



Hanoi University of Mining and Geology

**Proceedings of
the ESASGD 2016**

Hanoi, November 12-15, 2016

**Session: Geoinformatics for Natural Resources,
Hazards and Sustainability (GIS IDEAS 2016)**

ISBN:978-604-76-1171-3

TRANSPORT PUBLISHING HOUSE

ESASGD 2016



INTERNATIONAL CONFERENCES ON EARTH SCIENCES
AND SUSTAINABLE GEO-RESOURCES DEVELOPMENT

ISBN: 978-604-76-1171-3



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TABLE OF CONTENTS

		Page
1	Venkatesh Raghavan. Fifteen years of Japan-Vietnam collaborations in geoinformatics: activities of JVGC	1
2	Hiroyuki Miyazaki. Applications and solutions of space and geospatial technologies for sustainable development	2
3	Trinh Dinh Vu, Nguyen Vinh Hao, Le Trung Chon. A solution using a 3G mobile network for RTK positioning	3
4	Niroshan Bandara, Venkatesh Raghavan, Gerald Fenoy, DaisukeYoshida. Simplifying integration of field data and GIS: A WPS approach	8
5	Tran Trung Chuyen, Nguyen Thi Mai Dung, Le Hong Anh, Nguyen Truong Xuan, Dao Ngoc Long. Development of a mobile data collection and management system	15
6	Sittichai Choosumrong, Venkatesh Raghavan and Phaisarn Jeefoo. Development of the real-time environment monitoring system for poultry farm based on IoT technology	21
7	Pham Khanh Chi, Le Thi Khanh Hoa, Tran Hung. Using Multi-sensor Remote Sensing and WebGIS to monitor Deforestation in Dak Nong Province during 2010-2016	28
8	Phuong L.T., Venkatesh Raghavana, Khang N.H.D., Phung P.H., Nguyen L.D. Analysis of sentinel-1 sar data for mapping rice crop in the mekong delta, VietNam	35
9	Tran Thi Van, Pham Ngo Khoa, Ha Duong Xuan Bao, Nguyen Van Tinh. Spatial analysis to assess the trend of urban land conversion in the process of urbanization	40
10	Nguyen Hoang Khanh Linh, Nguyen Thi My Quynh, Nguyen Bich Ngoc. Usage of LUCIA model in assessing the effects of climate change to main crops at slope areas: A case study at Phong Xuan commune, Phong Dien district, ThuaThien Hue province, Vietnam	46
11	Luong Chinh Ke, Nguyen Le Dang, Tran Ngoc Tuong, Nguyen Van Hung. Proposed empirical model of crop coefficient Kc from Modis-NDVI for estimating actual land surface evapotranspiration in north Viet Nam	56
12	Lau Ngoc Nguyen, Viet Tuan Duong and Richard Coleman. Validation of GNSS processing results from some commercial software packages under un-advantageous conditions	63
13	Amphawan Khamchianggern, Pathana Rachavong, Kampanart Piyathamrongchai and Tanyalak Sripho. The Analysis of Spatial Distribution of Disabled People in Thailand using Geographically Weighted Regression Models	69
14	Yoshikatsu Nagata. Toward a Diachronic Digital Gazetteer for Historical Studies: A Case Study of Place Names in Vietnam on <i>Gaihozu</i>	76
15	Nguyen Huu Nhat, Le Van Trung, Nguyen Tan Luc, Le Trung Chon. Improvement of the horizontal precision for images captured Unmanned Aerial Vehicles (UAV)	80
16	Nguyen Van Trung. Inundation extent and flood frequency mapping of Cuu long rivers delta using multi-temporal ERS-2 data	87
17	Truong Xuan Quang, Tom De Groeve, Alessandro Annunziato. Real-time Impact Estimation of Large Earthquake Using USGS ShakeMaps Through Web Processing Service	96
18	Bui Thi Thanh Huong. Desertification and cultivation in Binh Thuan province – estimation of effects by SWOT-AHP and GIS	101
19	Le Thanh Van, Tran Thi Van, Ha Duong Xuan Bao, Vu Thi Thuong, Nguyen thi Bao Thoa, Nguyen Thi Tuyet Nhung. The temperature vegetation dryness index from satellite image support monitoring drought in a territory	107
20	Tran Van Anh, Cap Xuan Tu, Nguyen An Binh, Tran Phuong Ly. Development of geographic information system (GIS) application on low-cost mobile for data collection using near real time synchronization technology	112
21	Ho Lam Truong, Pham Bach Viet, Nguyen Ngoc Phuong Thanh. Bus route mapping by schematic for HoChiMinh city	117
22	Nguyen Truong Xuan, Nguyen Thi Mai Dung, Dinh Bao Ngoc, Tran Thi Hai Van, Nguyen Dinh Ky, Le Thi Kim Thoa. A WebGIS System To Support Sustainable Development In Tay Nguyen	123
23	Nguyen Van Trung , Le Thi Thu Ha. Predicting land use change affected by population growth byintegrating Logistic regression, Markov chain and Cellular automata model	128
24	Tran Trong Duc. Developing a WebGIS application to exploit drainage network data via the internet	136
25	Thu Trang Le, Ha Thai Pham, Xuan Truong Tran and Vong Thanh Pham. Change Detection in Multitemporal SAR Images Based on Statistical Similarity Measure	143
26	Le Van Trung and Dao Minh Tam. GIS Solution for The Mekong Delta Management	149

27	Ha Thi Hang, Luong Ngoc Dung, Nguyen Dinh Huy , Khuc Thanh Dong. A review of the management of road corridors by using GIS over the world and in Viet Nam	154
28	Susumu NONOGAKI, Shinji MASUMOTO, and Tatsuya NEMOTO. Development of GRASS GIS Modules to Generate DEM for Geological Modeling	160
29	Hai Minh Nguyen, Hoa T. Thanh Pham, Ha Thanh Tran. Application of the InSAR technology for determining changes in surface topography	166
30	Tatsuya Nemotoa, Shinji Masumotoa, Susumu Nonogaki. Development of web-based visualization system for three-dimensional geologic model	171
31	Shinji Masumoto, Tatsuya Nemoto, Raghavan Venkatesh, Susumu Nonogaki. Reliability evaluation of three dimensional geologicalmodel using borehole data	172
32	Tuan T.Q., Venkatesh R., Song X.c, De N.V, Tung L.H. Soil properties mapping using a soil land inference model (SoLIM) in southern VietNam: A case study in Binh Phuoc province	173
33	Do Thi Viet Huong, Nguyen Hoang Khanh Linh, Truong Do Minh Phuong. Usage of indices for mapping built-up and open space areas from Landsat 8 OLI imagery: A case study of Da Nang city, Vietnam	178
34	Phuong Vu-Hoang, Venkatesh Raghavan. Application of inasafe for disaster preparedness: A case study in osaka city	184
35	Go YONEZAWA, Susumu NONOGAKI, Muneki MITAMURA, Kenichi SAKURAI, Luan Xuan TRUONG, Shinji MASUMOTO, Tatsuya NEMOTO and Venkatesh RAGHAVAN. Utilization of Elevation and Borehole Data of Hanoi City, Vietnam - Construction of 3D Geological Model	185
36	Nguyen Thanh Ngan, Nguyen Quang Long. The use of Landsat image in monitoring the desertification in Ninh Phuoc District	191
37	Tran Thi Van, Dinh Thi Kim Phuong, Nguyen Thuy Quynh Nhu, Ha Duong Xuan Bao. Application of remote sensing to detect changes in low-lying swamp water under the impact of urbanization	198
38	Tran Thi Van, Nguyen Thi Tuyet Mai, Ha Duong Xuan Bao, Vo Thi Huyen Trang. Remote sensing application for monitoring urban environment quality in case of dust in Ho Chi Minh City	204
39	Tran Thi Van, Ha Duong Xuan Bao, Nguyen Thi Tuyet Mai, Đang Thi Mai Nhung, Nguyen Huynh Thanh Truc. Spatial analysis on urban heat island in Ho Chi Minh City	209
40	Muneki Mitamura. Assessment on Potential Area for Aquifer Thermal Energy Storage (ATES) in Osaka Plain	214
41	Quynh-An Tran, Quang-Minh Nguyen, Thi-Luong Le. Fixing The Topology Rule Error of “Polygon Contains Point” in Spatial Data Processing	222
42	Pham Gia Tung, Tran Thi Minh Chau, Jan Degener, Martin Kappas. The soil erosion and nutrient losses in Hilly Area in central Vietnam	228
43	Nguyen Van Tuan, Nong Thi Oanb, Nguyen Thi Hai Yen. Study, build the model for delivering products of survey and mapping on Internet	238
44	Khuu Minh Canh, Nguyen Chau Da Thao, Le Trung Chon. LiDAR data reduction for preparing map data at 1:2000 scale. A case study in Ho Chi Minh City	245
45	Khuu Minh Canh , Nguyen Ngoc Minh Tien, Chon Le Trung. Building information system for alerting traffic accident in Ho Chi Minh City	250
46	Van Sang Nguyen. Determination of the Mean Dynamic Topography from satellite altimetry data in the East Sea	256
47	Thi Thanh Tam Le, Van Sang Nguyen, Thi Thu Huong Kim, Van Tri Vu. Determination of sea surface anomaly on the East Sea from Satellite altimetry data and DTU13MSS	266
48	Quan Dan Hanh, Nguyen Chau My Duyen, Bui Ta Long. Application of swat model to assess the impacts of land use chages on Dau Tieng reservoir discharge	271
49	Thanapong THANUTGIT, Kampanart PIYATHAMRONGCHAI and Sittichai CHOOSUMRONG. Modelling Land Use Change using Cellular Automata Model: A Case Study of Wangthong City, Phitsanulok province, Thailand.	278
50	Cao Xuan Cuong, Nguyen Van Trung. A comparison of fusion methods for SAR and Optical Imagery	284
51	F.A. Mkrtychan and V.F. Krapivin. GIMS – technology in the water quality monitoring	293
52	Le Van Trung, Nguyen Nguyen Vu. Trend of the impervious surface change in Ho Chi Minh city	300
53	Nguyen Thanh Ngan, Nguyen Quang Long. The use of Built-Up Index and supervised classification in monitoring the built-up land area change of Binh Duong Province with Landsat image	305
54	Pham Khanh Chi, Tran Hung. Integrating GIS and Spatial Statistics to study Hanoi’s Urban Structure and Housing Market	312
55	Pavithra Jayasinghe, Niroshan Bandara, Venkatesh Raghavan, Go Yonezawa. Identifying the relationship between land cover change and land surface temperature increase in Colombo City, Sri Lanka – A remote sensing and GIS approach	318

56	Nhi Yen Huynh, Tu Tuan Tran. An approach to analyze process of urbanization in Dong Nai – Sai Gon River Basin	325
57	Thi Hang DO, Venkatesh RAGHAVAN, Xuan Luan TRUONG, PoliyapramVINAYARAJ, Go YONEZAWA and Pavithra JAYASINGHE. Combining pixel-based and object-based fuzzy classification for LULC mapping using spectral indices of rapid eye imagery and foss4g	332
58	Nguyen Quang MINH, Pham Thanh THAO, Nguyen Thi Thu HUONG, La phu HIENa, H.G. Lewis, P.M. Atkinson. A Quantitative Assessment of Algorithm for Increasing Gridded DEM resolution using the Hopfield Neural Network	339
59	Pham Gia Tung, Huynh Van Chuong, Tran Thi Phuong, Tran Thi Minh Chau and Nguyen Tu Duc. Impact of power value in idw Interpolation method on accuracy of the soil organic matter (SOM) mapping	349
60	TruongThanh Phi, VietHa Nguyen, HongCuong Pham, VanPhach Phung, AnhTuan Tran, VietHong Pham, AnhNguyet Nguyen, ThuyLinh Nguyen, BichNgoc Nguyen. The Analytical Results of Maximum Ancient Sea-level on Limestone Blocks in Halong Bay, Quangninh, Vietnam and It's Shoreline in Red River Delta	355
61	Binh Nguyen, Nga Nguyen. Enhancing classification result on multispectral images based on fractions of endmember	364
62	Duong Thanh Trung, Nguyen Van Sang, Do Van Duong. The performance evaluation of the INS/GNSS integrated navigation system with Analytic constraints	371
63	Luong Ngoc Dung, Nguyen Thai Chinh, Tran Dinh Trong, Nguyen Dinh Huy , Vu Dinh Chieu, Ha Thi Hang, Bui Ngoc Son. First-Order Theory Of Perturbed Orbit Calculating	378
64	Pham Bach Viet, Pham Thị Mai Thy, Lam Dao Nguyen, Tran Thai Binh, Le Van Trung. Evaluation of horizontal precision of satellite imagery acquired from VNREDSat-1	384
65	Nguyen Dinh Minh, Ha Thi Hang, Lai Tuan Anh. Using GIS to assess natural hazards in Hoa Binh, Son La and Dien Bien provinces, Vietnam	389
66	Kampanart Piyathamrongchai. Climate change and impact to tourism in Thailand: flood and heat stress-risk scenarios	396
67	Hoang Cong Tin, Nguyen Quang Tuan, Luong Quang Doc, Ton That Phap, Anthony Cole. Decadal monitoring tropical estuary shoreline changes using multitemporal remote sensing analyses	403
68	Anujit Vansarochana ,Thanapon Piman, Chalermchai Pawattana, Aekkapol Aekakkararungroj and Rattana Hormwichian. Trend Analysis of Historical Rainfall data comparison with Probabilistic Statistical Rainfall Surface and Bayesian Flood Phenomenon Investigation Using GIS Techniques in Huai Luang watershed, THAILAND.	409
69	Tran Trong Duc. Developing a WebGIS application to exploit drainage network data via the internet	414
70	Vu Phan-Hien, Linh Pham-Thuy, Anh Nguyen-Tuan. Locating Bus Rapid Transit Stops Using GIS And AHP: A Case Study of Vo Van Kiet - Mai Chi Tho Route In Ho Chi Minh City	421
71	Nam Dang Van, Duong Nguyen Thuy, Bac Nguyen Thi Phuong. Development of a vehicle tracking system based on MapWindow GIS	427
72	Tran Van Anh, Tran Quoc Cuong, Nguyen Duc Anh, Ho Tong Minh Dinh, Tran Trung Anh, Nguyen Nhu Hung, Luong Thi Thuy Linh. Application of PSInSAR method for determining of land subsidence in Hanoi city by Cosmo-Skymed imagery	433
73	Korrawan WONGMETTA, Pathana RACHAVONG and Sittichai CHOOSUMRONG. Flood Resilience based on GIS Analysis: The case study of Chum Saeng district, Thailand	442
74	Vo Duy Long, Thai Tieu Minh, Ho Dinh Duan. Long-Term Correlations Between Sea Surface Height and Sea Surface Temperature in The East Sea	448
75	Phongpat Sontamino, Vishnu Rachpech, Songkorn Thepjinda, Rattapon Promthong, Dome Saithong. Preliminary Study on a Minimum Requirement to Make a 3D Object for Mine Surveying Using UAV and Photogrammetry	458
76	Nga Nguyen, Binh Nguyen. Potential of drought monitoring using Sentinel-2 data	463



ESASGD 2016

GIS-IDEAS 2016



International Conference on GeoInformatics for Spatial-Infrastructure Development in Earth
& Allied Sciences (GIS-IDEAS)

A Quantitative Assessment of Algorithm for Increasing Gridded DEM resolution using the Hopfield Neural Network

Nguyen Quang MINH^{a*}, Pham Thanh THAO^a, Nguyen Thi Thu HUONG^a, La phu
HIEN^a, H.G. Lewis^b, P.M. Atkinson^{c, d, e}

^a Faculty of Geomatics and Land Administration, Hanoi University of Mining and Geology, nguyenquangminh@humg.edu.vn

^b Astronautics Research Group, University of Southampton, Highfield, Southampton SO17 1BJ, UK

^c Faculty of Science and Technology, Engineering Building, Lancaster University, Lancaster LA1 4YR

^d School of Geography, Archaeology and Palaeoecology, Queen's University Belfast, BT7 1NN, Northern Ireland, UK

^e Geography and Environment, University of Southampton, Highfield, Southampton SO17 1BJ, UK

Abstract

A model for increasing the spatial resolution of a digital elevation model in grid form (Gridded DEM) is proposed. The downscaling model works by minimising the local semivariance as a goal, and by matching the original coarse spatial resolution elevation value as a constraint. The approach was coded into a simple Hopfield neural network (HNN) model in which each pixel of the original coarse DEM is divided into $m \times m$ sub-pixels. The elevation of each sub-pixel is derived iteratively (i.e. optimised) based on minimising the local semivariance and the elevation constraint. The activation function used in this model of HNN is a simple linear function. The proposed model was tested via an experiment using a Gridded DEM at 20 m, 60 m and 90 m resolutions. The assessment showed very promising results for increasing the spatial resolution of the Gridded DEM.

Keywords: Hopfield neural network, Grid DEM resolution enhancement, smoothing

1. Introduction

The spatial resolution of a Gridded DEM affects both the information content and the accuracy of the data and many other secondary data products (Saksena & Merwade, 2015). Examples include the well-known effects of DEM spatial resolution on the spatial properties of spatial data (Bian & Butler, 1999), and more specifically on slope and aspect (Chang & Tsai, 1991), watershed boundary delineation and the accuracy of SWAT schemes (Rawat, et al. 2014), water run-off models (Vieux 1993), three dimensional modelling of landscapes (Schoorl, Sonneveld and Veldkamp 2000), and soil survey results (Smith, et al. 2006). All of the above-mentioned research showed that DEMs with a finer spatial resolution can produce more informative and more accurate results.

Gridded DEMs with fine spatial resolution and high accuracy can be acquired using airborne Lidar technology or ground surveying or photogrammetry (Guo, et al. 2010). Airborne Lidar enables the acquisition of data with a very high density of 3 dimensional coordinate points and, therefore, it is possible to produce a DEM with sub-metre spatial resolution. However, airborne Lidar-derived DEMs have been used in many different applications, some of which require very fine spatial resolution and very high accuracy (Rapinel, et al. 2015).

* Corresponding author. Tel.: +84-043-838-7987.
E-mail address: nguyenquangminh@humg.edu.vn

Although possessing a great advantage in generating a fine spatial resolution DEM, airborne Lidar technology has some challenges such as the very large amount of data storage required and high computing capacity for data processing. Compared with airborne Lidar, other methods for fine spatial resolution DEM acquisition such as ground surveying and photogrammetry are time consuming and labour-intensive (Liu 2008).

The raster data can be downscaled using several resampling approaches. The most used approaches for downscaling are bilinear and bi-cubic interpolation. Other methods can also be used such as B-spline resampling and the filtering method used in a patent by Atkins, et al., 2000. The downscaling for raster data can somehow increase the spatial resolution of these data and the produced data can be used in a Gridded DEM.

Sub-pixel mapping is technique used to predict land cover at the sub-pixel scale using a soft-classified land cover proportions image as input (Atkinson 1997). In term of geographical scaling, sub-pixel mapping approaches are downscaling techniques which use the soft-classified land cover proportions as a constraint and maximise the spatial dependence between sub-pixels as tools to increase the spatial resolution (Su, et al., 2012). Several sub-pixel mapping techniques have been developed such as sub-pixel swapping, Markov random field, Hopfield neural network (HNN) (Tatem, et al. 2001; Nguyen, Atkinson and Lewis 2005). The HNN technique has been modified for smoothing and increasing the spatial resolution of raw multispectral remotely sensed imagery (Minh, 2011). Because both remote sensing images and Gridded DEMs are provided in the raster data model, it is expected that the approaches developed for remote sensing images may be applied to increase the Gridded DEM spatial resolution.

2. Method

2.1. HNN approach for sub-pixel mapping

The model for increasing the spatial resolution of a Gridded DEM increasing is a modified version of the Hopfield Neural Network HNN designed for super-resolution sub-pixel mapping (Tatem, et al., 2001 and Nguyen, et al., 2011). In the HNN for super-resolution sub-pixel mapping, an original pixel are is divided into $m \times m$ sub-pixels and each sub-pixel is represented by a neuron in the HNN. This particular model is based on an area proportions constraint and two goal functions. The proportion constraint is to ensure that the total number of sub-pixels of each land cover class must be equal to the number of sub-pixels assigned by the soft-classified land cover proportion. The goal functions play the role of a spatial dependence engine, which increases the tendency of adjacent sub-pixels to belong to the same land cover class.

In the HNN used for super-resolution sub-pixel mapping, the output v_{ij} of a neuron (sub-pixel) (i, j) is:

$$v_{ij} = \frac{1}{1 + \exp(-\lambda \cdot g_{ij})} \quad (1)$$

here k_{gh} is an activation function of each neuron, g_{ij} is the input value of each neuron and λ is stepness value, which is defined empirically as 100.

The input value u_{ij} is determined at the time t as

$$u_{ij} = \sum_{k=1}^K w_{ijk} \cdot v_{ijk} + \sum_{l=1}^L c_{ijl} \cdot v_{ijl} \quad (2)$$

Where dt is time step, g_{ij} is the output value at the time t and g_{ij}/dt defined as follows:

$$\frac{g_{ij}}{dt} = \frac{1}{\phi} \left(\sum_{k=1}^K w_{ijk} \cdot v_{ijk} + \sum_{l=1}^L c_{ijl} \cdot v_{ijl} - E \right) \quad (3)$$

where, E is energy, is defined as $E = \text{Goals} + \text{Constraint}$ and

$$E = \sum_{k=1}^K \frac{1}{2} \left(\sum_{i,j} w_{ijk} \cdot v_{ijk} - A_k \right)^2 + \sum_{l=1}^L \frac{1}{2} \left(\sum_{i,j} c_{ijl} \cdot v_{ijl} - A_l \right)^2 \quad (4)$$

where, K is the number of Goal functions. Depending on the specific application, the goal and constraint functions can be modified for optimization. In Tatem et. al. (2001), the Goal functions are the two Goal functions for spatial dependence maximization, and the Constraint Functions comprise an Area Constraint function used for retaining the area proportions predicted by the soft-classification and a Multi-class Function which ensures that a sub-pixel belongs to only one class. In Nguyen et. al. (2011), a Panchromatic Constraint Function was added to the HNN model of Tatem et. al. to increase the accuracy of the sub-pixel mapping results.

The running of HNN in this the above cases is terminated when the total energy E of the HNN reaches a minimum value determined as

$$\frac{\partial E}{\partial v_{ij}} = 0 \quad (5)$$

Or:
$$\sum_{k=1}^K w_{ijk} \cdot v_{ijk} + \sum_{l=1}^L c_{ijl} \cdot v_{ijl} = E \quad (5)$$

2.2. Proposed HNN approach for Grid DEM downscaling

The newly proposed approach is based on the assumption that the elevation of each sub-pixel must be close to

its adjacent sub-pixels (spatial dependence assumption). The realization of spatial dependence in this case is calculated using the semivariance which can be defined as

$$\gamma(h) = \frac{1}{2N(h)} \sum_1^{N(h)} [v_{ij} - v_{ij+h}]^2 \tag{6}$$

where $\gamma(h)$ is the semivariance value at lag distance h , h is distance between a pair of data points v_{ij} and v_{ij+h} , and $N(h)$ is the number of pairs of data points. If the points are spatially dependent, the semivariance will be small at small lag h . This means that the spatial dependence is largest when the semivariance is smallest (with small lag h), and minimizing the semivariance means maximizing the spatial dependence. The minimum value of semivariance can be defined based on the derivative as

$$\frac{\partial \gamma(h)}{\partial v} = 0 \tag{7}$$

and,

$$\frac{\partial \gamma(h)}{\partial v} = \frac{1}{2N(h)} \sum_1^{N(h)} (2v_{ij} - 2v_{ij+h}) = v_{ij} - \frac{\sum_1^{N(h)} v_{ij+h}}{N(h)} \tag{8}$$

So,
$$v_{ij}^{expected} = \frac{\sum_1^{N(h)} v_{ij+h}}{N(h)} \tag{9}$$

The change in elevation of each sub-pixel from the spatial dependence maximization operation is

$$du_{ij}^{sd} = v_{ij}^{expected} - v_{ij} \tag{10}$$

This means that the expected value of data points v_{ij} is the average of the values of all data points with lag h (v_{ij+h}). In this model for Gridded DEM, the data points with smallest lag h are the 8 pixels surrounding the pixel v_{ij} . This function can be called as the spatial dependence maximization function.

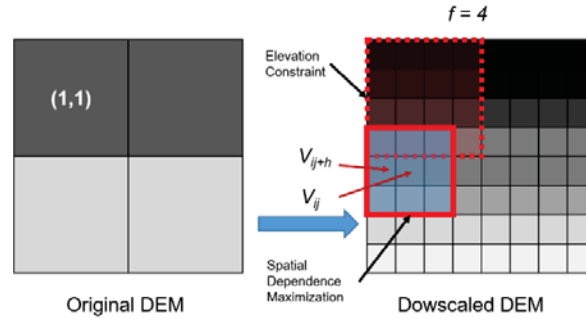


Fig. 1 Downscaling of Gridded DEM by a factor of 4

The newly proposed model developed for smoothing a Gridded DEM is presented in Figure 1 for the case of a DEM of size 2×2 pixels. A pixel in the original DEM is divided into 4×4 sub-pixels in the new DEM (zoom factor $f = 4$). So the original image of 2×2 pixels is resampled to an image of 8×8 sub-pixels. Each sub-pixel is represented by a neuron in the HNN model where the initial value is the elevation value of the pixel in the original DEM (or may be assigned randomly). An expected elevation of sub-pixel from the spatial dependence maximization function is calculated using a 3×3 window and the value of the central sub-pixel is equal to the average of the 8 surrounding sub-pixels.

If the spatial dependence maximizing function is the only function used in the model, the elevation of all sub-pixels in the new DEM will be finally the same and the coarse elevation values of the original DEM will not be preserved. To resolve this problem, a simple constraint function is used. The principle of this constraint is the average of elevation of all sub-pixels located within a pixel of original DEM must be equal to the elevation of the original pixel. For example, the average of the elevation of all sub-pixels within the area of the pixel (1,1) of the original image in **Error! Reference source not found.** must be equal to the elevation of the pixel (1,1).

$$du_{ij}^{ep} = Elevation_{x,y} - \frac{\sum_{(x-1) \times m}^{x \times m} \sum_{(y-1) \times m}^{y \times m} v_{pq}}{m \times m} \tag{11}$$

where $Elevation_{x,y}$ is the elevation value of the pixel (x, y) in the original image, v_{pq} is the sub-pixel (p, q) covered by pixel (x, y) in the newly generated image, and m is zoom factor. If the average of the elevation values of all sub-pixels within a pixel is smaller than the $Elevation_{x,y}$, then a value is added to the elevation value v_{pq} of all sub-pixels covered by pixel (x, y) . In contrast, when the average of the elevation values of all sub-pixels within pixel (x, y) is larger than the $Elevation_{x,y}$, a value is subtracted from the output value v_{pq} of

the neuron (p, q).

Then an input value of each neuron (sub-pixel) can be calculated based on the Formula (2) with the value du_{ij}/dt is

$$\frac{du_{ij}}{dt} = \frac{dE_{ij}}{dv} = du_{ij}^{sd} + du_{ij}^{ep} \quad (12)$$

The output value v_{ij} of each neuron is then calculated using an activation function $g(u_{ij})$. However, in this new model, the activation function $g(u_{ij})$ is not like in Formula 1 because it is not used for pushing the output value of the neurons to 0 or 1 as in the case of sub-pixel mapping. Instead, a linear activation function presented in reasearch by Tank and Hopfield (Tank & Hopfield, 1986) was used in this new approach as

$$v_{ij} = g(u_{ij}) = a \times u_{ij} + b \quad (13)$$

where, parameters $a = 1$ and $b = 0$ in this model.

The HNN network runs until the energy is minimized as

$$E = \sum_i \sum_j (du_{ij}^{sd} + du_{ij}^{ep}) = \min \quad (14)$$

or, the $E(t) - E(t - dt) = 0$, where $(t - dt)$ and t are two consecutive iterations of the Hopfield Neural Network.

3. Quantitative assessment of the algorithm

3.1. Reference and testing data

There are three sets of DEM data at different spatial resolutions used for evaluating the algorithm. The first set of data were collected using ground surveying in Lang Son Province of Vietnam. The area of the test field is about $200 \text{ m} \times 200 \text{ m}$ in Mai Pha Ward, Lang Son City which is about 150 km from Hanoi. The collected point data were used to generate the DEM data for use as a reference at 5 m spatial resolution, as can be seen in **Error! Reference source not found.**(a).

The second set of testing data were about $3.5 \text{ km} \times 3.5 \text{ km}$ collected at Yen Thanh District, Nghe An Province, in the North Central of Vietnam. The location of the area is about $180^{\circ} 58' 57.03'' \text{ N}$, $1050^{\circ} 22' 44.87'' \text{ E}$, about 45 km from Vinh City. The resolution of original DEM is at 20 m (**Error! Reference source not found.**(a)) and this was downgraded into 60 m DEM for testing the algorithm (**Error! Reference source not found.**(b)). The third DEM data was also collected at the same area with the second DEM with the resolution of 30 m (**Error! Reference source not found.**(a)) and this is also downgraded to 90 m to make to input for the algorithm (**Error! Reference source not found.**(b)). This is SRTM DEM obtained from USGS Earth Explorer (<http://earthexplorer.usgs.gov/>).

3.2. Results and discussions

To test the proposed algorithm, a DEM with coarser resolution of 20 m was produced from the point elevation data as shown in **Error! Reference source not found.**(b). This 20 m spatial resolution DEM was then used as input for the proposed algorithm to produce a downscaled DEM at resolution of 5 m using bilinear, cubic smoothing and the proposed HNN downscaling algorithms (zoom factor of 4). The accuracy of this downscaled DEM was assessed based on comparison of the root mean squared error (RMSE) of resulted DEM from bilinear (**Error! Reference source not found.**(d)), cubic smoothing (**Error! Reference source not found.**(e)) and HNN downscaling algorithm (**Error! Reference source not found.**(c)). Similarly, the downgraded 60 m and 90 m DEM in Nghe An then were also used as inputs for the algorithms to produce the downscaled DEM at the resolution of 20 m and 30 m, respectively, using bilinear, cubic and proposed downscaling algorithms with the zoom factor of 3 (**Error! Reference source not found.** and **Error! Reference source not found.**).

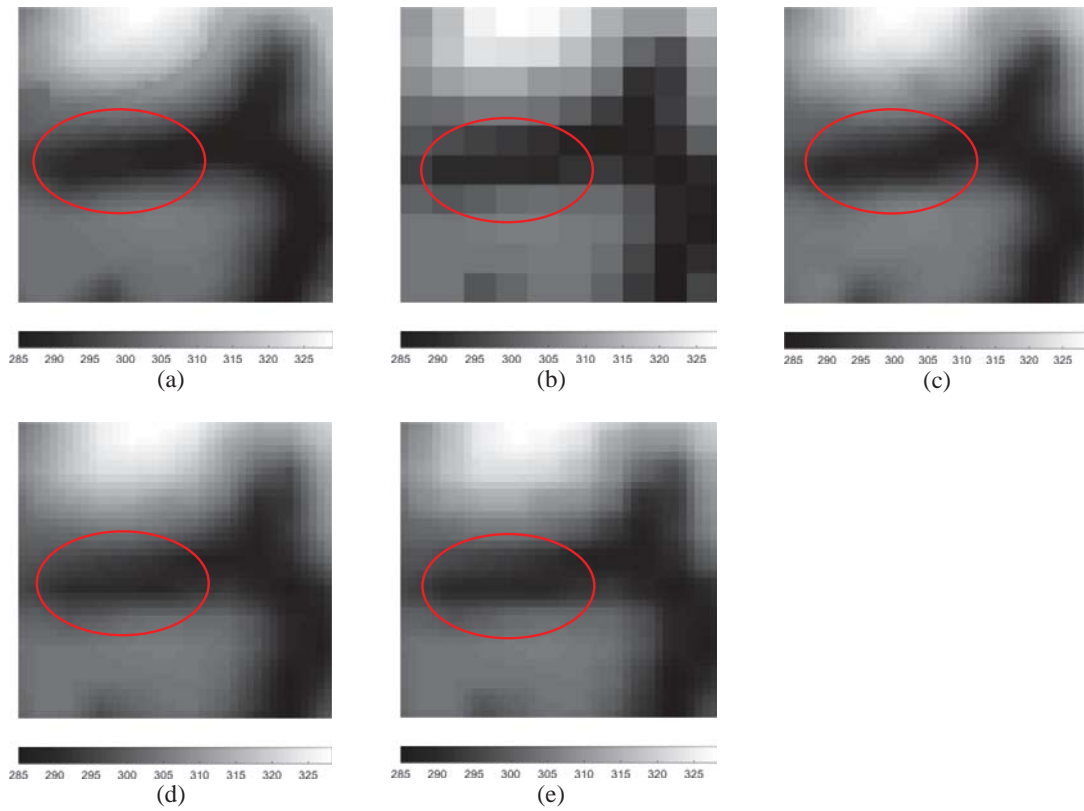


Fig. 2 Downscaling of DEM data. (a) Reference DEM data at 5 m resolution; (b) Downgraded DEM data at 20 m resolution; (c) downsampled DEM at 5 m resolution; (d) Bilinear smoothing DEM at 5 m resolution; and (e) Cubic smoothing DEM at 5 m resolution

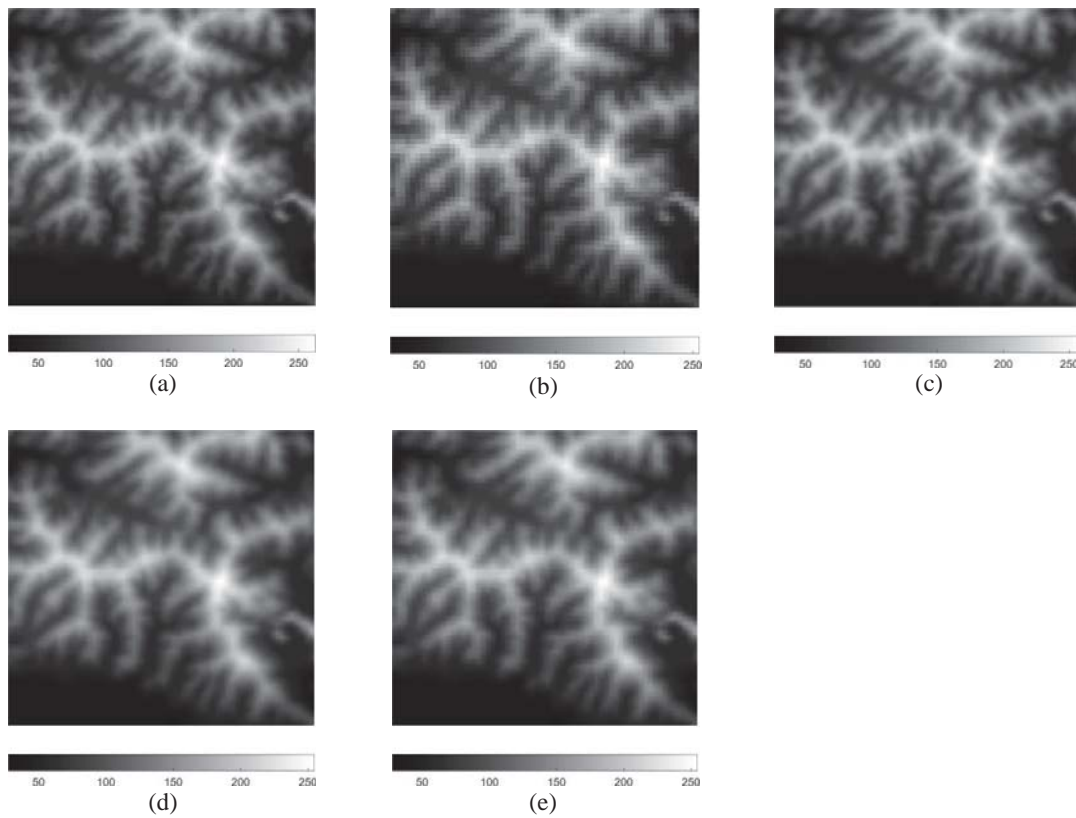


Fig. 3 Downscaling of DEM from 60 m to 20 m. (a) Reference DEM at 20 m resolution; (b) Downgraded DEM at 60 m resolution; (c) Downsampled DEM at 20 m resolution; (d) Bilinear smoothed DEM at 20 m; and (e) Cubic smoothed DEM at 20 m resolution.

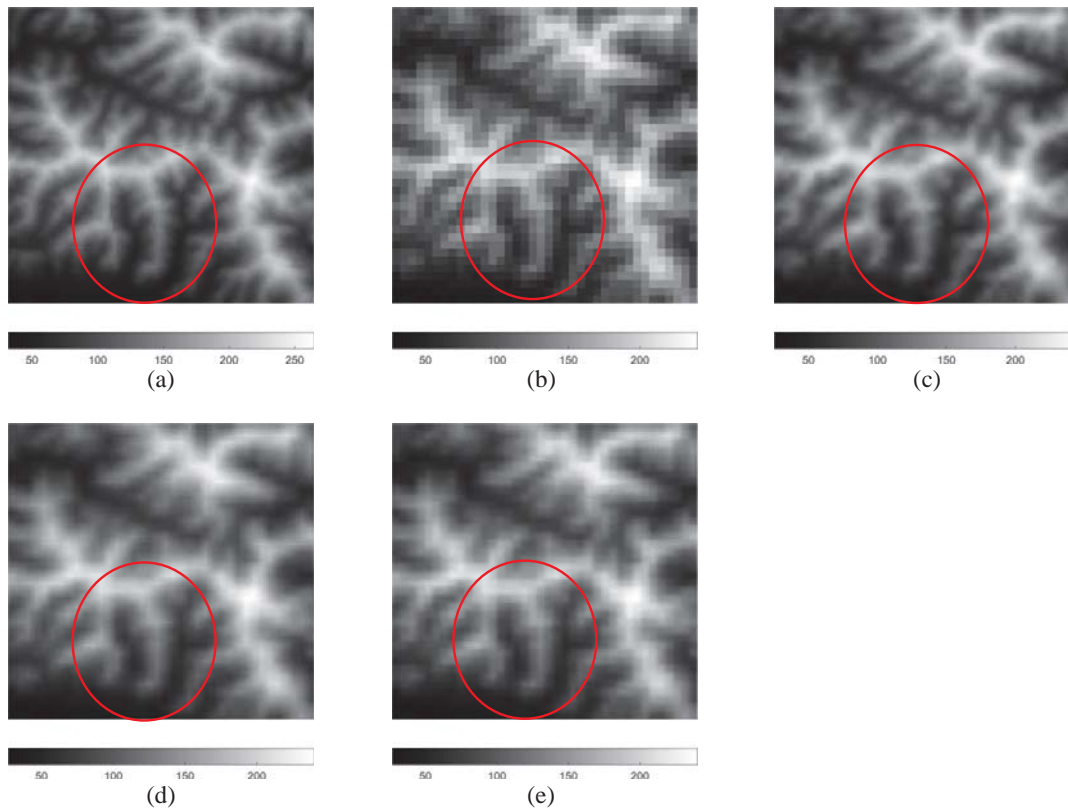


Fig. 4 Downscaling of DEM from 90 m resolution to 30 m resolution. (a) Reference DEM at 30 m resolution; (b) Downgraded DEM at 90 m resolution; (c) Downscaled DEM at 30 m resolution; (d) Bilinear smoothed DEM 30 m resolution; and (e) Cubic smoothed DEM 30 m resolution.

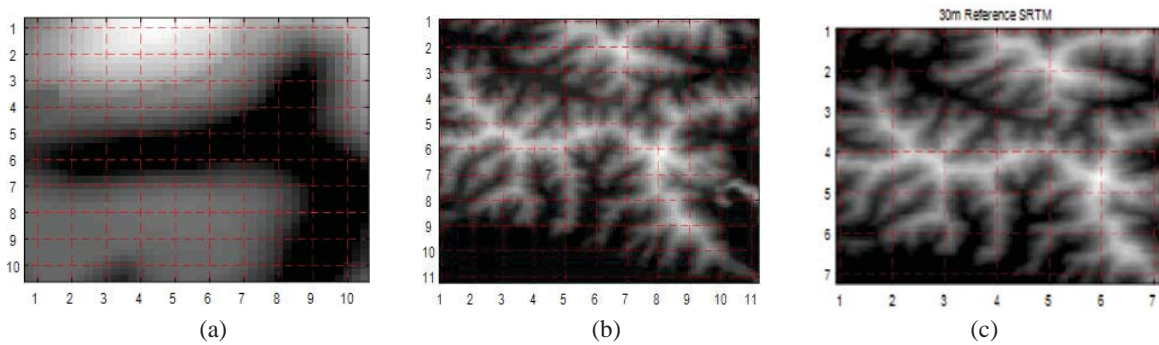


Fig. 5 Positions of the profiles for DEM; (a) 5 m DEM at Lang Son; 20 m DEM at Nghe An; and 30 m DEM at Nghe An

The assessment is implemented based on both visual comparisons of the resulted DEMs from different methods and the quantitative evaluation using RMSE (Table 1, Table 2, and Table 3). To evaluate the effects of the algorithms to different forms of the terrains, the cross-sections (profiles) were generated for visual comparison of the matching between the surface of the reference DEM, and coarse resolution surface, the surfaces generated by bilinear, cubic smoothing and HNN downscaling algorithm. The locations of the profiles for three data sets are presented as in Fig. 5(a), Fig. 5(b) and Fig. 5(c). Together with the visual comparison of the profiles, the RMSE of the profiles are also calculated for Lang Son data set, Nghe An 20 m resolution and 30 m resolution data sets, as in Table 1, Table 2 and Table 3, respectively.

The visual comparison showed that the resulted DEM from newly proposed HNN look more similar to the reference DEM than the coarse resolution and resulted DEMs from bilinear and cubic convolution methods. The improvement is clearly seen in comparison between the 5 m DEMs in Fig. 2(a) and Fig. 2(b), Fig. 2(c), Fig. 2(d) and Fig. 2(e). While the downscaled DEMs in Fig. 2(c) looks very similar to reference DEM in Fig. 2(a), the resulted DEMs from bilinear, and especially the cubic interpolation, were distorted due to the effects of the far away pixels to the central pixel.

Table 1 Root mean squared error for the results of smoothing and HNN downscaling algorithms with Lang Son 5 m DEM

Data sets	Original DEM (m)	Bilinear Smoothing (m)	Cubic Smoothing (m)	HNN downscaling (m)	Accuracy improvement (%)
Lang son 5 m DEM data	2.4571	1.5139	1.6000	0.8493	65.4
Column Cross section 1	1.4960	1.2419	1.2912	0.9734	34.9
Column Cross section 2	1.6962	1.1635	1.1821	0.5120	69.8
Column Cross section 3	2.0641	1.4043	1.4791	0.7383	64.2
Column Cross section 4	2.2345	1.3591	1.4586	0.7156	68.0
Column Cross section 5	2.2705	1.3006	1.3728	0.9587	57.8
Column Cross section 6	2.3084	1.7034	1.7805	0.8381	63.7
Column Cross section 7	2.0349	1.6198	1.6569	0.8068	60.4
Column Cross section 8	2.0325	1.4749	1.5564	0.8032	60.5
Column Cross section 9	2.0937	1.2861	1.3578	0.9988	52.3
Column Cross section 10	1.9876	1.2374	1.2959	0.9294	53.2
Row Cross section 1	1.9569	1.4024	1.4348	0.8798	55.0
Row Cross section 2	2.2873	1.6555	1.7196	0.7838	65.7
Row Cross section 3	2.3612	1.6712	1.7451	1.1155	52.8
Row Cross section 4	1.9510	1.4361	1.5174	0.5897	69.8
Row Cross section 5	1.7489	1.4228	1.4657	0.6816	61.0
Row Cross section 6	1.7289	1.4081	1.4297	1.1131	35.6
Row Cross section 7	1.6217	1.1567	1.2101	0.7876	51.4
Row Cross section 8	1.3897	0.8887	0.9730	0.6621	52.4
Row Cross section 9	1.4791	0.9317	0.9592	0.5098	65.5
Row Cross section 10	1.8042	1.4126	1.4593	0.6564	63.6

The difference between the HNN downscaled DEMs and DEMs created by smoothing methods is not clearly seen with two coarser data sets (20 m and 30 m) as with the 5 m DEM. However, the improvement is still visually shown in the area marked in Fig. 4 where a ridge was better reconstructed in the downscaled DEM image than in the bilinear and cubic smoothing DEM images.

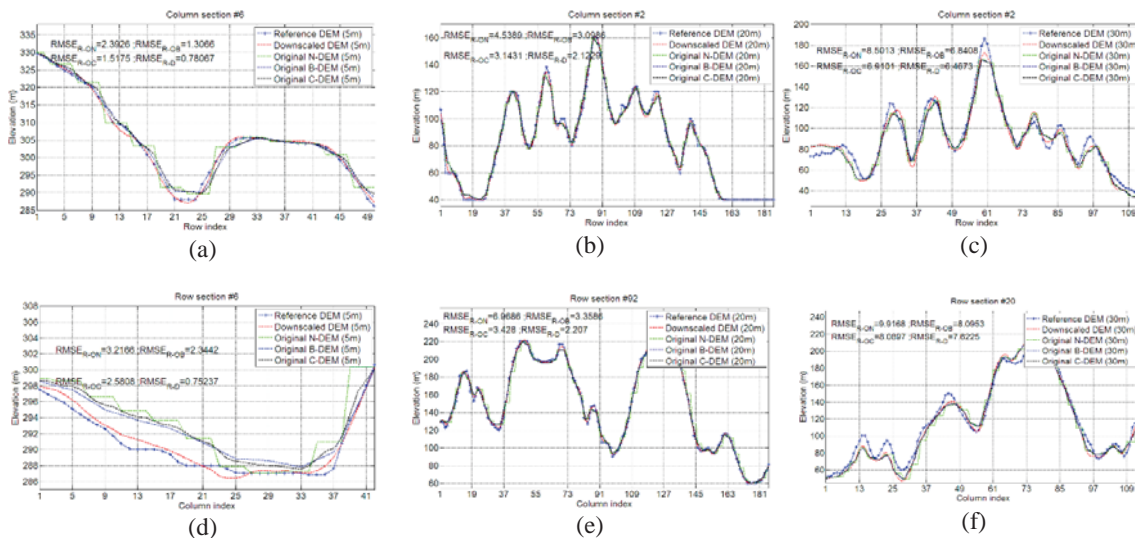


Fig. 6 Comparison of reference surface, HNN downscaled surface, original surface, bilinear interpolated surface and cubic surface based on profiles: (a) a column profile for 5 m data set, (b) a column profile for 20 m data set, (c) a column profile for 30 m data set, (d) a row profile for 5 m data set, (e) a row profile for 20 m data set, and (f) a row profile for 30 m data set,

The matching of surfaces represented by DEMs from different methods also showed an advantage of the HNN downscaling to the original coarse DEM and other smoothing methods. The profiles of HNN downscaled DEM matches very well with the profiles of the reference DEM while other methods' DEMs does not and this is most clearly seen with 5 m Lang Son data (Fig. 6(a) and Fig. 6(d)). In Fig. 6(d) of Row 6 profile, the surfaces

Table 2 Root mean squared error for the results of smoothing and HNN downscaling algorithms with Nghe An 20 m DEM

Data sets	Original DEM (m)	Bilinear Smoothing (m)	Cubic Smoothing (m)	HNN downscaling (m)	Accuracy improvement (%)
Nghe An 20 m DEM data	6.9326	3.3026	3.3716	1.9853	71.4
Column Cross section 1	4.5389	3.0986	3.1431	2.1229	53.2
Column Cross section 2	4.4169	2.8131	2.8973	1.7895	59.5
Column Cross section 3	4.3370	2.7674	2.8041	1.8675	56.9
Column Cross section 4	4.4689	2.9057	2.9731	2.0949	53.1
Column Cross section 5	4.0911	2.9148	2.9445	2.0043	51.0
Column Cross section 6	3.8029	2.5245	2.5619	1.9124	49.7
Column Cross section 7	4.6677	3.1959	3.2344	2.2049	52.8
Column Cross section 8	4.8884	2.9958	3.0833	2.0910	57.2
Column Cross section 9	5.1846	2.9851	3.0731	2.0171	61.1
Column Cross section 10	5.2172	3.3379	3.4256	2.1865	58.1
Column Cross section 11	4.3794	2.5489	2.6209	1.7203	60.7
Row Cross section 1	6.9375	3.7005	3.6816	2.3578	66.0
Row Cross section 2	6.4972	2.9903	3.0293	1.7544	73.0
Row Cross section 3	4.5824	2.8843	2.9332	1.9631	57.2
Row Cross section 4	7.0182	3.4087	3.4013	2.0925	70.2
Row Cross section 5	6.5620	3.5779	3.5906	2.1577	67.1
Row Cross section 6	6.9686	3.3586	3.4280	2.2070	68.3
Row Cross section 7	6.8329	3.1977	3.2778	2.0975	69.3
Row Cross section 8	7.7733	3.7850	3.7997	2.1990	71.7
Row Cross section 9	5.7281	2.7969	2.9109	1.9301	66.3
Row Cross section 10	5.0358	2.3813	2.4803	1.5229	69.8
Row Cross section 11	2.3477	1.3837	1.4051	0.9383	60.0

Table 3 Root mean squared error for the results of smoothing and HNN downscaling algorithms with Nghe An 30 m DEM

Data sets	Original DEM (m)	Bilinear Smoothing (m)	Cubic Smoothing (m)	HNN downscaling (m)	Accuracy improvement (%)
Nghe An 30 m SRTM data	11.1379	8.8105	8.8736	8.3510	25.0
Column Cross section 1	8.5013	6.8408	6.9101	6.4673	23.9
Column Cross section 2	9.7106	8.4326	8.4863	8.5069	12.4
Column Cross section 3	11.6961	10.7635	10.8141	10.4270	10.9
Column Cross section 4	10.0198	8.9907	9.0225	8.3592	16.6
Column Cross section 5	9.2745	7.0420	7.2130	7.0696	23.8
Column Cross section 6	11.5945	9.8018	9.8618	9.7523	15.9
Column Cross section 7	9.7925	8.3543	8.4407	8.5220	13.0
Row Cross section 1	10.4429	9.8024	9.8357	9.3701	10.3
Row Cross section 2	9.9168	8.0953	8.0897	7.6225	23.1
Row Cross section 3	10.5144	9.6251	9.6645	9.3598	11.0
Row Cross section 4	9.9849	7.7341	7.8310	8.3409	16.5
Row Cross section 5	9.8911	8.4770	8.5192	7.6701	22.5
Row Cross section 6	8.8079	7.7367	7.7801	7.6159	13.5
Row Cross section 7	6.6352	6.4032	6.4005	6.3202	4.7

from bilinear and cubic smoothing is higher above and close with the original coarse resolution surface while the surface formed by the downscaled DEMs is lower and close to the 5 m reference surface. The HNN

downscaling performed particularly better than the bilinear and cubic smoothing in the places like top of the ridges, hills or valley bottom, especially with the V-shape valleys and upside-down V-shape ridges and hills. This can be explained by the effects of the elevation constraint that help to reduce or increase the elevation at this points while the spatial dependence maximization function makes the elevations of the adjacent sub-pixels change gradually like in the real terrain.

Similar to the visual observation, the quantitative assessment based on RMSE in the Table 1, Table 2, Table 3 showed an improvement of HNN downscaling over the conventional smoothing methods. The RMSE decreased sharply for the HNN downscaling DEM to 0.8493 m from 2.4571 m, 1.5139 m, and 1.6000 m, for the original, bilinear and cubic smoothing, respectively, with the 5 m Lang son data. With the 20 m Nghe An data, the RMSE of the HNN downscaling DEM is 1.9853 m while the RMSEs of bilinear and cubic smoothing are 3.3716 m and 3.3716 m, respectively. Comparing with RMSE of the original 60 m data, the RMSE of downscaled 20 m reduced significantly by 72% from 6.9326 m to 1.9853 m. For the Nghe An 30 m test data, the improvement of HNN downscaling algorithm is not as sizable as for the 5 m and 20 m data sets but it is still very convincing with the RMSE reduced by 25% comparing with the original 90 m DEM. All of this statistics proved that the HNN downscaling will increase the accuracy of the gridded DEM at different resolutions. However, the algorithm seems to work better with the higher resolution DEMs.

The accuracy improvement based on RMSE values along the profiles demonstrates the effects of the terrain features to the algorithm. For the 20 m and 30 m data sets in Nghe An, the improvement of accuracy between the original and downscaled DEMs are relatively constant. For 30 m data set, accuracy improvement for most of profiles is between 10% and 20%. The range of accuracy improvement for 20 m data set is 20% between 50% and 70%. The accuracy improvement of 5 m data set is more various with the lowest value of 35% and the highest values of 49%. This can be explained by the fact that most part of profiles with good accuracy improvement of more than 65% such as column cross-sections number 2, 4 and row cross-sections number 2, 4, 9 located in the specific terrain such as valley bottom or top of the hill. Contrastively, the profiles with lower accuracy improvement crossed mostly in the sides of mountains where the surface of original DEM is relatively close to the reference DEM. The less variety of accuracy improvement of 20 m and 30 m data sets is because of the fact that most profiles of these data sets crossed along all different types of terrain rather than crossing mostly on specific terrain forms (Fig. 5).

4. Conclusions

In this paper, an algorithm for increasing the accuracy of gridded DEM is proposed and tested for different DEM resolution. The newly proposed downscaling algorithm is formulated using Hopfield neural network mechanism with a spatial dependence maximization goal function and a elevation constraint. The tests of newly proposed algorithm is implemented with three elevation data sets of 5 m gridded DEM in Lang Son province, 20 m and 30 m gridded DEMs in Nghe An province, Vietnam.

The testing results showed a sharp increasing in accuracy of the downscaled gridded DEMs in comparison with the original gridded DEM, bilinear and cubic smoothing. The visual assessment showed the higher similarity of the downscaled DEMs with the reference DEM image than the images of DEMs generated by bilinear and cubic smoothing. The analysis based on RMSE also showed the increasing in DEM accuracy and better results of the HNN downscaling algorithm over the results of bilinear and cubic smoothing. The RMSE of downscaled DEMs decreased by approximately 65%, 71% and 25% for 5 m DEM in Lang son province, 20 m and 30 m DEMs in Nghe An province. The RMSE values of downscaled DEM are lower in comparison with bilinear and cubic smoothing, especially for the 5 m and 20 m data sets.

The visual and quantitative assessment also showed that the HNN downscaling algorithm performed better for some specific terrain features such as valley bottom or crests of the ridges. The RMSE of the profiles located mostly in these terrain features decreased about 20% comparing with those of the profiles crossing mostly mountain sides or flat areas. This can be explained by the effects of the combination of elevation constraint and spatial dependence maximization function formulated by semivariance minimization.

Acknowledgements

This work was supported by Vietnam National Foundation of Science and Technology Development under the project 105.99-2014.15.

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