anquetin
56
57
58 590 et al., 2010; Janizadeh et al., 2019). Moreover, many researchers were focused, in their
59
60 591 previous works, only on the evaluation of normal flood susceptibility (Azareh et al., 2019;

1
2
3
4 592 Dodangeh et al., 2020; Hosseini et al., 2021; Mosavi et al., 2020), without taking into account
5
6
7 593 the flash-flood phenomenon particularities. Besides of the previous research works which
8
9
10 594 take into account only the local flood susceptibility given by the punctual conditions and
11
12 595 rainfall, this article proposes a new and complete approach regarding the study of slopes
13
14
15 596 susceptibility to runoff and, also, regarding the susceptibility of valleys to the propagation of
16
17
18 597 the flash-floods. Therefore, through FFPSI, for each valley across the study area are
19
20 598 highlighted the characteristics of the upslope catchment that could determine a high exposure
21
22
23 599 to flash-flood. This new approach was conducted with the help of bivariate statistics and
24
25
26 600 machine learning and also using the Flow Accumulation procedure. In fact, the propagation
27
28
29 601 of the flash-flood wave is the element that generates the most significant material damage
30
31 602 and loss of human life (Mujumdar, 2001). Therefore, the integrated study of the surface
32
33
34 603 runoff potential on the slopes and the susceptibility of the valleys to flash-flood waves
35
36
37 604 propagation provides the clearest overview of the areas along the rivers that are at risk of
38
39
40 605 being affected. Usually, the flash-flood waves propagation is simulated with the help of 1D
41
42
43 606 (Leandro et al., 2011) or 2D (Abderrezzak et al., 2009) models, these approaches having the
44
45
46 607 disadvantage of the fact that, unlike the workflow proposed in the present study, the
47
48
49 608 realization of such a modeling at the level of a hydrographic basin of over 500 km² is time
50
51
52 609 consuming and requires a very large volume of data which are often very expensive (Dewals
53
54
55 610 et al., 2008).

56 611 This research paper includes a first part in which the Flash-Flood Potential Index was
57
58
59 612 calculated and spatialized through 5 models, and the second part in which the Flash-Flood
60

1
2
3
4 613 Propagation Susceptibility Index was proposed, calculated and spatialized for the first time
5
6
7 614 in the literature, taking into account the results of the first part and applying the Flow
8
9
10 615 Accumulation method. The results regarding FFPI reveal a high performance of the applied
11
12 616 models, these being characterized by AUC-ROC Curve values higher than 0.854.

13
14
15 617 It is also observed that the ensemble models obtained significantly better results compared to
16
17 618 the stand-alone WOE model. Thus, in the case of training sample, WOE obtained an AUC
19
20 619 equal to 0.865, this being clearly smaller than the weakest ensemble model, CART-WOE,
21
22 620 which had an AUC equal to 0.901. The same aspect is true for the validating sample, where
23
24 621 the WOE model obtained an AUC of 0.854, significantly lower than the AUC of 0.875 which
25
26 622 was achieved by the LR-WOE ensemble. The higher performance of the ensemble models
27
28 623 compared to the stand-alone ones, within the evaluation of flash-flood susceptibility, was
29
30 624 also highlighted in the previous studies. Thus, according to Arabameri et al. (2020), the
31
32 625 hybrid models are used to enhance the prediction ability of the algorithms used to map the
33
34 626 spatial distribution of natural phenomena likelihood. Moreover, Pham et al. (2016) indicate
35
36 627 that the ensemble models are superior to the stand-alone ones. Additionally, Costache et al.
37
38 628 (2020c) highlight the superiority of *k*-Nearest Neighbor and K-Star ensembles with
39
40 629 Analytical Hierarchy Process comparing to the stand-alone models, in terms of flash-flood
41
42 630 susceptibility assessment.

43
44
45 631 The second part of the study, in which the FFPSI is spatialized, shows that the most exposed
46
47 632 valleys to the propagation of flash-floods are those in the immediate vicinity of the slopes
48
49 633 located in the central-southern and central-northern areas of the Zabala river basin. Also, it
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4 634 can be observed the predominance in the study area, in percentages higher than 50%, of the
5
6
7 635 valleys having a high and very high potential for the flash-flood propagation. This fact is
8
9
10 636 another indication of the existence of a high exposure of socio-economic elements in the
11
12 637 study area to flash-floods.

15 638 **7. Conclusions**

17
18 639 In the present study a complex methodological workflow was developed to estimate the
19
20 640 susceptibility to flash-flood propagation in the Zabala river basin. In this regard, a number of
21
22
23 641 10 flash-flood predictors and 255 flash-flood and 255 non-flash-flood locations were used as
24
25
26 642 input data in the following models: WOE, AHP-WOE, LR-WOE, CART-WOE and RBFNN-
27
28
29 643 WOE. These models were used in order to estimate the flash-flood potential index across the
30
31
32 644 study area. The training process and, after that, the validation of the results achieved, required
33
34 645 the split of flash-flood and flood datasets into training and validating samples. In order to
35
36
37 646 map the FFPI, the *Natural Break* classification method was used for the results of all applied
38
39
40 647 models. According to the results provided, a surface between 41% and 55% of the study area
41
42
43 648 is covered by a high and very high flash-flood potential. The results validation, which is
44
45
46 649 mandatory in this type of studies, revealed that LR-WOE, in terms of training sample (AUC
47
48 650 = 0.923), and AHP-WOE, in terms of validating sample (0.894), were the most performant
49
50
51 651 models. In order to estimate the flash-flood propagation susceptibility index (FFPSI), the
52
53
54 652 results provided by the 5 models were integrated in the Flow Accumulation procedure. Thus,
55
56
57 653 it revealed that around 56% of the river valleys identified within the study area are
58
59
60 654 characterized by a high and very high FFPSI values.

1
2
3
4 655 The main element of novelty that characterizes this study is represented by the use and
5
6
7 656 computation for the first time in the literature of Flash-Flood Propagation Susceptibility
8
9
10 657 Index (FFPSI), which is of a real help to create a complete overview regarding the flash-flood
11
12
13 658 susceptibility at the level of a river catchment. Another element of novelty is represented by
14
15
16 659 the use for the first time in the literature of the following ensemble models in order to
17
18 660 determine the flash-flood susceptibility: AHP-WOE and RBFNN-WOE.

19
20
21 661 The accurate results, atested by the results validation procedure, make from this study a
22
23
24 662 benchmark for future studies related to the assessment of susceptibility to flash-floods in
25
26
27 663 other study areas. Also, given the accuracy of the results, this study can be used by
28
29 664 government authorities to mitigate the negative effects of flash-flood phenomena.

30
31 665

32 666 **References**

- 33
34
35 667 Abderrezzak, K.E.K., Paquier, A., Mignot, E., 2009. Modelling flash flood propagation in
36 668 urban areas using a two-dimensional numerical model. *Nat. Hazards* 50, 433–460.
- 37
38 669 Agarwal, E., Agarwal, R., Garg, R., Garg, P., 2013. Delineation of groundwater potential
39 670 zone: An AHP/ANP approach. *J. Earth Syst. Sci.* 122, 887–898.
- 40
41 671 Aguilar, M.A., del Mar Saldaña, M., Aguilar, F.J., 2013. Assessing geometric accuracy of
42 672 the orthorectification process from GeoEye-1 and WorldView-2 panchromatic
43 673 images. *Int. J. Appl. Earth Obs. Geoinformation* 21, 427–435.
- 44
45 674 Ahmadlou, M., Karimi, M., Alizadeh, S., Shirzadi, A., Parvinnejhad, D., Shahabi, H., Panahi,
46 675 M., 2019. Flood susceptibility assessment using integration of adaptive network-
47 676 based fuzzy inference system (ANFIS) and biogeography-based optimization (BBO)
48 677 and BAT algorithms (BA). *Geocarto Int.* 34, 1252–1272.
- 49
50 678 Akıncı, H., Özalp, A.Y., Turgut, B., 2013. Agricultural land use suitability analysis using
51 679 GIS and AHP technique. *Comput. Electron. Agric.* 97, 71–82.
- 52
53 680 Al-Abadi, A.M., 2018. Mapping flood susceptibility in an arid region of southern Iraq using
54 681 ensemble machine learning classifiers: a comparative study. *Arab. J. Geosci.* 11, 218.
- 55
56 682 Al-Abadi, A.M., Shahid, S., 2015. A comparison between index of entropy and catastrophe
57 683 theory methods for mapping groundwater potential in an arid region. *Environ. Monit.*
58 684 *Assess.* 187, 576.
- 59
60 685 Ali, S.A., Parvin, F., Pham, Q.B., Vojtek, M., Vojteková, J., Costache, R., Linh, N.T.T.,
686 686 Nguyen, H.Q., Ahmad, A., Ghorbani, M.A., 2020. GIS-based comparative

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

- 687 assessment of flood susceptibility mapping using hybrid multi-criteria decision-
688 making approach, naïve Bayes tree, bivariate statistics and logistic regression: A case
689 of Topľa basin, Slovakia. *Ecol. Indic.* 117, 106620.
690 <https://doi.org/10.1016/j.ecolind.2020.106620>
- 691 Althuwaynee, Omar F, Pradhan, B., Park, H.-J., Lee, J.H., 2014. A novel ensemble bivariate
692 statistical evidential belief function with knowledge-based analytical hierarchy
693 process and multivariate statistical logistic regression for landslide susceptibility
694 mapping. *Catena* 114, 21–36.
- 695 Althuwaynee, Omar F., Pradhan, B., Park, H.-J., Lee, J.H., 2014. A novel ensemble decision
696 tree-based CHi-squared Automatic Interaction Detection (CHAID) and multivariate
697 logistic regression models in landslide susceptibility mapping. *Landslides* 11, 1063–
698 1078.
- 699 Ameri, A.A., Pourghasemi, H.R., Cerda, A., 2018. Erodibility prioritization of sub-
700 watersheds using morphometric parameters analysis and its mapping: A comparison
701 among TOPSIS, VIKOR, SAW, and CF multi-criteria decision making models. *Sci.*
702 *Total Environ.* 613, 1385–1400.
- 703 Anquetin, S., Braud, I., Vannier, O., Viallet, P., Boudevillain, B., Creutin, J.-D., Manus, C.,
704 2010. Sensitivity of the hydrological response to the variability of rainfall fields and
705 soils for the Gard 2002 flash-flood event. *J. Hydrol.* 394, 134–147.
- 706 Antonetti, M., Horat, C., Sideris, I.V., Zappa, M., 2019. Ensemble flood forecasting
707 considering dominant runoff processes–Part 1: Set-up and application to nested
708 basins (Emme, Switzerland). *Nat. Hazards Earth Syst. Sci.* 19, 19–40.
- 709 Antronico, L., Coscarelli, R., De Pascale, F., Condino, F., 2019. Social Perception of Geo-
710 Hydrological Risk in the Context of Urban Disaster Risk Reduction: A Comparison
711 between Experts and Population in an Area of Southern Italy. *Sustainability* 11, 2061.
- 712 Arabameri, A., Pradhan, B., Rezaei, K., Sohrabi, M., Kalantari, Z., 2019. GIS-based landslide
713 susceptibility mapping using numerical risk factor bivariate model and its ensemble
714 with linear multivariate regression and boosted regression tree algorithms. *J. Mt. Sci.*
715 16, 595–618.
- 716 Arabameri, A., Saha, S., Chen, W., Roy, J., Pradhan, B., Bui, D.T., 2020. Flash flood
717 susceptibility modelling using functional tree and hybrid ensemble techniques. *J.*
718 *Hydrol.* 125007.
- 719 Avand, M., Janizadeh, S., Naghibi, S.A., Pourghasemi, H.R., Khosrobeigi Bozchaloei, S.,
720 Blaschke, T., 2019. A Comparative Assessment of Random Forest and k-Nearest
721 Neighbor Classifiers for Gully Erosion Susceptibility Mapping. *Water* 11, 2076.
- 722 Azareh, A., Rafiei Sardooi, E., Choubin, B., Barkhori, S., Shahdadi, A., Adamowski, J.,
723 Shamshirband, S., 2019. Incorporating multi-criteria decision-making and fuzzy-
724 value functions for flood susceptibility assessment. *Geocarto Int.* 1–21.
- 725 Breiman, L., Friedman, J.H., Olshen, R.A., Stone, C.J., 1984. Classification and regression
726 trees. Belmont, CA: Wadsworth. Int. Group 432, 151–166.
- 727 Bui, D.T., Bui, Q.-T., Nguyen, Q.-P., Pradhan, B., Nampak, H., Trinh, P.T., 2017. A hybrid
728 artificial intelligence approach using GIS-based neural-fuzzy inference system and

- 1
2
3 729 particle swarm optimization for forest fire susceptibility modeling at a tropical area.
4 730 *Agric. For. Meteorol.* 233, 32–44.
- 6 731 Bui, D.T., Lofman, O., Revhaug, I., Dick, O., 2011. Landslide susceptibility analysis in the
7 732 Hoa Binh province of Vietnam using statistical index and logistic regression. *Nat.*
8 733 *Hazards* 59, 1413.
- 10 734 Bui, D.T., Moayedi, H., Kalantar, B., Osouli, A., Pradhan, B., Nguyen, H., Rashid, A.S.A.,
11 735 2019a. A novel swarm intelligence—Harris hawks optimization for spatial
12 736 assessment of landslide susceptibility. *Sensors* 19, 3590.
- 14 737 Bui, D.T., Tsangaratos, P., Ngo, P.-T.T., Pham, T.D., Pham, B.T., 2019b. Flash flood
15 738 susceptibility modeling using an optimized fuzzy rule based feature selection
16 739 technique and tree based ensemble methods. *Sci. Total Environ.* 668, 1038–1054.
- 18 740 Canbek, G., Sagiroglu, S., Temizel, T.T., Baykal, N., 2017. Binary classification
19 741 performance measures/metrics: A comprehensive visualized roadmap to gain new
20 742 insights. Presented at the 2017 International Conference on Computer Science and
21 743 Engineering (UBMK), IEEE, pp. 821–826.
- 24 744 Cao, C., Xu, P., Wang, Y., Chen, J., Zheng, L., Niu, C., 2016. Flash flood hazard
25 745 susceptibility mapping using frequency ratio and statistical index methods in
26 746 coalmine subsidence areas. *Sustainability* 8, 948.
- 28 747 Chapi, K., Singh, V.P., Shirzadi, A., Shahabi, H., Bui, D.T., Pham, B.T., Khosravi, K., 2017.
29 748 A novel hybrid artificial intelligence approach for flood susceptibility assessment.
30 749 *Environ. Model. Softw.* 95, 229–245.
- 32 750 Chen, W., Li, H., Hou, E., Wang, S., Wang, G., Panahi, M., Li, T., Peng, T., Guo, C., Niu,
33 751 C., 2018. GIS-based groundwater potential analysis using novel ensemble weights-
34 752 of-evidence with logistic regression and functional tree models. *Sci. Total Environ.*
35 753 634, 853–867.
- 37 754 Chen, W., Li, W., Hou, E., Bai, H., Chai, H., Wang, D., Cui, X., Wang, Q., 2015. Application
38 755 of frequency ratio, statistical index, and index of entropy models and their comparison
39 756 in landslide susceptibility mapping for the Baozhong Region of Baoji, China. *Arab.*
40 757 *J. Geosci.* 8, 1829–1841.
- 43 758 Chen, W., Pradhan, B., Li, S., Shahabi, H., Rizeei, H.M., Hou, E., Wang, S., 2019. Novel
44 759 hybrid integration approach of bagging-based fisher’s linear discriminant function for
45 760 groundwater potential analysis. *Nat. Resour. Res.* 1–20.
- 47 761 Chen, Z., Liang, S., Ke, Y., Yang, Z., Zhao, H., 2019. Landslide susceptibility assessment
48 762 using evidential belief function, certainty factor and frequency ratio model at Baxie
49 763 River basin, NW China. *Geocarto Int.* 34, 348–367.
- 51 764 Choubin, B., Moradi, E., Golshan, M., Adamowski, J., Sajedi-Hosseini, F., Mosavi, A., 2019.
52 765 An Ensemble prediction of flood susceptibility using multivariate discriminant
53 766 analysis, classification and regression trees, and support vector machines. *Sci. Total*
54 767 *Environ.* 651, 2087–2096.
- 56 768 Costache, R., 2019. Flash-Flood Potential assessment in the upper and middle sector of
57 769 Prahova river catchment (Romania). A comparative approach between four hybrid
58 770 models. *Sci. Total Environ.* 659, 1115–1134.

- 1
2
3
4 771 Costache, R., 2014a. Using GIS techniques for assessing lag time and concentration time in
5 772 small river basins. Case study: Pecineaga river basin, Romania. *Geogr. Tech.* 9, 31–
6 773 38.
- 7
8 774 Costache, R., 2014b. Estimating multiannual average runoff depth in the middle and upper
9 775 sectors of Buzău River Basin. *Geogr. Tech.* 9, 21–29.
- 10
11 776 Costache, R., 2014c. Assessing monthly average runoff depth in Sărățel river basin,
12 777 Romania. *Analele Stiintifice Ale Univ. Alexandru Ioan Cuza Din Iasi-Ser. Geogr.* 60,
13 778 97–110.
- 14
15 779 Costache, R., Bao Pham, Q., Corodescu-Roșca, E., Cîmpianu, C., Hong, H., Thi Thuy Linh,
16 780 N., Ming Fai, C., Najah Ahmed, A., Vojtek, M., Muhammed Pandhiani, S., 2020a.
17 781 Using GIS, Remote Sensing, and Machine Learning to Highlight the Correlation
18 782 between the Land-Use/Land-Cover Changes and Flash-Flood Potential. *Remote*
19 783 *Sens.* 12, 1422.
- 20
21 784 Costache, R., Bui, D.T., 2019. Spatial prediction of flood potential using new ensembles of
22 785 bivariate statistics and artificial intelligence: A case study at the Putna river catchment
23 786 of Romania. *Sci. Total Environ.* 691, 1098–1118.
- 24
25 787 Costache, R., Hong, H., Pham, Q.B., 2020b. Comparative assessment of the flash-flood
26 788 potential within small mountain catchments using bivariate statistics and their novel
27 789 hybrid integration with machine learning models. *Sci. Total Environ.* 711, 134514.
28 790 <https://doi.org/10.1016/j.scitotenv.2019.134514>
- 29
30
31 791 Costache, R., Pham, Q.B., Sharifi, E., Linh, N.T.T., Abba, S., Vojtek, M., Vojteková, J., Nhi,
32 792 P.T.T., Khoi, D.N., 2020c. Flash-Flood Susceptibility Assessment Using Multi-
33 793 Criteria Decision Making and Machine Learning Supported by Remote Sensing and
34 794 GIS Techniques. *Remote Sens.* 12, 106.
- 35
36 795 Costache, R., Tien Bui, D., 2020. Identification of areas prone to flash-flood phenomena
37 796 using multiple-criteria decision-making, bivariate statistics, machine learning and
38 797 their ensembles. *Sci. Total Environ.* 712C, 136492.
39 798 <https://doi.org/10.1016/j.scitotenv.2019.136492>
- 40
41 799 Costache, R., Zaharia, L., 2017. Flash-flood potential assessment and mapping by integrating
42 800 the weights-of-evidence and frequency ratio statistical methods in GIS environment–
43 801 case study: Bâsca Chiojdului River catchment (Romania). *J. Earth Syst. Sci.* 126, 59.
- 44
45 802 Dahri, N., Abida, H., 2017. Monte Carlo simulation-aided analytical hierarchy process
46 803 (AHP) for flood susceptibility mapping in Gabes Basin (southeastern Tunisia).
47 804 *Environ. Earth Sci.* 76, 302.
- 48
49 805 Dano, U.L., 2021. An AHP-based assessment of flood triggering factors to enhance resiliency
50 806 in Dammam, Saudi Arabia. *GeoJournal* 1–16.
- 51
52 807 Dano, U.L., 2020. Flash flood impact assessment in Jeddah City: An analytic hierarchy
53 808 process approach. *Hydrology* 7, 10.
- 54
55 809 Dewals, B., Giron, E., Ernst, J., Hecq, W., Piroton, M., 2008. Integrated assessment of flood
56 810 protection measures in the context of climate change: hydraulic modelling and
57 811 economic approach. *WIT Trans. Ecol. Environ.* 108, 149–159.

- 1
2
3
4 812 Dodangeh, E., Choubin, B., Eigdir, A.N., Nabipour, N., Panahi, M., Shamshirband, S.,
5 813 Mosavi, A., 2020. Integrated machine learning methods with resampling algorithms
6 814 for flood susceptibility prediction. *Sci. Total Environ.* 705, 135983.
- 7
8 815 Dottori, F., Martina, M.L.V., Figueiredo, R., 2018. A methodology for flood susceptibility
9 816 and vulnerability analysis in complex flood scenarios. *J. Flood Risk Manag.* 11,
10 817 S632–S645.
- 11
12 818 Dou, J., Yamagishi, H., Zhu, Z., Yunus, A.P., Chen, C.W., 2018. TXT-tool 1.081-6.1 A
13 819 comparative study of the binary logistic regression (BLR) and artificial neural
14 820 network (ANN) models for GIS-based spatial predicting landslides at a regional scale,
15 821 in: *Landslide Dynamics: ISDR-ICL Landslide Interactive Teaching Tools*. Springer,
16 822 pp. 139–151.
- 17
18 823 Fontanine, I., Costache, R., 2013. Using GIS techniques for surface runoff potential analysis
19 824 in the Subcarpathian area between Buzău and Slânic rivers, in Romania. *Cinq Cont.*
20 825 3, 47–57.
- 21
22 826 Fowler, H., Wilby, R., 2010. Detecting changes in seasonal precipitation extremes using
23 827 regional climate model projections: Implications for managing fluvial flood risk.
24 828 *Water Resour. Res.* 46.
- 25
26 829 Gessesse, B., Bewket, W., Bräuning, A., 2015. Model-based characterization and monitoring
27 830 of runoff and soil erosion in response to land use/land cover changes in the Modjo
28 831 watershed, Ethiopia. *Land Degrad. Dev.* 26, 711–724.
- 29
30 832 Ghosh, A., Kar, S.K., 2018. Application of analytical hierarchy process (AHP) for flood risk
31 833 assessment: a case study in Malda district of West Bengal, India. *Nat. Hazards* 94,
32 834 349–368.
- 33
34 835 Hapciuc, O.-E., Romanescu, G., Minea, I., Iosub, M., Enea, A., Sandu, I., 2016. Flood
35 836 susceptibility analysis of the cultural heritage in the Sucevita catchment (Romania).
36 837 *Int. J. Conserv. Sci.* 7.
- 37
38 838 Hofmann, J., Schüttrumpf, H., 2019. Risk-based early warning system for pluvial flash
39 839 floods: Approaches and foundations. *Geosciences* 9, 127.
- 40
41 840 Hong, H., Pradhan, B., Xu, C., Bui, D.T., 2015. Spatial prediction of landslide hazard at the
42 841 Yihuang area (China) using two-class kernel logistic regression, alternating decision
43 842 tree and support vector machines. *Catena* 133, 266–281.
- 44
45 843 Hong, H., Tsangaratos, P., Ilia, I., Liu, J., Zhu, A.-X., Chen, W., 2018. Application of fuzzy
46 844 weight of evidence and data mining techniques in construction of flood susceptibility
47 845 map of Poyang County, China. *Sci. Total Environ.* 625, 575–588.
- 48
49 846 Hosseini, F.S., Choubin, B., Mosavi, A., Nabipour, N., Shamshirband, S., Darabi, H.,
50 847 Haghighi, A.T., 2020. Flash-flood hazard assessment using ensembles and Bayesian-
51 848 based machine learning models: application of the simulated annealing feature
52 849 selection method. *Sci. Total Environ.* 711, 135161.
- 53
54 850 Hosseini, F.S., Sigaroodi, S.K., Salajegheh, A., Moghaddamnia, A., Choubin, B., 2021.
55 851 Towards a flood vulnerability assessment of watershed using integration of decision-
56 852 making trial and evaluation laboratory, analytical network process, and fuzzy
57 853 theories. *Environ. Sci. Pollut. Res.* 1–12.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

- 854 Janizadeh, S., Avand, M., Jaafari, A., Phong, T.V., Bayat, M., Ahmadisharaf, E., Prakash, I.,
855 Pham, B.T., Lee, S., 2019. Prediction Success of Machine Learning Methods for
856 Flash Flood Susceptibility Mapping in the Tafresh Watershed, Iran. *Sustainability* 11,
857 5426.
- 858 Kanani-Sadat, Y., Arabsheibani, R., Karimipour, F., Nasser, M., 2019. A new approach to
859 flood susceptibility assessment in data-scarce and ungauged regions based on GIS-
860 based hybrid multi criteria decision-making method. *J. Hydrol.* 572, 17–31.
- 861 Kavzoglu, T., Sahin, E.K., Colkesen, I., 2014. Landslide susceptibility mapping using GIS-
862 based multi-criteria decision analysis, support vector machines, and logistic
863 regression. *Landslides* 11, 425–439.
- 864 Khosravi, K., Nohani, E., Maroufinia, E., Pourghasemi, H.R., 2016. A GIS-based flood
865 susceptibility assessment and its mapping in Iran: a comparison between frequency
866 ratio and weights-of-evidence bivariate statistical models with multi-criteria decision-
867 making technique. *Nat. Hazards* 83, 947–987.
- 868 Leandro, J., Djordjević, S., Chen, A., Savić, D., Stanić, M., 2011. Calibration of a 1D/1D
869 urban flood model using 1D/2D model results in the absence of field data. *Water Sci.*
870 *Technol.* 64, 1016–1024.
- 871 Lee, B.-J., Kim, S., 2019. Gridded flash flood risk index coupling statistical approaches and
872 TOPLATS land surface model for mountainous areas. *Water* 11, 504.
- 873 Lei, X., Chen, W., Avand, M., Janizadeh, S., Kariminejad, N., Shahabi, Hejar, Costache, R.,
874 Shahabi, Himan, Shirzadi, A., Mosavi, A., 2020. GIS-based machine learning
875 algorithms for gully erosion susceptibility mapping in a semi-arid region of Iran.
876 *Remote Sens.* 12, 2478.
- 877 Markolf, S.A., Hoehne, C., Fraser, A., Chester, M.V., Underwood, B.S., 2019.
878 Transportation resilience to climate change and extreme weather events—Beyond risk
879 and robustness. *Transp. Policy* 74, 174–186.
- 880 Midi, H., Sarkar, S.K., Rana, S., 2010. Collinearity diagnostics of binary logistic regression
881 model. *J. Interdiscip. Math.* 13, 253–267.
- 882 Miles, J., 2014. Tolerance and variance inflation factor. *Wiley StatsRef Stat. Ref. Online.*
- 883 Mohammady, M., Pourghasemi, H.R., Amiri, M., 2019. Assessment of land subsidence
884 susceptibility in Semnan plain (Iran): a comparison of support vector machine and
885 weights of evidence data mining algorithms. *Nat. Hazards* 1–21.
- 886 Mosavi, A., Golshan, M., Janizadeh, S., Choubin, B., Melesse, A.M., Dineva, A.A., 2020.
887 Ensemble models of GLM, FDA, MARS, and RF for flood and erosion susceptibility
888 mapping: a priority assessment of sub-basins. *Geocarto Int.* 1–20.
- 889 Mujumdar, P., 2001. Flood wave propagation. *Resonance* 6, 66–73.
- 890 Ngo, P.-T., Hoang, N.-D., Pradhan, B., Nguyen, Q., Tran, X., Nguyen, V., Samui, P., Tien
891 Bui, D., 2018. A novel hybrid swarm optimized multilayer neural network for spatial
892 prediction of flash floods in tropical areas using Sentinel-1 SAR imagery and
893 geospatial data. *Sensors* 18, 3704.
- 894 O’Callaghan, J.F., Mark, D.M., 1984. The extraction of drainage networks from digital
895 elevation data. *Comput. Vis. Graph. Image Process.* 28, 323–344.

- 1
2
3 896 Pham, B.T., Nguyen-Thoi, T., Qi, C., Van Phong, T., Dou, J., Ho, L.S., Van Le, H., Prakash,
4 897 I., 2020. Coupling RBF neural network with ensemble learning techniques for
5 898 landslide susceptibility mapping. *Catena* 195, 104805.
- 6
7 899 Pham, B.T., Pradhan, B., Bui, D.T., Prakash, I., Dholakia, M., 2016. A comparative study of
8 900 different machine learning methods for landslide susceptibility assessment: A case
9 901 study of Uttarakhand area (India). *Environ. Model. Softw.* 84, 240–250.
- 10
11 902 Pham, B.T., Shirzadi, A., Bui, D.T., Prakash, I., Dholakia, M., 2018. A hybrid machine
12 903 learning ensemble approach based on a radial basis function neural network and
13 904 rotation forest for landslide susceptibility modeling: A case study in the Himalayan
14 905 area, India. *Int. J. Sediment Res.* 33, 157–170.
- 15
16 906 Pourghasemi, H.R., Beheshtirad, M., Pradhan, B., 2016. A comparative assessment of
17 907 prediction capabilities of modified analytical hierarchy process (M-AHP) and
18 908 Mamdani fuzzy logic models using Netcad-GIS for forest fire susceptibility mapping.
19 909 *Geomat. Nat. Hazards Risk* 7, 861–885.
- 20
21 910 Pradhan, B., 2010. Remote sensing and GIS-based landslide hazard analysis and cross-
22 911 validation using multivariate logistic regression model on three test areas in Malaysia.
23 912 *Adv. Space Res.* 45, 1244–1256.
- 24
25 913 Prăvălie, R., Costache, R., 2014. The analysis of the susceptibility of the flash-floods' genesis
26 914 in the area of the hydrographical basin of Bâsca Chiojdului river/Analiza
27 915 susceptibilitatii genezei viiturilor în aria bazinului hidrografic al râului Bâsca
28 916 Chiojdului. *Forum Geogr.* 13, 39–49. <http://dx.doi.org/10.5775/fg.2067-4635.2014.089.i>
- 29
30 917 Prăvălie, R., Costache, R., 2013. The vulnerability of the territorial-administrative units to
31 918 the hydrological phenomena of risk (flash-floods). Case study: the subcarpathian
32 919 sector of Buzău catchment. *Analele Univ. Din Oradea–Seria Geogr.* 23, 91–98.
- 33
34 920 Qasem, S.N., Shamsuddin, S.M., 2011. Radial basis function network based on time variant
35 921 multi-objective particle swarm optimization for medical diseases diagnosis. *Appl.*
36 922 *Soft Comput.* 11, 1427–1438.
- 37
38 923 Saaty, T.L., 1980. *The analytical hierarchy process, planning, priority.* Resour. Alloc. RWS
39 924 Publ. USA.
- 40
41 925 Sajedi-Hosseini, F., Choubin, B., Solaimani, K., Cerdà, A., Kaviani, A., 2018. Spatial
42 926 prediction of soil erosion susceptibility using a fuzzy analytical network process:
43 927 application of the fuzzy decision making trial and evaluation laboratory approach.
44 928 *Land Degrad. Dev.* 29, 3092–3103.
- 45
46 929 Sun, C.-C., 2010. A performance evaluation model by integrating fuzzy AHP and fuzzy
47 930 TOPSIS methods. *Expert Syst. Appl.* 37, 7745–7754.
- 48
49 931 Talukdar, S., Ghose, B., Salam, R., Mahato, S., Pham, Q.B., Linh, N.T.T., Costache, R.,
50 932 Avand, M., 2020. Flood susceptibility modeling in Teesta River basin, Bangladesh
51 933 using novel ensembles of bagging algorithms. *Stoch. Environ. Res. Risk Assess.* 1–
52 934 24.
- 53
54 935 Urbanowicz, R.J., Meeker, M., La Cava, W., Olson, R.S., Moore, J.H., 2018. Relief-based
55 936 feature selection: introduction and review. *J. Biomed. Inform.*
56 937

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

- 938 Vakhshoori, V., Zare, M., 2018. Is the ROC curve a reliable tool to compare the validity of
939 landslide susceptibility maps? *Geomat. Nat. Hazards Risk* 9, 249–266.
- 940 Wang, Y., Hong, H., Chen, W., Li, S., Panahi, M., Khosravi, K., Shirzadi, A., Shahabi, H.,
941 Panahi, S., Costache, R., 2019. Flood susceptibility mapping in Dingnan County
942 (China) using adaptive neuro-fuzzy inference system with biogeography based
943 optimization and imperialistic competitive algorithm. *J. Environ. Manage.* 247, 712–
944 729.
- 945 Wheeler, D., Tiefelsdorf, M., 2005. Multicollinearity and correlation among local regression
946 coefficients in geographically weighted regression. *J. Geogr. Syst.* 7, 161–187.
- 947 Wu, X., Kumar, V., Quinlan, J.R., Ghosh, J., Yang, Q., Motoda, H., McLachlan, G.J., Ng,
948 A., Liu, B., Philip, S.Y., 2008. Top 10 algorithms in data mining. *Knowl. Inf. Syst.*
949 14, 1–37.
- 950 Yariyan, P., Janizadeh, S., Phong, T.V., Nguyen, H.D., Costache, R., Le, H.V., Pham, B.T.,
951 Pradhan, B., Tiefenbacher, J.P., 2020. Improvement of Best First Decision Trees
952 Using Bagging and Dagging Ensembles for Flood-risk Mapping. *Water Resour.*
953 *Manag.* <https://doi.org/10.1007/s11269-020-02603-7>
- 954 Yeon, Y.-K., Han, J.-G., Ryu, K.H., 2010. Landslide susceptibility mapping in Injae, Korea,
955 using a decision tree. *Eng. Geol.* 116, 274–283.
- 956 Youssef, A.M., Pourghasemi, H.R., Pourtaghi, Z.S., Al-Katheeri, M.M., 2016. Landslide
957 susceptibility mapping using random forest, boosted regression tree, classification
958 and regression tree, and general linear models and comparison of their performance
959 at Wadi Tayyah Basin, Asir Region, Saudi Arabia. *Landslides* 13, 839–856.
- 960 Zaharia, L., Costache, R., Prăvălie, R., Ioana-Toroimac, G., 2017. Mapping flood and
961 flooding potential indices: a methodological approach to identifying areas susceptible
962 to flood and flooding risk. Case study: the Prahova catchment (Romania). *Front. Earth*
963 *Sci.* 11, 229–247.
- 964 Zaharia, L., Costache, R., Prăvălie, R., Minea, G., 2015. Assessment and mapping of flood
965 potential in the Slănic catchment in Romania. *J. Earth Syst. Sci.* 124, 1311–1324.
- 966 Zare, M., Pourghasemi, H.R., Vafakhah, M., Pradhan, B., 2013. Landslide susceptibility
967 mapping at Vaz Watershed (Iran) using an artificial neural network model: a
968 comparison between multilayer perceptron (MLP) and radial basic function (RBF)
969 algorithms. *Arab. J. Geosci.* 6, 2873–2888.
- 970 Zhang, C.-X., Wang, G.-W., Zhang, J.-S., 2012. An empirical bias–variance analysis of
971 DECORATE ensemble method at different training sample sizes. *J. Appl. Stat.* 39,
972 829–850.
- 973 Zhao, G., Pang, B., Xu, Z., Peng, D., Xu, L., 2019. Assessment of urban flood susceptibility
974 using semi-supervised machine learning model. *Sci. Total Environ.* 659, 940–949.
- 975
976
977
978

1
2
3
4 979 **Figure captions**

5 980 **Fig. 1** Study area location within Romania (Source: SRTM, 30 m and field survey database
6 processing)
7

8
9 982 **Fig. 2** Flash-Flood and Flood Predictors (a. Slope; b. Land use; c. Lithology; d. Hydrological
10 Soil Group; e. Plan curvature; f. Profile curvature)
11

12 983
13 984 **Fig. 3** Flash-Flood and Flood Predictors (a. Convergence Index; b. Modified Fournier Index;
14 c. Aspect; d. TWI)
15

16 985
17 986 **Fig. 4** Scheme of the workflow applied in the present research
18

19 987 **Fig. 5** Sensitivity and Specificity values according to classification cutoff
20

21 988 **Fig. 6** Classification plot of the observed groups and predicted probabilities
22

23 989 **Fig. 7.** Flash-Flood Potential Index (a. WOE; b. AHP-WOE; c. LR-WOE; d. CART-WOE;
24 e. RBFNN-WOE)
25

26 990
27 991 **Fig. 8** Optimal models architectures (a. CART-WOE; b. RBFNN-WOE)
28

29 992 **Fig. 9** Performance indicator of RBFNN-WOE ensemble (a. ROC Curve; b. Pseudo-
30 probability plot; c. Lift chart; d. Gain chart)
31

32 993
33 994 **Fig. 10** Weights of FFPI classes
34

35 995 **Fig. 11** ROC Curve (a. Success Rate; b. Prediction Rate)
36

37 996 **Fig. 12** Flash-Flood Propagation Susceptibility Index (a. WOE; b. AHP-WOE; c. LR-WOE;
38 d. CART-WOE; e. RBFNN-WOE)
39

40 997
41 998 **Fig. 13** Weights of FFPSI classes
42

43 999
44

45 1000 **List of tables**

46
47 1001 **Table 2** Multicollinearity assessment and feature selection
48

49 1002 **Table 3** Weights of Evidence values
50

51 1003 **Table 4** Pair-wise comparison matrix and normalized weights for each factor
52

53 1004 **Table 5** Confusion matrices computed for training phase of LR-WOE, CART-WOE and
54 RBFNN-WOE models
55

56 1005
57 1006 **Table 6** Importance of flash-flood predictors to FFPI models
58

59 1007 **Table 7** Statistical metrics used to validate the FFPI results
60

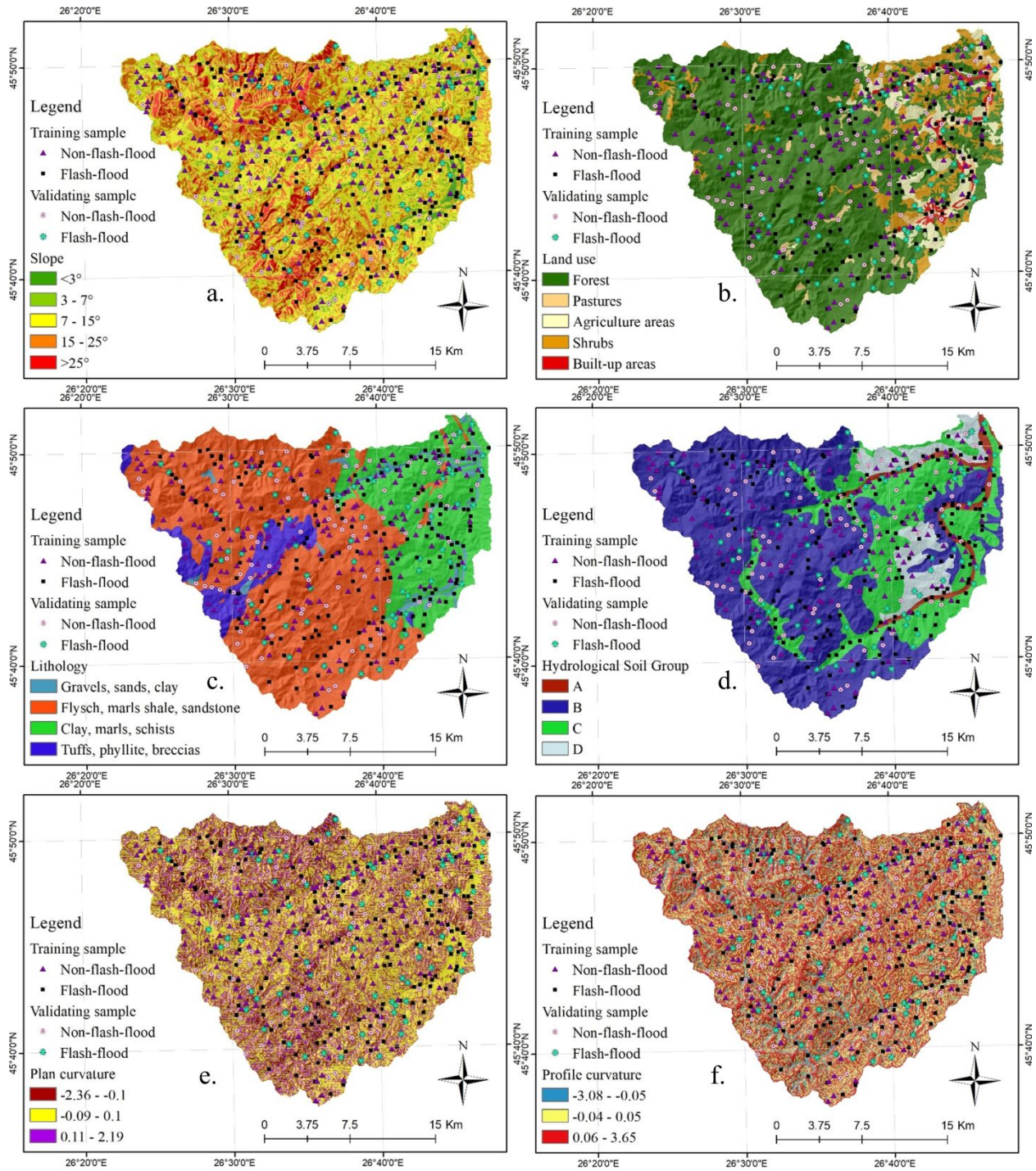


Fig. 2 Flash-Flood and Flood Predictors (a. Slope; b. Land use; c. Lithology; d. Hydrological Soil Group; e. Plan curvature; f. Profile curvature)

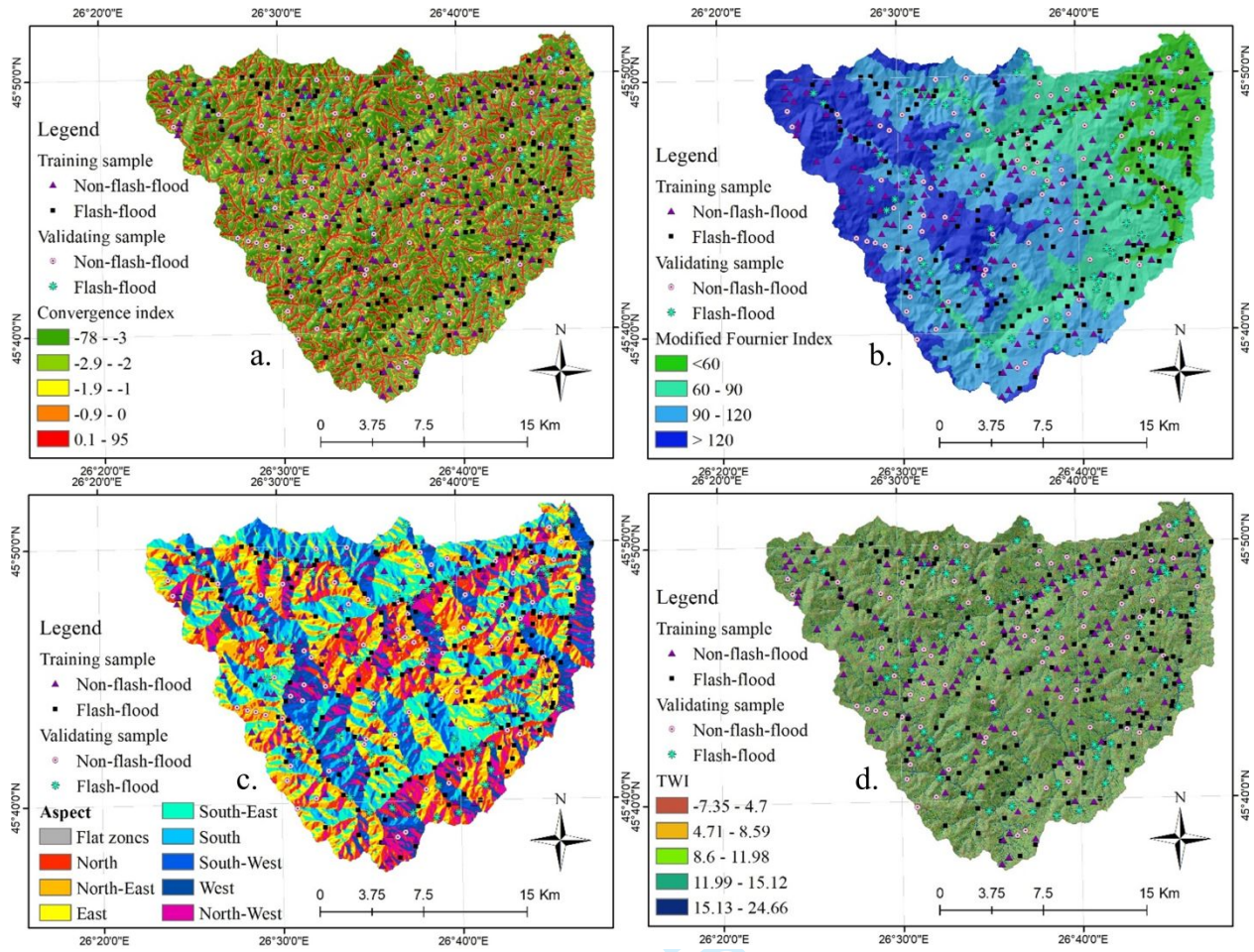


Fig. 3 Flash-Flood and Flood Predictors (a. Convergence Index; b. Modified Fournier Index; c. Aspect; d. TWI)

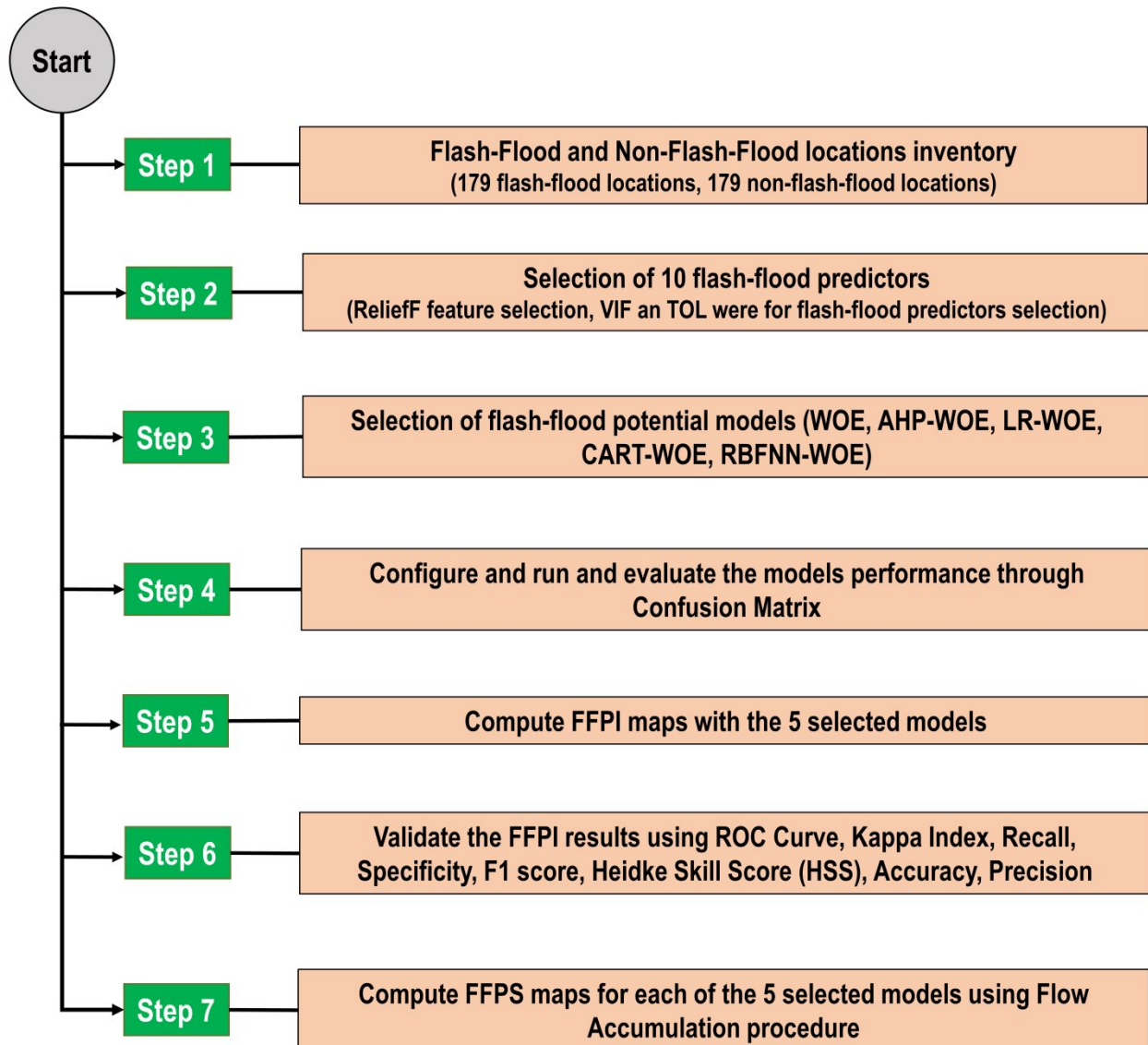


Fig. 4 Scheme of the workflow applied in the present research

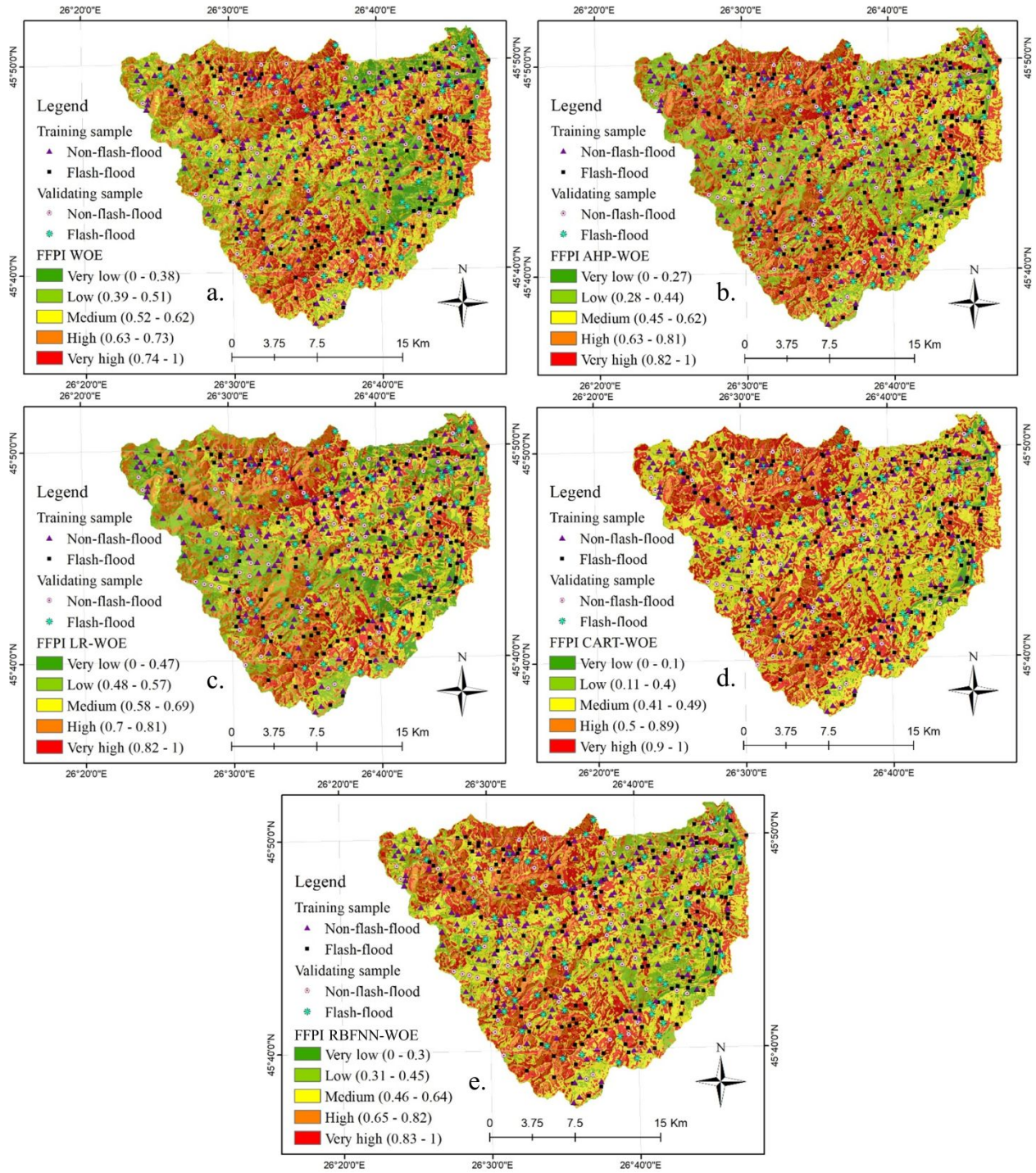


Fig. 7. Flash-Flood Potential Index (a. WOE; b. AHP-WOE; c. LR-WOE; d. CART-WOE; e. RBFNN-WOE)

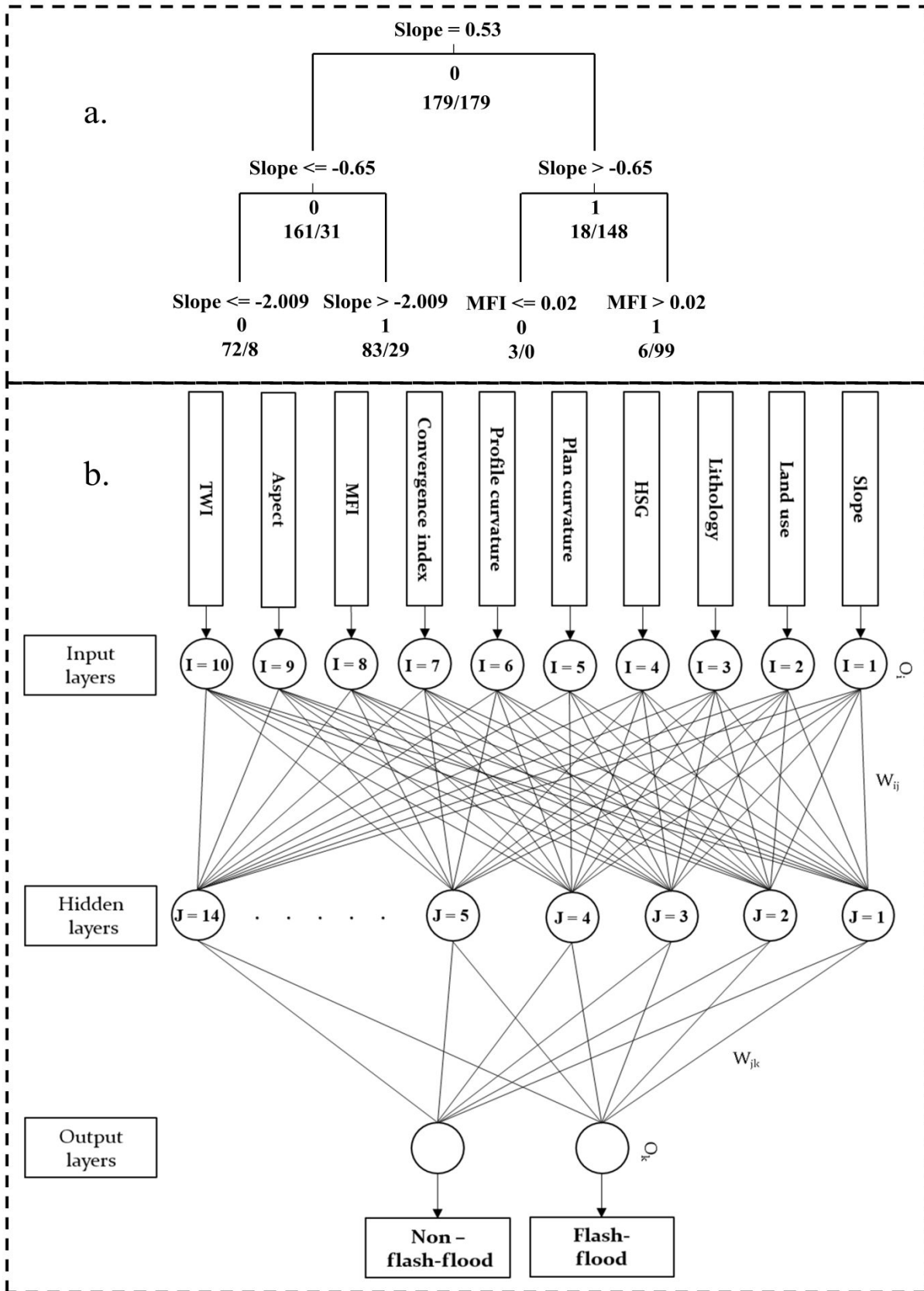


Fig. 8 Optimal models architectures (a. CART-WOE; b. RBFNN-WOE)

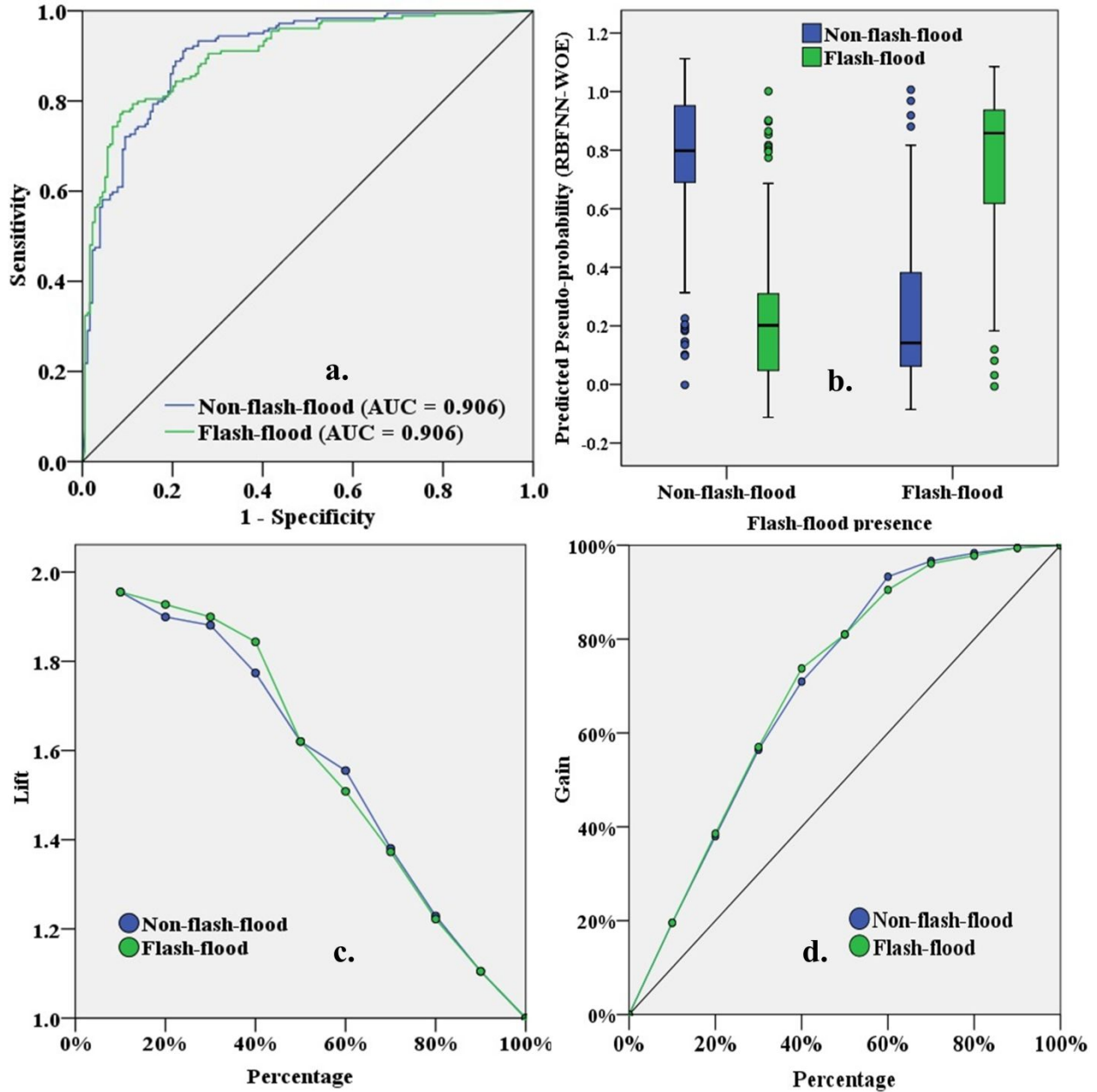


Fig. 9 Performance indicator of RBFNN-WOE ensemble (a. ROC Curve; b. Pseudo-probability plot; c. Lift chart; d. Gain chart)

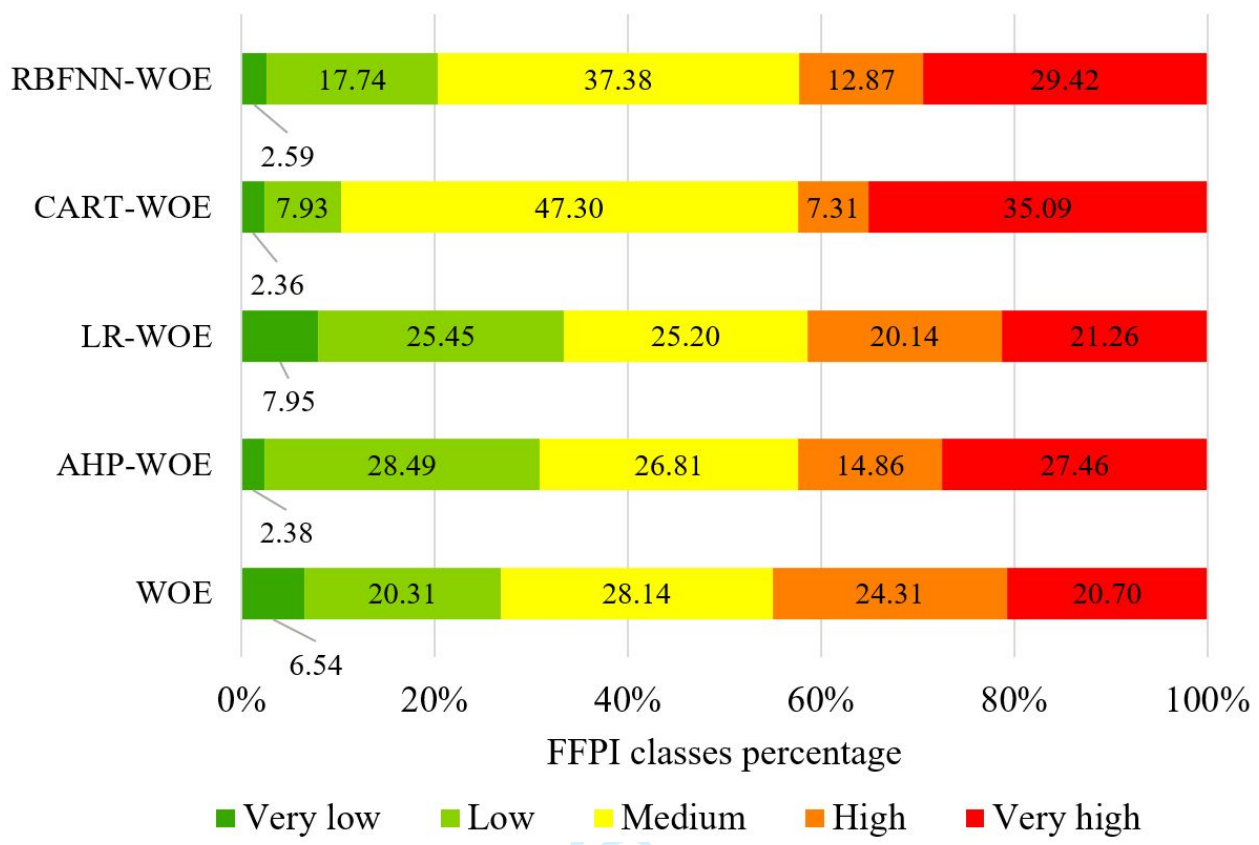


Fig. 10 Weights of FFPI classes

Review Only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

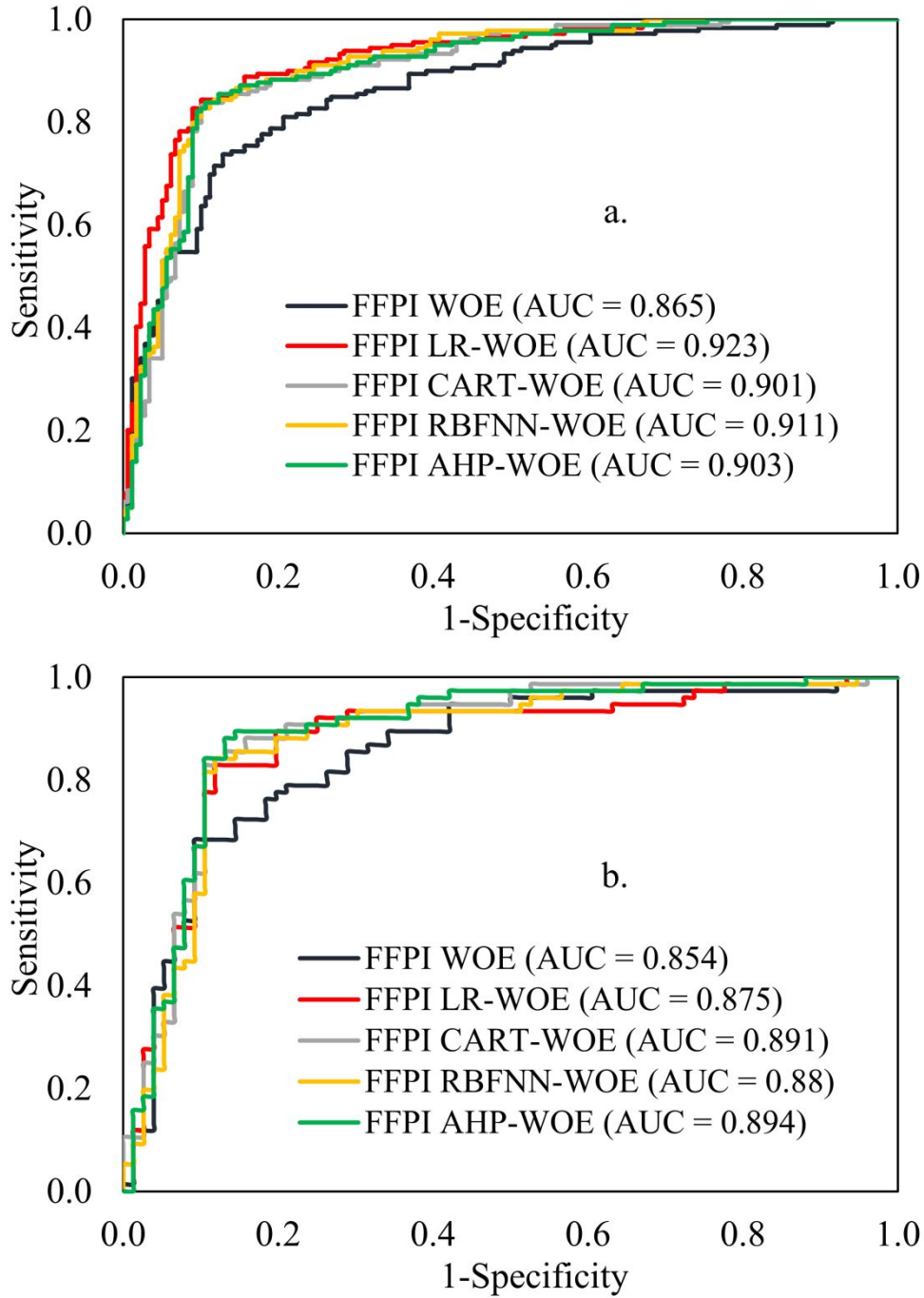


Fig. 11 ROC Curve (a. Success Rate; b. Prediction Rate)

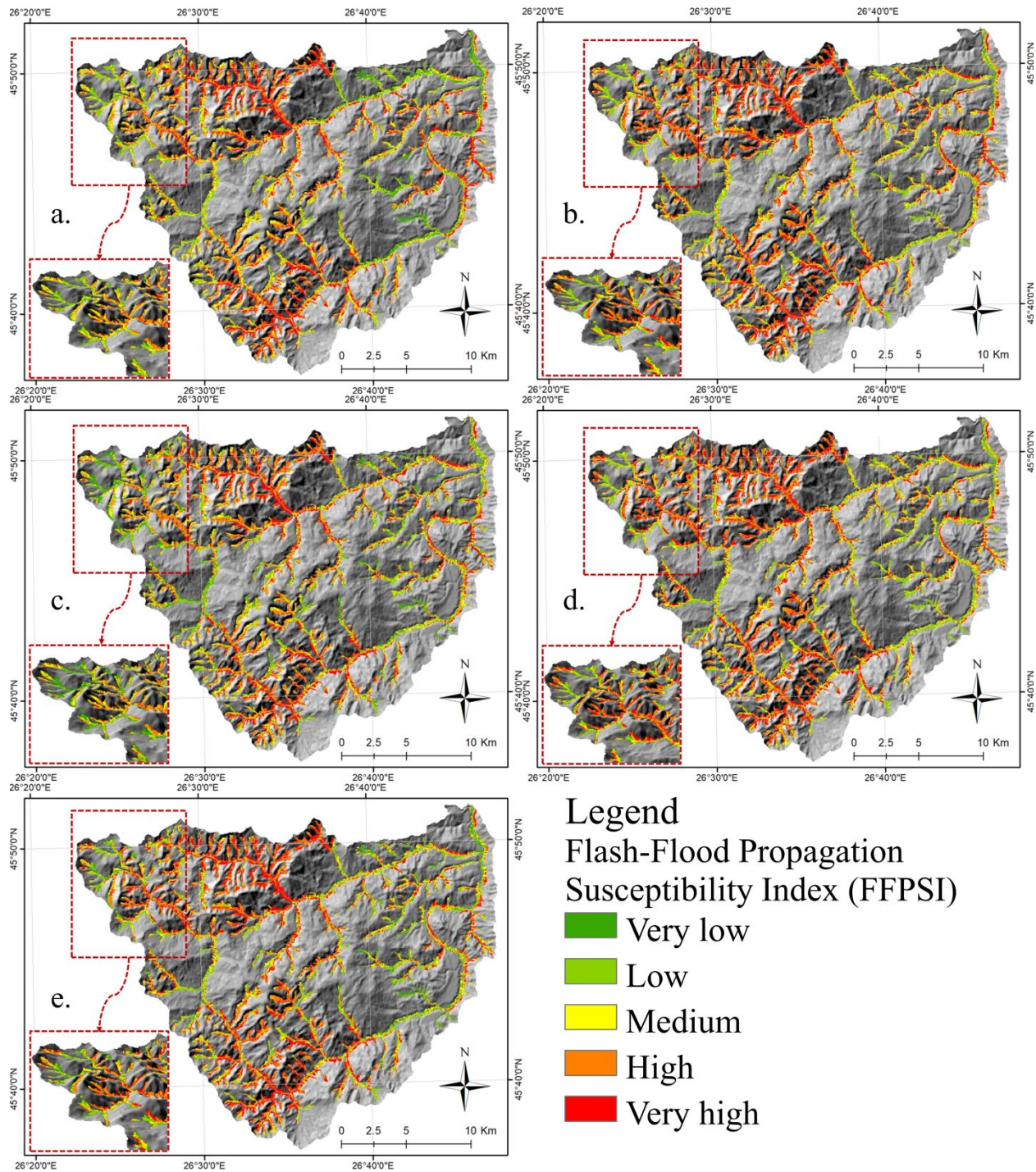


Fig. 12 Flash-Flood Propagation Susceptibility Index (a. WOE; b. AHP-WOE; c. LR-WOE; d. CART-WOE; e. RBFNN-WOE)

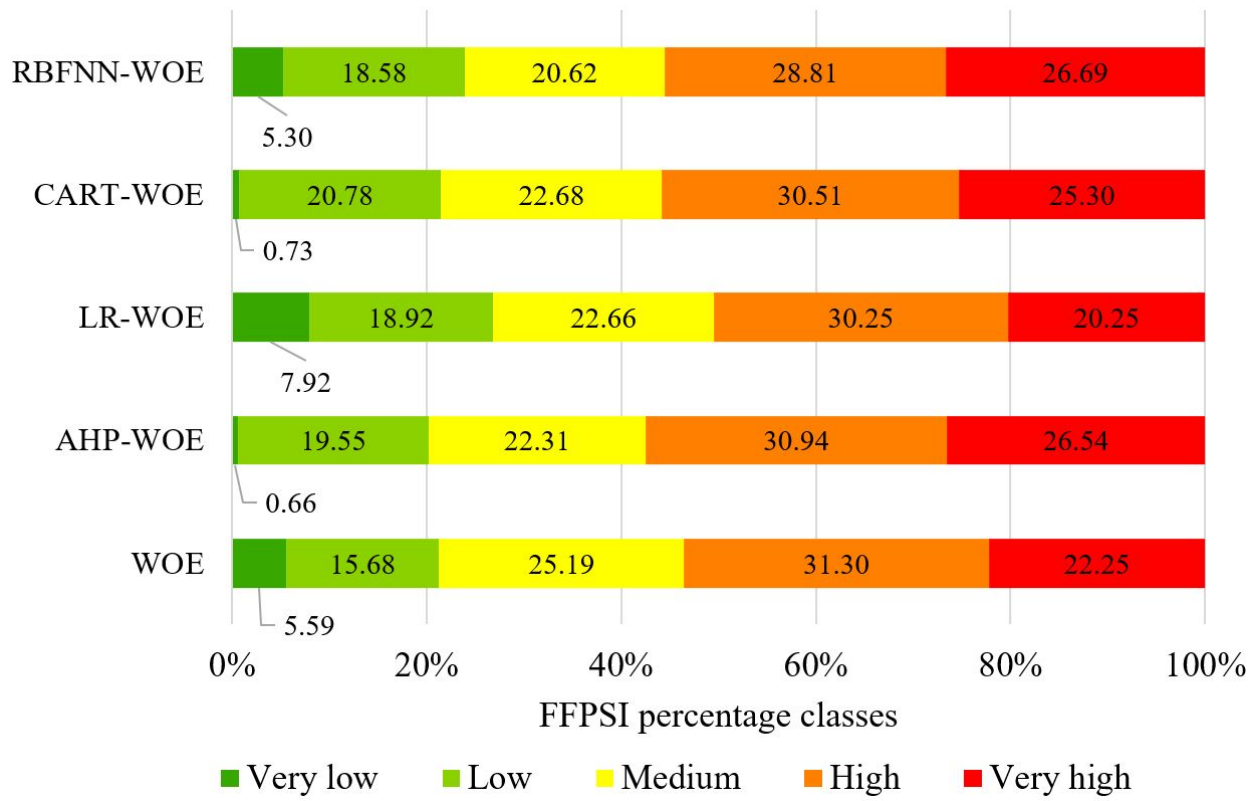


Fig. 13 Weights of FFPSI classes

Peer Review Only

Table 1 Data used, source, resolution, scale and type

Data	Source	Resolution	Scale	Type
Digital Elevation Model (DEM)	Shuttle Radar Topography Mission (SRTM)	30 m	-	Spatial
Flash-Flood points	General Inspectorate for Emergency Situation (GIES) of Romania; mass-media	-	-	Spatial
Non-Flash-Flood points	Aerial imagery; field survey	-	-	Spatial
Rainfall (mm/year)	Worldclim v2	-	-	Spatial
Land use/cover	Corine Land Cover, 2018	1 km	-	Spatial
Hydrological Groups	Soil Digital Soil Map of Romania	-	1:200000	Spatial
Lithology	Digital Geological Map of Romania	-	1:200000	Spatial

Table 2 Multicollinearity assessment and feature selection

Flash-Flood Predictor	TOL	VIF	Relieff Attribute
HSG	0.486	2.059	0.014
Profile curvature	0.917	1.091	0.016
Slope	0.785	1.273	0.215
Plan curvature	0.874	1.144	0.039
Lithology	0.411	2.432	0.003
MFI	0.417	2.398	0.036
Aspect	0.976	1.025	0.006
Convergence Index	0.672	1.488	0.026
Land use	0.943	1.061	0.015
TWI	0.795	1.258	0.019

Table 3 Weights of Evidence values

Factor	Class	Class pixels	Flash-Flood pixels	WOE coefficients
Slope	< 3°	14392	0	-4.01

		3 – 7°	48164	2	-2.28
		7 – 15°	288025	30	-1.50
		15 – 25°	213187	123	-1.50
		> 25°	43974	24	-1.50
		Forest	476027	145	0.06
		Pastures	17399	8	0.36
	Land use	Agriculture areas	38914	13	-1.50
		Shrubs	64551	7	-1.50
		Built-up areas	10845	6	-1.50
		Gravels, sands, clay	22179	11	0.63
	Lithology	Flysch, marls shale, sandstone	393103	102	-2.50
		Clay, marls, schists	146757	60	-2.50
		Tuffs, phyllite, breccias	45692	6	-0.77
		A	383646	25	-2.16
	HSG	B	20939	70	3.09
		C	48205	65	2.09
		D	154946	19	-2.50
		-2.36 – -0.1	144551	56	0.40
	Plan curvature	-0.09 – 0.1	329253	91	-0.12
		0.11 – 2.19	133938	32	-0.24
		-3.08 – -0.05	72259	33	0.56
	Profile curvature	-0.04 – 0.05	240659	75	0.14
		0.04 – 3.65	294824	71	-0.32
		-78 - -3	312086	107	0.25
		-2.9 - -2	65245	24	0.16
	Convergence index	-1.9 - -1	55305	19	0.08
		-0.9 – 0	42598	6	-0.87
		0.1 - 95	132508	23	-0.73
		< 60	70506	44	0.89
	MFI	60 – 90	178411	71	0.44
		90 – 120	216598	49	-0.40
		> 120	142227	15	-2.50
		Flat surfaces	1994	0	-1.85
		North	81189	28	0.11
		North-East	98234	39	0.30
		East	88164	31	0.14
	Aspect	South-East	86794	17	-0.54
		South	66559	17	-0.23
		South-West	57424	16	-0.13
		West	59678	13	-0.40
		North-East	67706	18	-0.19
		-7.35 - 4.7	69875	17	-0.26
		4.71 – 8.59	143756	42	-0.06
	TWI	8.6 – 11.98	79221	22	-0.12
		11.99 – 15.12	261587	89	0.22
		15.13 – 24.66	53278	9	-0.65

Table 4 Pair-wise comparison matrix and normalized weights for each factor

Factor and classes/categories	Pair-wise comparison matrix										Normalized weights
	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]	[10]	
Factors											

[1] Slope angle	1										0.260
[2] Land use	1/2	1									0.179
[3] Lithology	1/3	1/2	1								0.111
[4] HSG	1/5	1/4	1/2	1							0.045
[5] Plan curvature	1/3	1/2	1	3	1						0.085
[6] Profile curvature	1/4	1/3	1/2	2	2	1					0.110
[7] Convergence index	1/4	1/3	1/2	2	1	1/2	1				0.050
[8] MFI	1/4	1/3	1/2	2	1	1/4	2	1			0.070
[9] Aspect	1/7	1/6	1/5	1/3	1/4	1/4	4	1/3	1		0.040
[10] TWI	1/5	1/4	1/3	1	1/2	1/3	2	1/2	3	1	0.050

Table 5 Confusion matrices computed for training phase of LR-WOE, CART-WOE and RBFNN-WOE models

	Observed	Predicted		Percent Correct
		0	1	
LR-WOE	0	161	18	89.94%
	1	29	150	83.79%
	Overall Percentage	51.76%	48.24%	86.87%
CART-WOE	0	161	18	89.94%
	1	31	148	82.68%
	Overall Percentage	53.63%	46.37%	86.31%
RBFNN-WOE	0	156	23	87.15%
	1	31	148	82.68%
	Overall Percentage	51.32%	48.68%	84.91%

Table 6 Importance of flash-flood predictors to FFPI models

Predictors	LR-WOE (β_i)	CART-WOE	RBFNN-WOE
Aspect	1.253	0.003	0.073
Convergence Index	0.102	0.081	0.074
HGS	0.098	0.029	0.062
Land use	1.603	0.001	0.122
Lithology	0.099	0.015	0.078
MFI	0.835	0.059	0.102
Plan curvature	0.377	0.034	0.078
Profile curvature	-0.215	0.002	0.069
Slope	1.274	0.559	0.251
TWI	0.431	0.037	0.091

Table 7 Statistical metrics used to validate the FFPI results

Metrics	Training dataset					Validating dataset				
	FFPI WOE	FFPI LR-WOE	FFPI CART-WOE	FFPI RBFNN-WOE	FFPI AHP-WOE	FFPI WOE	FFPI LR-WOE	FFPI CART-WOE	FFPI RBFNN-WOE	FFPI AHP-WOE

TP	137	151	144	149	147	55	65	63	67	69
TN	147	163	159	161	161	63	67	67	64	65
FP	42	28	35	30	32	16	11	13	9	7
FN	32	16	20	18	18	13	9	9	12	11
Sensitivity	0.811	0.904	0.878	0.892	0.891	0.809	0.878	0.875	0.848	0.863
Specificity	0.778	0.853	0.820	0.843	0.834	0.797	0.859	0.838	0.877	0.903
Accuracy	0.793	0.877	0.846	0.866	0.860	0.803	0.868	0.855	0.862	0.882
K index	0.587	0.754	0.693	0.732	0.721	0.604	0.737	0.711	0.724	0.763
Precision	0.765	0.844	0.804	0.832	0.821	0.775	0.855	0.829	0.882	0.908
F1 score	0.787	0.873	0.840	0.861	0.855	0.791	0.867	0.851	0.865	0.885

For Peer Review Only

Change of authorship request form (pre-acceptance)

Please read the important information on page 4 before you begin

This form should be used by authors to request any change in authorship including changes in corresponding authors. Please fully complete all sections. Use black ink and block capitals and provide each author's full name with the given name first followed by the family name.

Please note: In author collaborations where there is formal agreement for representing the collaboration, it is sufficient for the representative or legal guarantor (usually the corresponding author) to complete and sign the Authorship Change Form on behalf of all authors.

Section 1: Please provide the current title of manuscript

(For journals: Please provide the manuscript ID, title and/or DOI if available.)

(For books: Please provide the title, ISBN and/or DOI if available.)

Manuscript ID no. in case of unpublished manuscript: TGEI-2021-0559

DOI in case of published manuscript:

ISBN (for books):

Title: Flash-flood propagation susceptibility estimation using weights of evidence and their novel ensembles with multicriteria decision making and machine learning

Change of authorship request form (pre-acceptance)

Section 2: Please provide the previous authorship, in the order shown on the manuscript before the changes were introduced. Please indicate the corresponding author by adding (CA) behind the name.

	First name(s)	Family name	ORCID or SCOPUS id, if available
1 st author	Romulus	Costache	https://orcid.org/0000-0002-6876-8572
2 nd author	Quoc Bao	Pham	https://orcid.org/0000-0002-0468-5962
3 rd author	Alireza	Arabameri	https://orcid.org/0000-0002-1142-1666
4 th author	Daniel Constantin	Diaconu	https://orcid.org/0000-0002-0468-6703
5 th author	Iulia	Costache	https://orcid.org/0000-0002-1779-8173
6 th author	Anca	Crăciun	https://orcid.org/0000-0003-1113-906X
7 th author	Nicu	Ciobotaru	https://orcid.org/0000-0002-6052-985X
8 th author	Manish	Pandey	https://orcid.org/0000-0001-8291-2043
9 th author	Aman	Arora	https://orcid.org/0000-0001-9396-8720
10 th author	Sk Ajim	Ali	https://orcid.org/0000-0001-7488-5591
11 th author	Binh Thai	Pham	https://orcid.org/0000-0001-9707-840X
12 th author	Hoang	Nguyen	https://orcid.org/0000-0001-6122-8314
13 th author	Hoang Anh	Tuan	

Please use an additional sheet if there are more than 7 authors.

Section 3: Please provide a justification for change. Please use this section to explain your reasons for changing the authorship of your manuscript, e.g. what necessitated the change in authorship? Please refer to the (journal) policy pages for more information about authorship. Please explain why omitted authors were not originally included and/or why authors were removed on the submitted manuscript.

The modification in the authorship position was based on the contribution. The new author was added because of his valuable contribution in the revised version of the manuscript. All authors agreed in this changes.

Change of authorship request form (pre-acceptance)

Section 4: Proposed new authorship. Please provide your new authorship list in the order you would like it to appear on the manuscript. Please indicate the corresponding author by adding (CA) behind the name. If the corresponding author has changed, please indicate the reason under section 3.

	First name(s)	Family name (this name will appear in full on the final publication and will be searchable in various abstract and indexing databases)
1 st author	Romulus	Costache
2 nd author	Quoc Bao	Pham
3 rd author	Alireza	Arabameri
4 th author	Daniel Constantin	Diaconu
5 th author	Iulia	Costache
6 th author	Anca	Crăciun
7 th author	Nicu	Ciobotaru
8 th author	Manish	Pandey
9 th author	Aman	Arora
10 th author	Sk Ajim	Ali
11 th author	Binh Thai	Pham
12 th author	Hoang	Nguyen
13 th author	Hoang Anh	Tuan
14 th author	Mohammadtaghi	Avand

Please use an additional sheet if there are more than 7 authors.

Change of authorship request form (pre-acceptance)

Section 5: Author contribution, Acknowledgement and Disclosures. Please use this section to provide a new disclosure statement and, if appropriate, acknowledge any contributors who have been removed as authors and ensure you state what contribution any new authors made (if applicable per the journal or book (series) policy). **Please ensure these are updated in your manuscript - after approval of the change(s) - as our production department will not transfer the information in this form to your manuscript.**

New acknowledgements:

New Disclosures (financial and non-financial interests, funding):


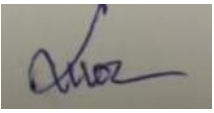





New Author Contributions statement (if applicable per the journal policy): The new author was added because of his valuable contribution in the revised version of the manuscript.

State 'Not applicable' if there are no new authors.








Change of authorship request form (pre-acceptance)

Section 6: Declaration of agreement. All authors, unchanged, new and removed *must* sign this declaration.

(NB: Please print the form, sign and return a scanned copy. Please note that signatures that have been inserted as an image file are acceptable as long as it is handwritten. Typed names in the signature box are unacceptable.) * Please delete as appropriate. Delete all of the bold if you were on the original authorship list and are remaining as an author.

	First name	Family name	Signature	Affiliated institute	Date
1 st author	Romulus	Costache		National Institute of Hydrology and Water Management, București-Ploiești Road, 97E, 1st District, 013686, Bucharest, Romania	17.09.2021
2 nd author	Quoc Bao	Pham		Institute of Applied Technology, Thu Dau Mot University, Binh Duong province, Vietnam	17.09.2021
3 rd author	Alireza	Arabameri		Department of Geomorphology, Tarbiat Modares University, Tehran 36581-17994, Iran	17.09.2021
4 th authors	Daniel Constantin	Diaconu		Centre for Integrated Analysis and Territorial Management, University of Bucharest, Bucharest 010041, Romania	17.09.2021
5 th author	Iulia	Costache		Department of Meteorology and Hydrology, Faculty of Geography, University of Bucharest, Bucharest 010041, Romania	17.09.2021
6 th author	Anca	Crăciun		Danube Delta National Institute for Research and Development, 165 Babadag Street, 820112, Tulcea, Romania	17.09.2021
7 th author	Nicu	Ciobotaru		National Institute of Hydrology and Water Management, București-Ploiești Road, 97E, 1st District, 013686, Bucharest, Romania	17.09.2021

Change of authorship request form (pre-acceptance)

8 th author	Manish	Pandey		University Center for Research & Development (UCRD), Chandigarh University, Mohali-140413, Punjab, India	17.09.2021
9 th author	Aman	Arora		Department of Geography, Faculty of Natural Sciences, Jamia Millia Islamia, New Delhi- 10025, Delhi, India	17.09.2021
10 th author	Sk Ajim	Ali		Department of Geography, Faculty of Science, Aligarh Muslim University (AMU), Aligarh, UP 202002, India	17.09.2021
11 th author	Binh Thai	Pham		University of Transport Technology, Hanoi 100000, Vietnam	17.09.2021
12 th author	Hoang	Nguyen		Department of Surface Mining, Mining Faculty, Hanoi University of Mining and Geology, 18 Vien st., Duc Thang ward, Bac Tu Liem dist.,	17.09.2021
13 th author	Hoang Anh	Tuan		Faculty of Geodetic map and Land Management, Hanoi University of Mining and Geology, 18 Vien Street, Duc Thang Ward, Bac Tu Liem	17.09.2021
14 th author	Mohammadtaghi	Avand		Department of Watershed Management Engineering, College of Natural Resources, Tarbiat Modares University, Tehran, P.O. Box 14115-	17.09.2021

Please use an additional sheet if there are more than 7 authors.

Change of authorship request form (pre-acceptance)

Important information. Please read.

- ✓ Please return this form, fully completed, to Springer Nature. We will consider the information you have provided to decide whether to approve the proposed change in authorship. We may choose to contact your institution for more information or undertake a further investigation, if appropriate, before making a final decision.
- ✓ By signing this declaration, all authors guarantee that the order of the authors are in accordance with their scientific contribution, if applicable as different conventions apply per discipline, and that only authors have been added who made a meaningful contribution to the work.
- ✓ Please note, we cannot investigate or mediate any authorship disputes. If you are unable to obtain agreement from all authors (including those who you wish to be removed) you must refer the matter to your institution(s) for investigation. Please inform us if you need to do this.
- ✓ If you are not able to return a fully completed form within **30 days** of the date that it was sent to the author requesting the change, we may have to withdraw your manuscript. We cannot publish manuscripts where authorship has not been agreed by all authors (including those who have been removed).
- ✓ Incomplete forms will be rejected.